



Hearings Division – ECB Designated Cases

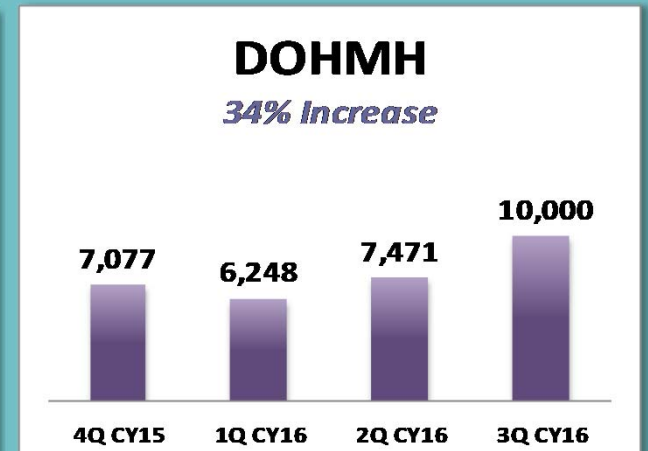
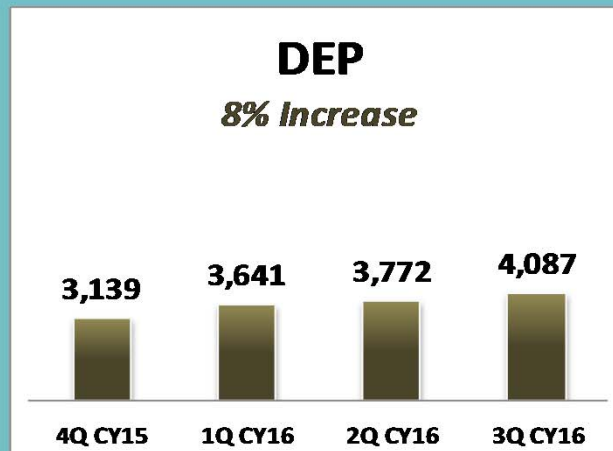
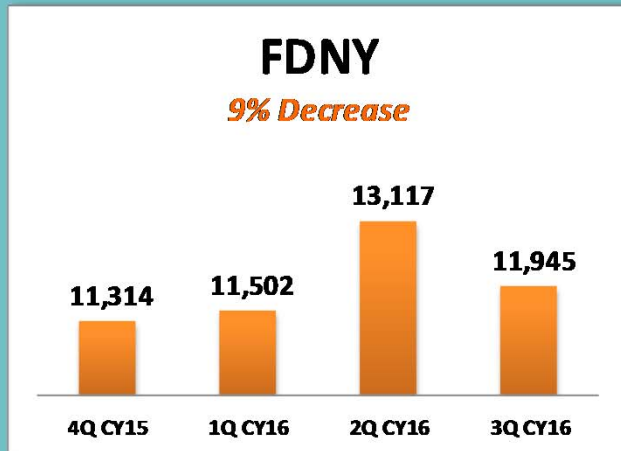
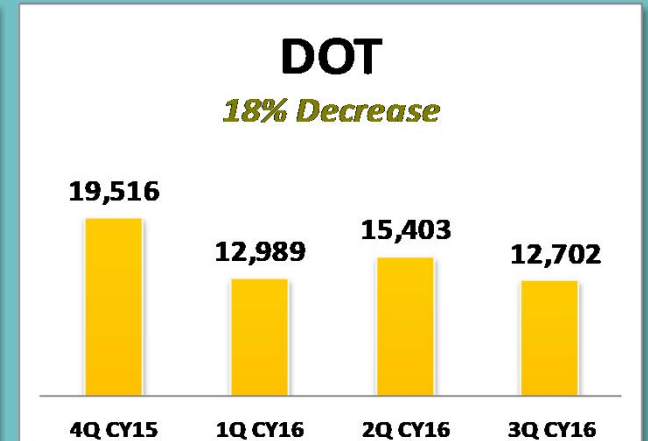
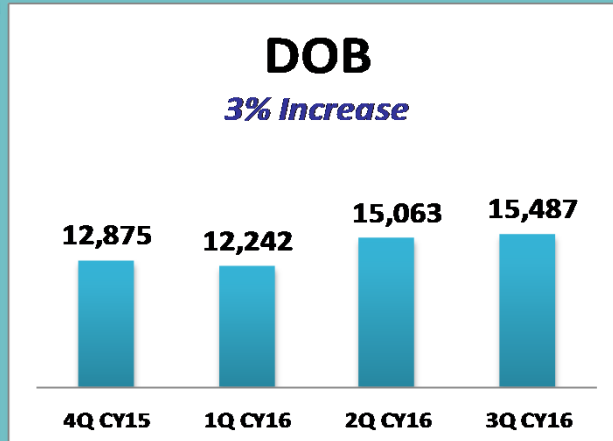
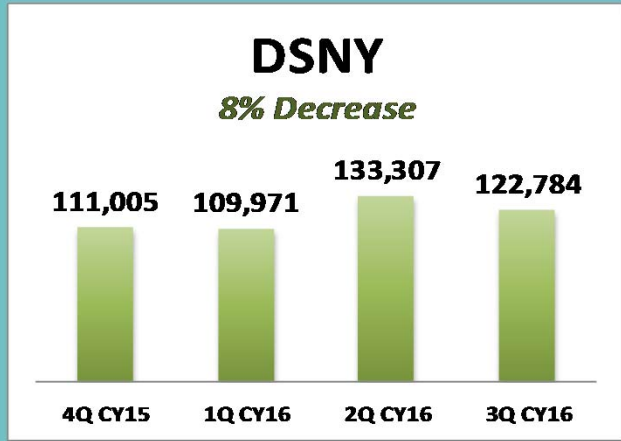


Quarterly Review for Board Members
(3Q CY2016)

October 27, 2016

Summonses Received Hearings Division for ECB Designated Cases*

3Q CY2016



*4Q CY2015 (Oct-Dec 2015) , 1Q CY2016 (Jan-Mar 2016) , 2Q CY2016 (Apr-Jun 2016), 3Q CY2016 (Jul-Sep 2016); % change = 2Q CY2016 vs 3Q CY2016

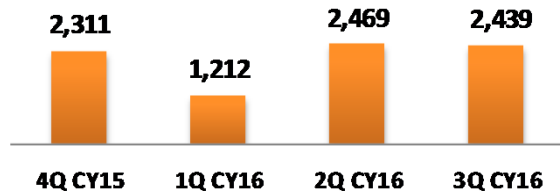
*legacy legislation designated these cases as ECB

Summonses Received Hearings Division for ECB Designated Cases*

3Q CY2016

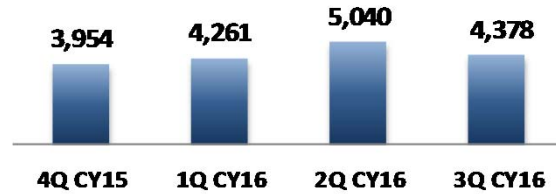
DPR

1% Decrease



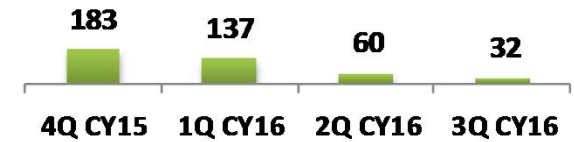
NYPD

13% Decrease



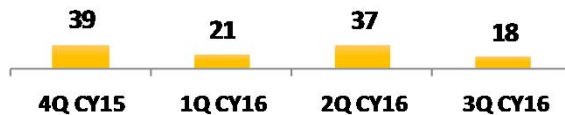
DOITT

47% Decrease



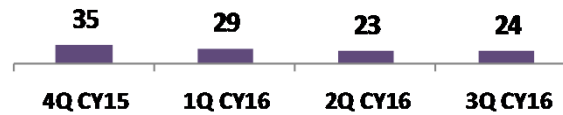
BIC

51% Decrease



LPC

4% Increase



Agency Group	2Q CY16 vs 3Q CY16
DSNY	-8%
DOB	3%
DOT	-18%
FDNY	-9%
DEP	8%
DOHMH	34%
DPR	-1%
NYPD	-13%
DOITT	-47%
BIC	-51%
LPC	4%

*4Q CY2015 (Oct-Dec 2015) , 1Q CY2016 (Jan-Mar 2016) , 2Q CY2016 (Apr-Jun 2016), 3Q CY2016 (Jul-Sep 2016); % change = 2Q CY2016 vs 3Q CY2016

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Summonses Received

Hearings Division for ECB Designated Cases*

Quarterly Comparisons

Agency	3Q CY2015 <i>(Jul, Aug & Sep 2015)</i>	3Q CY2016 <i>(Jul, Aug & Sep 2016)</i>
DSNY	108,129	122,784
DOT	19,157	12,702
DOB	13,295	15,487
FDNY	10,684	11,945
DOHMH	8,120	10,000
NYPD	3,617	4,378
DEP	4,201	4,087
DPR	2,805	2,439
DOITT	65	32
BIC	2	18
LPC	25	24
DCA	17	-
Agency Code Missing	133	368
Misc.	19	117
Total	170,269	184,381

*legacy legislation designated these cases as ECB

Total Summonses Heard Hearings Division for ECB Designated Cases*

Quarterly Comparisons

Agency	3Q CY2015 <i>(Jul, Aug & Sep 2015)</i>	3Q CY2016 <i>(Jul, Aug & Sep 2016)</i>
DSNY	17,672	18,683
DOB	9,866	15,202
DOHMH	4,058	4,842
FDNY	4,374	4,824
DEP	3,482	3,598
DOT	2,171	3,738
NYPD	1,830	2,612
DOITT	623	104
DPR	598	620
BIC	5	14
LPC	15	8
DCA	0	0
Agency Code Missing	31	26
Misc.	334	588
Total	45,059	54,859

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Decisions Rendered

Hearings Division for ECB Designated Cases*

Quarterly Comparisons

Agency	3Q CY2015 <i>(Jul, Aug & Sep 2015)</i>	3Q CY2016 <i>(Jul, Aug & Sep 2016)</i>
DSNY	16,767	21,028
DOB	6,476	9,280
DOHMH	3,433	3,998
FDNY	3,717	4,079
DOT	1,563	3,035
DEP	2,193	2,354
NYPD	1,628	2,397
DPR	565	534
DOITT	314	47
BIC	2	11
LPC	14	6
DCA	0	0
Agency Code Missing	45	20
Misc.	10	41
Total	36,727	46,830

*legacy legislation designated these cases as ECB

Hearing to Decision

Hearings Division for ECB Designated Cases*

(Elapsed Time from Last Hearing to Decision Date)

3Q CY2016

	4Q CY2015 (Oct-Dec 2015)	1Q CY2016 (Jan-Mar 2016)	2Q CY2016 (Apr-Jun 2016)	3Q CY2016 (Jul-Sep 2016)
< 10 days	90%	90%	84%	77%
11 to 20 days	9%	9%	15%	17%
21 to 30 days	1%	1%	2%	5%

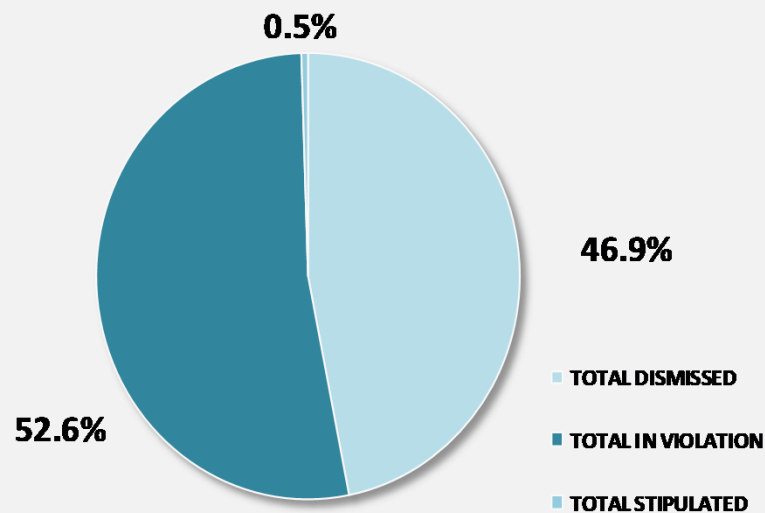
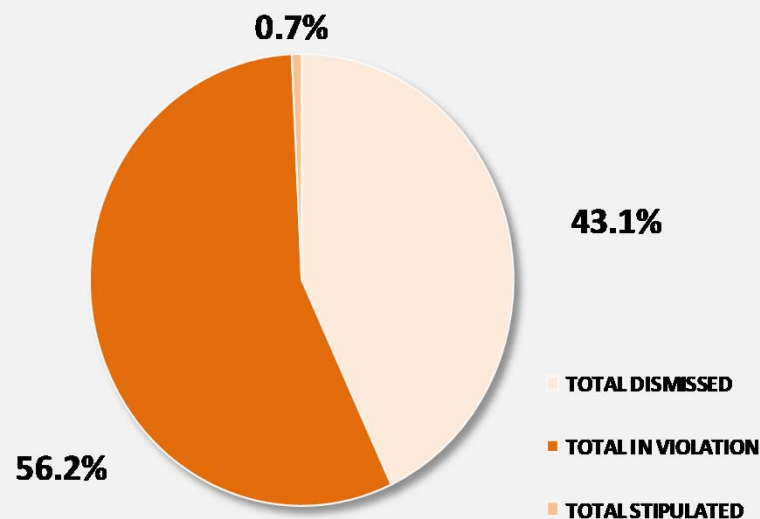
	4Q CY2015 (Oct-Dec 2015)	1Q CY2016 (Jan-Mar 2016)	2Q CY2016 (Apr-Jun 2016)	3Q CY2016 (Jul-Sep 2016)
< 20 days	98.0%	99%	98%	94%
21 to 30 days	2%	1%	2%	5%
31 to 40 days	0.2%	0.2%	0.1%	0.4%

Decisions Rendered after Hearing Hearings Division for ECB Designated Cases*

3Q CY2015 vs 3Q CY2016
(In Violation/Dismissed/Stipulated)

	3Q CY2015 (Jul, Aug & Sep 2015)
DISMISSED	15,813
IN VIOLATION	20,639
STIPULATED	275
TOTAL	36,727

	3Q CY2016 (Jul, Aug & Sep 2016)
DISMISSED	21,962
IN VIOLATION	24,630
STIPULATED	238
TOTAL	46,830

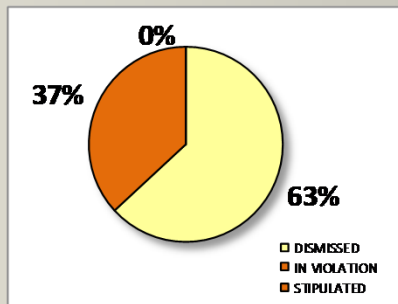


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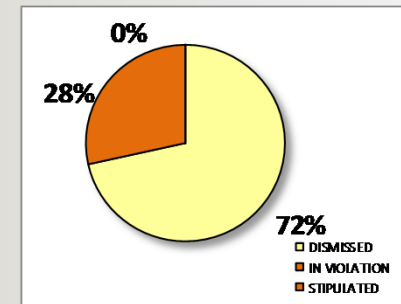
Decisions Rendered after Hearing Hearings Division for ECB Designated Cases*

(By Agency – 3Q CY2015 vs 3Q CY2016)

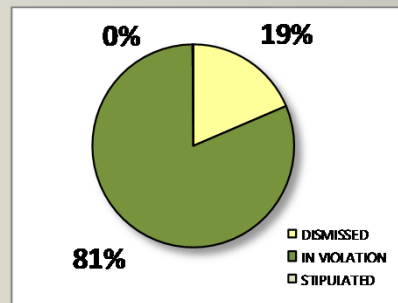
DSNY	3Q CY2015
DISMISSED	10,586
IN VIOLATION	6,181
STIPULATED	-
TOTAL	16,767



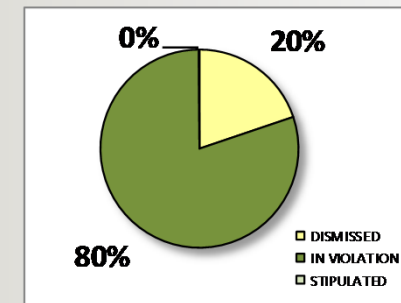
DSNY	3Q CY2016
DISMISSED	15,042
IN VIOLATION	5,986
STIPULATED	-
TOTAL	21,028



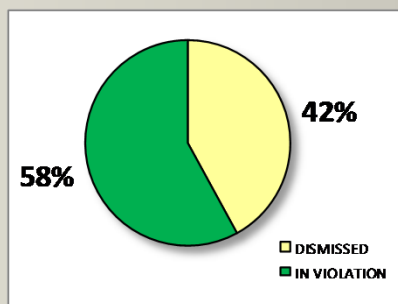
DOB	3Q CY2015
DISMISSED	1,207
IN VIOLATION	5,261
STIPULATED	8
TOTAL	6,476



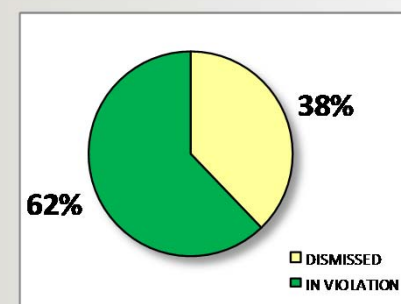
DOB	3Q CY2016
DISMISSED	1,839
IN VIOLATION	7,432
STIPULATED	9
TOTAL	9,280



DOT	3Q CY2015
DISMISSED	657
IN VIOLATION	906
TOTAL	1,563



DOT	3Q CY2016
DISMISSED	1,148
IN VIOLATION	1,887
TOTAL	3,035



*July, August and September 2015

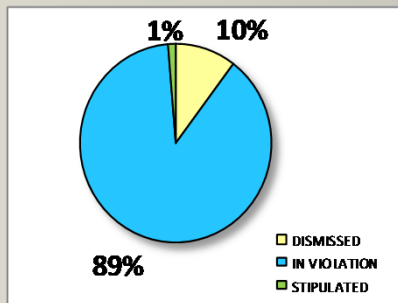
*July, August and September 2016

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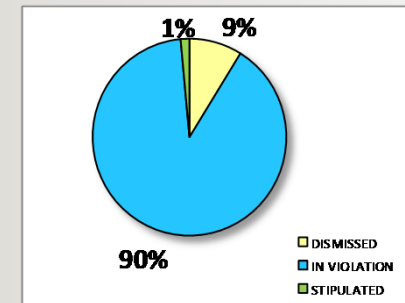
Decisions Rendered after Hearing Hearings Division for ECB Designated Cases*

(By Agency – 3Q CY2015 vs 3Q CY2016)

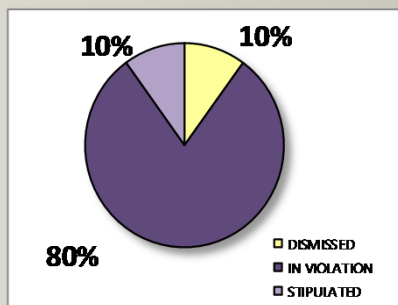
FDNY	3Q CY2015
DISMISSED	382
IN VIOLATION	3,287
STIPULATED	48
TOTAL	3,717



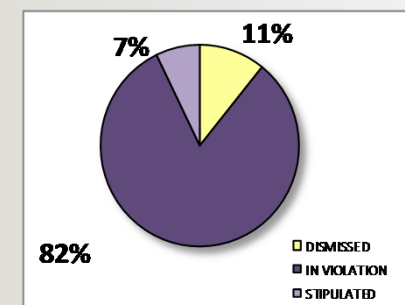
FDNY	3Q CY2016
DISMISSED	360
IN VIOLATION	3,659
STIPULATED	60
TOTAL	4,079



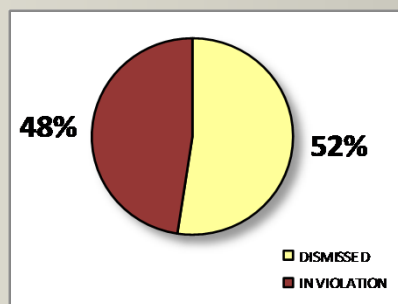
DEP	3Q CY2015
DISMISSED	220
IN VIOLATION	1,754
STIPULATED	219
TOTAL	2,193



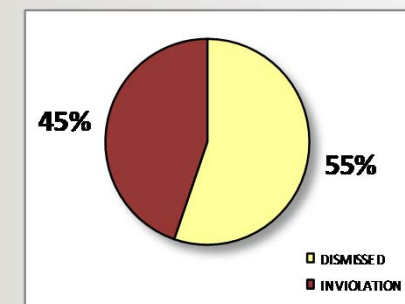
DEP	3Q CY2016
DISMISSED	254
IN VIOLATION	1,931
STIPULATED	169
TOTAL	2,354



NYPD	3Q CY2015
DISMISSED	853
IN VIOLATION	775
TOTAL	1,628



NYPD	3Q CY2016
DISMISSED	1,325
IN VIOLATION	1,072
TOTAL	2,397



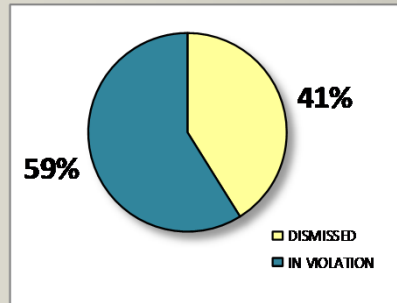
*July, August and September 2015

*July, August and September 2016

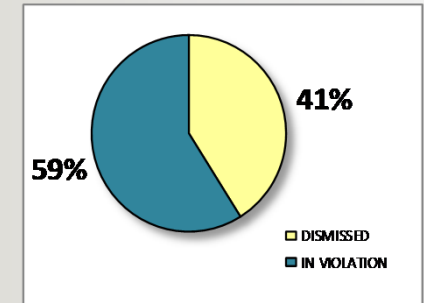
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(By Agency – 3Q CY2015 vs 3Q CY2016)

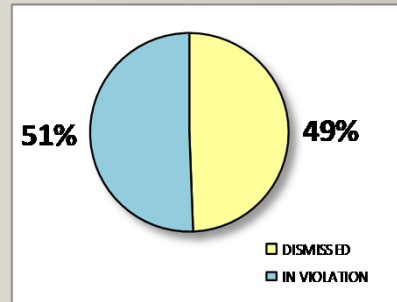
DOHMH	3Q CY2015
DISMISSED	1,409
IN VIOLATION	2,024
TOTAL	3,433



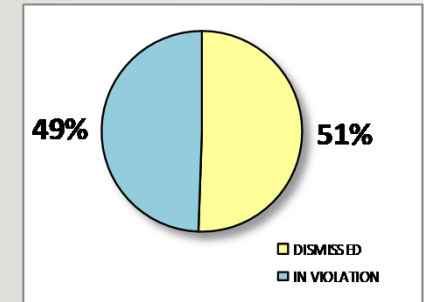
DOHMH	3Q CY2016
DISMISSED	1,643
IN VIOLATION	2,355
TOTAL	3,998



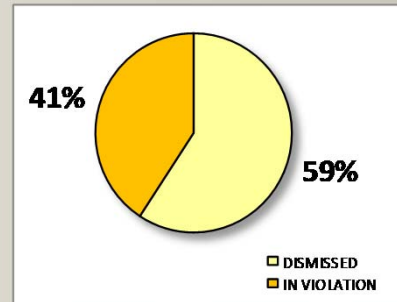
DPR	3Q CY2015
DISMISSED	279
IN VIOLATION	286
TOTAL	565



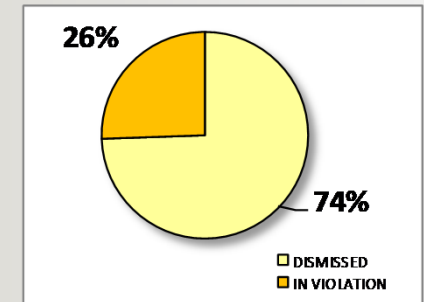
DPR	3Q CY2016
DISMISSED	270
IN VIOLATION	264
TOTAL	534



DOITT	3Q CY2015
DISMISSED	186
IN VIOLATION	128
TOTAL	314



DOITT	3Q CY2016
DISMISSED	35
IN VIOLATION	12
TOTAL	47



*July, August and September 2015

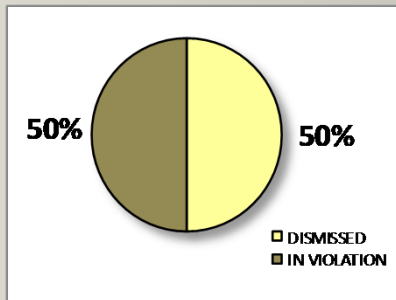
*July, August and September 2016

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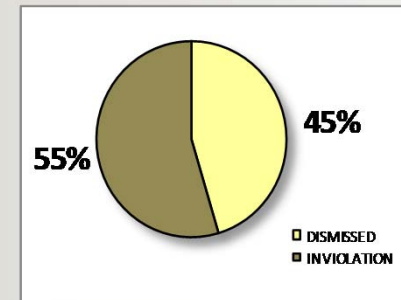
Decisions Rendered after Hearing Hearings Division for ECB Designated Cases*

(By Agency – 3Q CY2015 vs 3Q CY2016)

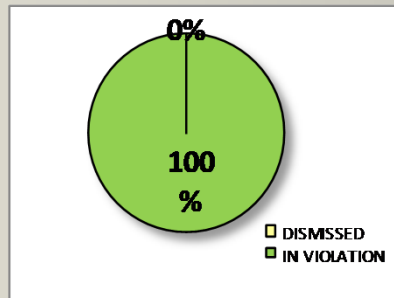
BIC	3Q CY2015
DISMISSED	1
IN VIOLATION	1
TOTAL	2



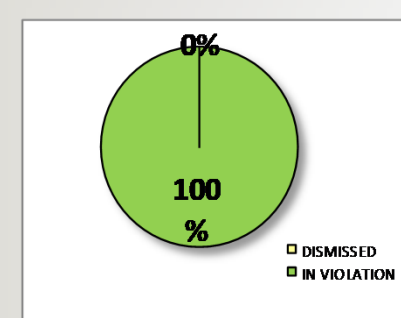
BIC	3Q CY2016
DISMISSED	5
IN VIOLATION	6
TOTAL	11



LPC	3Q CY2015
DISMISSED	0
IN VIOLATION	14
TOTAL	14



LPC	3Q CY2016
DISMISSED	-
IN VIOLATION	6
TOTAL	6



*July, August and September 2015

*July, August and September 2016

Most Commonly Issued Summonses By Agency Hearings Division for ECB Designated Cases* (3Q CY2016)

DOT
USE/OPENING OF STREET W/O PERMIT
FAILURE TO COMPLY WITH THE TERMS AND CONDITIONS OF DOT PERMITS
FAILURE TO PERMANENTLY RESTORE CUT WITHIN REQUIRED TIME

DOB
FAIL TO COMPLY W/COMMISSIONER ORDER TO FILE CERT OF CORRECTION W/DOB
WORK WITHOUT A PERMIT
UNLAWFUL ACTS.FAILURE TO COMPLY WITH AN ORDER OF THE COMMISSIONER

NYPD
RIGHT OF WAY - FAILURE TO YIELD, PHYSICAL INJURY
VEND IN BUS STOP, NEXT TO HOSPITAL/10 FT OF DRIVE, SUBWAY, CROSSWALK
FAIL TO DISPLAY LICENSE AND/OR PLATE

DSNY
FAILURE TO CLEAN 18 INCHES INTO STREET
DIRTY SIDEWALK/DIRTY AREA
STORAGE OF RECEPTACLES

*July, August and September 2016

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Most Commonly Issued Summonses By Agency Hearings Division for ECB Designated Cases* (3Q CY2016)

DEP
FAILED TO SUBMIT AN ANNUAL TEST REPORT FOR A BACKFLOW PREVENTER
FAILED TO INSTALL A BACKFLOW PREVENTER
FAIL TO KEEP/HAVE AVAILABLE FOR INSPECTION NOISE MITIGATION PLAN

FDNY
INSPECTION AND TESTING
FIRE PROTECTION SYSTEMS:FAIL TO PREVENT UNNECESSARY/UNWARRANTED ALARMS
CERTIFICATES OF FITNESS AND CERTIFICATES OF QUALIFICATION

DOHMH
FAIL TO ELIMINATE RODENT INFESTATION SHOWN BY ACTIVE RODENT SIGNS 1ST
FAIL TO ELIMINATE CONDITIONS CONDUCTIVE TO RODENT-DEBRIS,VEGETATION 1ST
FAIL TO ELIMINATE CONDITIONS CONDUCTIVE TO PESTS, GARBAGE CANS/SPILLAGE

LPC
WORK W/O OR VIOL.OF CERT.OF APPROP/NO EFFECT-ALT EXT ARCHIT
WORK W/O OR VIOL.OF CERT.OF APPROP/NO EFFECT(TYPE C)MISC.VIOLATION
WORK W/O OR VIOL OF CERT.OF APPROP/NO EFFCT(TYPE C)FLAG,SIGN,BANR,AWNG

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Most Commonly Issued Summonses By Agency Hearings Division for ECB Designated Cases* (3Q CY2016)

DOITT

FAILURE TO PROVIDE WORKING PUBLIC PAY TELEPHONE & OPERATOR SERVICES

REQUIRED SIGN MISSING/IMPERMISSIBLE AS PER REQUIREMENTS OF SUBSECTION

FAILED TO CLEAN/MAINTAIN PUBLIC PAY TELEPHONE AS PER REQMNTS OF SUBSEC

DPR

FAILURE TO COMPLY WITH DIRECTIVES OF OFFICER/PARK EMPLOYEE

UNAUTHORIZED CONSUMPTION/POSSESSION OF ALCOHOLIC BEVERAGE

UNAUTHORIZED VENDING

BIC

IDLING OF MOTOR VEHICLE ENGINE MORE THAN THREE MINUTES 1ST OFF

FAILURE TO REGISTER WHOLSALE AND/OR MARKET BUSINESS, AS PER SUBSE.

FAILED TO OBTAIN IDENTIFICATION CARD AS PER SUBSEC.

*July, August and September 2016

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Appealed Summonses by Enforcement Agency Hearings Division for ECB Designated Cases*

(3Q CY2016)

Agency	# of Violations
DOB	170
DSNY	170
FDNY	52
DOHMH	69
DEP	23
DOT	56
NYPD	29
DPR	12
Misc.	1
Total	582

*July, August and September 2016

*legacy legislation designated these cases as ECB

Defaults

Hearings Division for ECB Designated Cases*

(3Q CY2016)

Total # of Defaults (Jul, Aug & Sep 2016) =

81,406

Issuing Agency	# of Defaults 3Q CY2016	% out of Total Defaults
DSNY	57,342	70.4%
DOT	7,528	9.2%
DOHMH	4,818	5.9%
DOB	4,481	5.5%