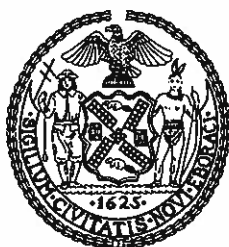


Financial Plan Statements
for
New York City
August 2011



The City of New York



This report contains Financial Plan Statements for August 2011 which have been prepared in accordance with the New York State Financial Emergency Act for the City of New York.

The fiscal year plan reflects the Financial Plan as submitted to the Financial Control Board on June 29, 2011.

The forecast of revenues and expenditures reflects actual revenue and expenditure performance to date and expected activity for the remainder of the fiscal year.

The actuals and projections in the forecasts are based on the best information available to the City at the date of preparation and certain assumptions and methods of estimation, which are considered reasonable and appropriate for purposes of the report as of such date.

**THE CITY OF NEW YORK
BY**


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NOTES TO FINANCIAL PLAN STATEMENTS

I. Summary of Significant Financial Policies, Procedures and Development

A. Financial Plan Statements

The City's Financial Plan Statements represent the accounts of the General Fund and certain transactions of the Capital Projects Fund of the City, including the Department of Education and the City University of New York. They do not include the total operations of the New York City Health and Hospitals Corporation (HHC) but do include the City's subsidy to HHC and the City's share of payments to the Corporation in connection with its role as a Medicaid provider.

The City's Financial Plan Statements incorporate the policies and procedures discussed in Note B. Such data are unaudited. Prior years' balances for cash, accounts receivable and outstanding obligations are derived from preliminary FY 2011 balances and are subject to audit adjustments. Amounts reported may be subject to reclassification or adjustments arising from management review and audits of the City's FY 2011 and FY 2012 Financial Statements. The Financial Plan on which these statements are based was prepared in accordance with generally accepted accounting principles, except for the application of GASB 49.

Covered Organization Financial Plans are issued quarterly.

B. Basis of Accounting

1. Revenues

Real estate tax revenue is recorded on the modified accrual basis of accounting, which recognizes as revenue payments received against the current year levy and late payments on prior year levies received within the first two months of the following year, reduced by estimated tax refunds to be made in the period.

Taxpayer assessed revenues (e.g. sales, income and certain excise taxes), net of estimated refunds, are recorded on a modified accrual basis. Revenues are susceptible to accrual if they are both measurable and available to be used to finance governmental operations during the year.

All other revenues are recorded when received in cash.

Federal categorical grants except as noted below are recognized as revenue as claims are filed during the year and adjusted at year-end for revenues earned but not claimed. State grants are recognized in the same manner. Advances provided to the City in anticipation of filing of claims by the City for federal and state reimbursement of Medicaid and welfare expenditures are recognized as revenue when received.

2. Expenditures

(a) Debt Service

Debt Service expenditures on general obligation issuances are recorded when City real estate tax collections are deposited into the Debt Service fund in advance of the actual debt service payment.

(b) Fixed Assets

Acquisitions of fixed assets having a useful life of more than five years and costing more than \$15,000 are treated as capital expenditures. All other acquisitions of fixed assets are treated as operating expenditures.

(c) Encumbrances

Encumbrances entered during FY 2012 for OTPS purchase orders and contracts expected to be received by June 30, 2012 are treated as expenditures.

(d) Self-Insurance

The City is self-insured with respect to most risks, including, but not limited to, property damage, personal injury, and workers' compensation. Settlements reached or judgments entered during FY 2012 are recorded when paid and adjusted at year-end for any additional unpaid settlements reached or judgments entered during FY 2012.

(e) Vacation and Sick Leave

The annual costs of actual vacation and sick leave are recorded on a cash basis.

(f) Materials and Supplies

Purchases of materials and supplies are treated as expenditures when encumbered. No inventory accounts are included in the financial statements.

(g) General Reserve

The General Reserve provides for shortfalls in revenues and overruns in uncontrollable expenditures.

3. Capital Commitments

The basis of reporting actual capital commitments, as well as sources and uses of capital expenditures, has been changed. Commitments and expenditures are now reported based upon the accounting period of the transaction. In prior Financial Plan Statements, these actuals were based upon dates transactions were entered in the City's Financial Management System.

C. Pension Plans

The City sponsors or participates in pension plans covering all eligible employees. Most plans require employee contributions. The plans provide pension benefits based on salary and length of service. In the event of disability during employment, participants may receive retirement allowances based on satisfaction of certain service requirements and other plan provisions. The City's main pension systems are the five major actuarial systems - The New York City Employees' Retirement System, The Teachers Retirement System, The Board of Education Retirement System, The New York City Police Pension Fund, Article 2 and the Fire Department Pension Fund Article 1-B.

The City also contributed to three other actuarial systems and sponsors six non-actuarial retirements' systems for certain employees, retirees and beneficiaries not covered by any of the five major actuarial systems. In addition, the City provides cost-of-living and other supplemental pensions to certain retirees of the actuarial and non-actuarial systems.

Financial Plan Statements can be accessed through the New York City Office of Management and Budget's website at: www.nyc.gov/omb

Report No. 1

Financial Plan Summary

**NEW YORK CITY
FINANCIAL PLAN SUMMARY
REPORT NO. 1
(MILLIONS OF DOLLARS)**

**MONTH: AUGUST
FISCAL YEAR 2012**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2012		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
REVENUES:									
TAXES									
GENERAL PROPERTY TAXES	\$ 127	\$ 106	\$ 21	\$ 8,167	\$ 8,179	\$ (12)	\$ 17,625	\$ 17,625	\$ -
OTHER TAXES	1,095	1,060	35	2,095	1,999	96	24,412	24,412	-
MISCELLANEOUS REVENUES	439	317	122	984	874	110	5,955	5,955	-
UNRESTRICTED INTGOVT. AID	-	5	(5)	-	5	(5)	37	37	-
LESS: INTRA-CITY REVENUES DISALLOWANCES	(19)	(3)	(16)	(22)	(8)	(14)	(1,549)	(1,549)	-
	-	-	-	-	-	-	(15)	(15)	-
SUBTOTAL	1,642	1,485	157	11,224	11,049	175	46,465	46,465	-
OTHER CATEGORICAL GRANTS	27	21	6	33	135	(102)	1,193	1,193	-
CAPITAL INTER-FUND TRANSFERS	-	1	(1)	-	2	(2)	549	549	-
FEDERAL GRANTS	22	70	(48)	79	82	(3)	6,674	6,674	-
STATE GRANTS	1	16	(15)	13	17	(4)	11,030	11,030	-
TOTAL REVENUES	\$ 1,692	\$ 1,593	\$ 99	\$ 11,349	\$ 11,285	\$ 64	\$ 65,911	\$ 65,911	\$ -
EXPENDITURES:									
PS	\$ 1,986	\$ 1,983	\$ (3)	\$ 4,009	\$ 3,988	\$ (21)	\$ 37,239	\$ 37,239	\$ -
OTPS	2,644	2,134	(510)	10,954	10,357	(597)	27,682	27,682	-
DEBT SERVICE	71	86	15	166	150	(16)	2,239	2,239	-
GENERAL RESERVE	-	-	-	-	-	-	300	300	-
SUBTOTAL	4,701	4,203	(498)	15,129	14,495	(634)	67,460	67,460	-
LESS: INTRA-CITY EXPENSES	(19)	(3)	16	(22)	(8)	14	(1,549)	(1,549)	-
TOTAL EXPENDITURES	\$ 4,682	\$ 4,200	\$ (482)	\$ 15,107	\$ 14,487	\$ (620)	\$ 65,911	\$ 65,911	\$ -
NET TOTAL	\$ (2,990)	\$ (2,607)	\$ (383)	\$ (3,758)	\$ (3,202)	\$ (556)	\$ -	\$ -	\$ -

Note: For further details on revenue, see Report No. 3 on page 8. For further details on expenditures, see Report No. 4 on page 10 and the corresponding notes on page 13.

Report No. 1A

Month-by-Month Revenue and Obligation Forecast

NEW YORK CITY
MONTH - BY - MONTH - REVENUE AND OBLIGATION FORECAST
REPORT NO. 1A
(MILLIONS OF DOLLARS)

MONTH: AUGUST
FISCAL YEAR 2012

	ACTUAL		FORECAST										POST JUNE	TOTAL YEAR
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN		
REVENUES:														
TAXES														
GENERAL PROPERTY TAXES	\$ 8,040	\$ 127	\$ 859	\$ 612	\$ 39	\$ 4,024	\$ 2,410	\$ 91	\$ 947	\$ 409	\$ 19	\$ 49	\$ (1)	\$ 17,625
OTHER TAXES	1,000	1,095	3,006	1,350	1,056	2,810	2,823	1,284	2,732	2,363	1,073	3,560	260	24,412
MISCELLANEOUS REVENUES	545	439	339	408	367	410	485	323	442	456	573	738	430	5,955
UNRESTRICTED INTGOVT. AID	-	-	6	4	4	4	4	3	-	-	-	-	12	37
LESS: INTRA-CITY REVENUES DISALLOWANCES	(3)	(19)	(36)	(126)	(82)	(102)	(178)	(62)	(67)	(110)	(39)	(295)	(430)	(1,549)
	-	-	-	-	-	-	-	-	-	-	(5)	(10)	-	(15)
SUBTOTAL	9,582	1,642	4,174	2,248	1,384	7,146	5,544	1,639	4,054	3,118	1,621	4,042	271	46,465
OTHER CATEGORICAL GRANTS	6	27	156	58	30	87	62	44	80	67	51	525	-	1,193
CAPITAL INTER-FUND TRANSFERS	-	-	47	29	29	46	92	29	47	91	32	67	40	549
FEDERAL GRANTS	57	22	209	502	613	403	703	522	500	611	629	691	1,212	6,674
STATE GRANTS	12	1	1,461	144	1,001	826	945	900	1,237	831	1,041	1,060	1,571	11,030
TOTAL REVENUES:	\$ 9,657	\$ 1,692	\$ 6,047	\$ 2,981	\$ 3,057	\$ 8,508	\$ 7,346	\$ 3,134	\$ 5,918	\$ 4,718	\$ 3,374	\$ 6,385	\$ 3,094	\$ 65,911
EXPENDITURES:														
PS	\$ 2,023	\$ 1,986	\$ 2,766	\$ 2,711	\$ 2,730	\$ 3,397	\$ 2,844	\$ 2,663	\$ 2,820	\$ 2,733	\$ 2,772	\$ 6,154	\$ 1,640	\$ 37,239
OTPS	8,310	2,644	1,655	1,501	1,431	2,036	1,666	1,416	2,048	1,625	1,323	1,830	197	27,682
DEBT SERVICE	95	71	20	33	58	37	429	183	133	352	85	743	-	2,239
GENERAL RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	300	300
SUBTOTAL	10,428	4,701	4,441	4,245	4,219	5,470	4,939	4,262	5,001	4,710	4,180	8,727	2,137	67,460
LESS: INTRA-CITY EXPENSES	(3)	(19)	(36)	(126)	(82)	(102)	(178)	(62)	(67)	(110)	(39)	(295)	(430)	(1,549)
TOTAL EXPENDITURES	\$ 10,425	\$ 4,682	\$ 4,405	\$ 4,119	\$ 4,137	\$ 5,368	\$ 4,761	\$ 4,200	\$ 4,934	\$ 4,600	\$ 4,141	\$ 8,432	\$ 1,707	\$ 65,911
NET TOTAL	\$ (768)	\$ (2,990)	\$ 1,642	\$ (1,138)	\$ (1,080)	\$ 3,140	\$ 2,585	\$ (1,066)	\$ 984	\$ 118	\$ (767)	\$ (2,047)	\$ 1,387	\$ -

Report No. 2

Analysis of Change in Fiscal Year Plan

**NEW YORK CITY
ANALYSIS OF CHANGE IN FISCAL YEAR FORECAST
REPORT NO. 2
(MILLIONS OF DOLLARS)**

**MONTH: AUGUST
FISCAL YEAR 2012**

DESCRIPTION	INITIAL PLAN 6/29/2011	CHANGES FROM INITIAL PLAN	CHANGES FROM PREVIOUS FORECAST
REVENUES:			
TAXES			
GENERAL PROPERTY TAXES	\$ 17,625	\$ -	\$ -
OTHER TAXES	24,412	-	-
MISCELLANEOUS REVENUES	5,955	-	-
UNRESTRICTED INTERGOVERNMENTAL AID	37	-	-
LESS:INTRA-CITY REVENUES	(1,549)	-	-
DISALLOWANCES	(15)	-	-
SUBTOTAL	46,465	-	-
OTHER CATEGORICAL GRANTS	1,193	-	-
CAPITAL INTERFUND TRANSFERS	549	-	-
FEDERAL GRANTS	6,674	-	-
STATE GRANTS	11,030	-	-
TOTAL REVENUES	\$ 65,911	\$ -	\$ -
EXPENDITURES:			
PERSONAL SERVICE	\$ 37,239	\$ -	\$ -
OTHER THAN PERSONAL SERVICE	27,682	-	-
DEBT SERVICE	2,239	-	-
GENERAL RESERVE	300	-	-
SUBTOTAL	67,460	-	-
LESS:INTRA-CITY EXPENDITURES	(1,549)	-	-
TOTAL EXPENDITURES	\$ 65,911	\$ -	\$ -

Report No. 3

Revenue Activity by Major Area

**NEW YORK CITY
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3
(MILLIONS OF DOLLARS)**

**MONTH: AUGUST
FISCAL YEAR 2012**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2012		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
TAXES:									
GENERAL PROPERTY TAXES	\$ 127	\$ 106	\$ 21	\$ 8,167	\$ 8,179	\$ (12)	\$ 17,625	\$ 17,625	\$ -
PERSONAL INCOME TAX	475	468	7	901	883	18	8,171	8,171	-
GENERAL CORPORATION TAX	-	-	-	-	-	-	2,725	2,725	-
BANKING CORPORATION TAX	-	-	-	-	-	-	1,227	1,227	-
UNINCORPORATED BUSINESS TAX	-	-	-	-	-	-	1,799	1,799	-
GENERAL SALES TAX	391	417	(26)	789	792	(3)	5,797	5,797	-
REAL PROPERTY TRANSFER TAX	112	70	42	201	140	61	774	774	-
MORTGAGE RECORDING TAX	60	44	16	100	88	12	500	500	-
COMMERCIAL RENT TAX	-	-	-	-	-	-	622	622	-
UTILITY TAX	34	35	(1)	34	35	(1)	413	413	-
OTHER TAXES	23	26	(3)	70	61	9	932	932	-
TAX AUDIT REVENUES *	-	-	-	-	-	-	660	660	-
STAR PROGRAM	-	-	-	-	-	-	792	792	-
TOTAL TAXES	\$ 1,222	\$ 1,166	\$ 56	\$ 10,262	\$ 10,178	\$ 84	\$ 42,037	\$ 42,037	\$ -
MISCELLANEOUS REVENUES:									
LICENSES/FRANCHISES/ETC.	72	59	13	104	91	13	527	527	-
INTEREST INCOME	2	2	-	2	4	(2)	34	34	-
CHARGES FOR SERVICES	43	40	3	86	87	(1)	795	795	-
WATER AND SEWER CHARGES	187	112	75	508	472	36	1,439	1,439	-
RENTAL INCOME	13	16	(3)	37	34	3	257	257	-
FINES AND FORFEITURES	68	69	(1)	134	134	-	814	814	-
MISCELLANEOUS	35	16	19	91	44	47	540	540	-
INTRA-CITY REVENUE	19	3	16	22	8	14	1,549	1,549	-
TOTAL MISCELLANEOUS	\$ 439	\$ 317	\$ 122	\$ 984	\$ 874	\$ 110	\$ 5,955	\$ 5,955	\$ -

* The financial plan as submitted on June 29, 2011 reflects \$660 million in Tax Audit Revenues, anticipated to be collected as follows:

	CURRENT MONTH ACTUAL	YEAR-TO-DATE ACTUAL	FISCAL YEAR 2012 PLAN
GENERAL SALES TAX	\$ -	\$ -	\$ 23
PERSONAL INCOME TAX	-	-	40
GENERAL CORPORATION TAX	-	-	393
COMMERCIAL RENT TAX	-	-	15
BANKING CORPORATION TAX	-	-	107
UTILITY TAX	-	-	8
UNINCORPORATED BUSINESS TAX	-	-	58
REAL PROPERTY TRANSFER TAX	-	-	6
OTHER TAXES	-	-	10
TOTAL	\$ -	\$ -	\$ 660

NEW YORK CITY
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3 (CONT.)
(MILLIONS OF DOLLARS)

MONTH: AUGUST
FISCAL YEAR 2012

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2012		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNRESTRICTED INTGOVT. AID									
FEDERAL REVENUE SHARING	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
NY STATE REVENUE SHARING	-	-	-	-	-	-	-	-	-
OTHER INTGOVT. AID	-	5	(5)	-	5	(5)	37	37	-
TOTAL UNRESTRICTED INTG.	\$ -	\$ 5	\$ (5)	\$ -	\$ 5	\$ (5)	\$ 37	\$ 37	\$ -
OTHER CATEGORICAL GRANTS	27	21	6	33	135	(102)	1,193	1,193	-
CAPITAL INTER-FUND TRANSFERS	-	1	(1)	-	2	(2)	549	549	-
LESS: INTRA-CITY REVENUES	(19)	(3)	(16)	(22)	(8)	(14)	(1,549)	(1,549)	-
LESS: DISALLOWANCES	-	-	-	-	-	-	(15)	(15)	-
FEDERAL GRANTS									
COMMUNITY DEVELOPMENT	14	16	(2)	23	20	3	235	235	-
WELFARE	-	24	(24)	-	24	(24)	3,137	3,137	-
EDUCATION	5	-	5	5	-	5	1,915	1,915	-
OTHER	3	30	(27)	51	38	13	1,387	1,387	-
TOTAL FEDERAL GRANTS	\$ 22	\$ 70	\$ (48)	\$ 79	\$ 82	\$ (3)	\$ 6,674	\$ 6,674	\$ -
STATE GRANTS									
WELFARE	-	7	(7)	-	7	(7)	1,494	1,494	-
EDUCATION	-	-	-	6	-	6	8,116	8,116	-
HIGHER EDUCATION	-	-	-	-	-	-	212	212	-
HEALTH AND MENTAL HYGIENE	-	9	(9)	-	9	(9)	435	435	-
OTHER	1	-	1	7	1	6	773	773	-
TOTAL STATE GRANTS	\$ 1	\$ 16	\$ (15)	\$ 13	\$ 17	\$ (4)	\$ 11,030	\$ 11,030	\$ -
TOTAL REVENUES	\$ 1,692	\$ 1,593	\$ 99	\$ 11,349	\$ 11,285	\$ 64	\$ 65,911	\$ 65,911	\$ -

Report No. 4

Obligation Analysis

**NEW YORK CITY
OBLIGATION ANALYSIS
REPORT NO. 4
(MILLIONS OF DOLLARS)**

**MONTH: AUGUST
FISCAL YEAR 2012**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2012		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES									
POLICE DEPT.	\$ 390	\$ 345	\$ (45)	\$ 794	\$ 730	\$ (64)	\$ 4,550	\$ 4,550	\$ -
FIRE DEPT.	154	124	(30)	321	277	(44)	1,671	1,671	-
DEPT. OF CORRECTION	92	80	(12)	200	184	(16)	1,023	1,023	-
SANITATION DEPT.	46	75	29	498	344	(154)	1,301	1,301	-
HEALTH & WELFARE									
ADMIN. FOR CHILD SERVICES	108	157	49	1,251	1,302	51	2,804	2,804	-
DEPT. OF SOCIAL SERVICES	874	822	(52)	1,908	1,840	(68)	9,294	9,294	-
DEPT. OF HOMELESS SERVICES	122	82	(40)	573	518	(55)	791	791	-
HEALTH & MENTAL HYGIENE	121	291	170	679	893	214	1,583	1,583	-
OTHER AGENCIES									
HOUSING PRESERVATION & DEV.	69	126	57	200	214	14	578	578	-
ENVIRONMENTAL PROTECTION	95	70	(25)	250	212	(38)	1,042	1,042	-
TRANSPORTATION DEPT.	110	47	(63)	306	212	(94)	694	694	-
PARKS & RECREATION DEPT.	39	32	(7)	83	80	(3)	313	313	-
DEPT. OF CITYWIDE ADMIN. SERVICES	(13)	17	30	919	945	26	1,115	1,115	-
ALL OTHER	295	187	(108)	975	1,048	73	3,116	3,116	-
COVERED ORGANIZATIONS									
DEPT. OF EDUCATION	979	695	(284)	3,546	3,273	(273)	19,423	19,423	-
HIGHER EDUCATION	84	42	(42)	154	102	(52)	770	770	-
HEALTH & HOSPITALS CORP.	7	1	(6)	7	1	(6)	182	182	-
OTHER									
MISCELLANEOUS BUDGET:									
FRINGE BENEFITS	186	232	46	430	469	39	3,906	3,906	-
TRANSIT SUBSIDIES	183	41	(142)	338	185	(153)	745	745	-
JUDGMENTS & CLAIMS	43	22	(21)	101	34	(67)	655	655	-
OTHER	33	16	(17)	204	255	51	941	941	-
PENSION CONTRIBUTIONS	613	613	-	1,226	1,227	1	8,424	8,424	-
DEBT SERVICE	71	86	15	166	150	(16)	2,239	2,239	-
PRIOR YEAR ADJUSTMENTS	-	-	-	-	-	-	-	-	-
SUB-TOTAL	<u>\$ 4,701</u>	<u>\$ 4,203</u>	<u>\$ (498)</u>	<u>\$ 15,129</u>	<u>\$ 14,495</u>	<u>\$ (634)</u>	<u>\$ 67,160</u>	<u>\$ 67,160</u>	<u>\$ -</u>
PLUS GENERAL RESERVE	-	-	-	-	-	-	300	300	-
LESS INTRA-CITY EXPENSES	(19)	(3)	16	(22)	(8)	14	(1,549)	(1,549)	-
TOTAL EXPENDITURES	<u>\$ 4,682</u>	<u>\$ 4,200</u>	<u>\$ (482)</u>	<u>\$ 15,107</u>	<u>\$ 14,487</u>	<u>\$ (620)</u>	<u>\$ 65,911</u>	<u>\$ 65,911</u>	<u>\$ -</u>

Report No. 4A & 4B

Personnel Control Reports

**NEW YORK CITY
PERSONNEL CONTROL REPORT
REPORT NO. 4A
(MILLIONS OF DOLLARS)**

**MONTH: AUGUST
FISCAL YEAR 2012**

	FT & FTE POSITIONS		PERSONAL SERVICE COSTS						FT & FTE POSITIONS		PERSONAL SERVICE COSTS					
	CURRENT MONTH		CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2012 PROJECTIONS		FISCAL YEAR 2012 PROJECTIONS			FISCAL YEAR 2012 PROJECTIONS		
	ACTUAL	PLAN *	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)		
UNIFORM FORCES																
POLICE DEPT.	50,158	50,560	\$ 325	\$ 307	\$ (18)	\$ 655	\$ 635	\$ (20)	50,039	50,039	-	\$ 4,181	\$ 4,181	\$ -		
FIRE DEPT.	15,685	16,061	118	114	(4)	237	227	(10)	15,644	15,644	-	1,517	1,517	-		
DEPT. OF CORRECTION	9,797	10,187	72	66	(6)	142	133	(9)	10,156	10,156	-	895	895	-		
SANITATION DEPT.	8,958	8,957	57	58	1	120	120	-	9,168	9,168	-	789	789	-		
HEALTH & WELFARE																
ADMIN. FOR CHILD SERVICES	6,122	6,484	29	30	1	61	63	2	6,695	6,695	-	412	412	-		
DEPT. OF SOCIAL SERVICES	13,905	14,659	55	59	4	114	121	7	14,659	14,659	-	751	751	-		
DEPT. OF HOMELESS SERVICES	1,831	1,914	8	9	1	17	18	1	1,915	1,915	-	116	116	-		
HEALTH & MENTAL HYGIENE	5,029	6,040	28	28	-	55	59	4	6,084	6,084	-	374	374	-		
OTHER AGENCIES																
ENVIRONMENTAL PROTECTION	5,806	6,032	35	35	-	71	72	1	6,050	6,050	-	455	455	-		
TRANSPORTATION DEPT.	4,861	4,410	29	25	(4)	58	50	(8)	4,388	4,388	-	329	329	-		
PARKS & RECREATION DEPT.	8,351	7,188	28	25	(3)	54	50	(4)	5,316	5,316	-	239	239	-		
CITYWIDE ADMIN. SERVICES	2,235	2,405	11	11	-	22	22	-	2,312	2,312	-	142	142	-		
ALL OTHER	27,827	29,011	149	139	(10)	303	300	(3)	30,137	30,137	-	2,001	2,001	-		
COVERED ORGANIZATIONS																
DEPT. OF EDUCATION	134,143	134,280	243	227	(16)	444	418	(26)	131,282	131,282	-	12,623	12,623	-		
OTHER																
MISCELLANEOUS BUDGET	-	-	186	237	51	430	473	43	-	-	-	3,991	3,991	-		
PENSION CONTRIBUTIONS	-	-	613	613	-	1,226	1,227	1	-	-	-	8,424	8,424	-		
TOTAL	294,708	298,188	\$ 1,986	\$ 1,983	\$ (3)	\$ 4,009	\$ 3,988	\$ (21)	293,845	293,845	-	\$ 37,239	\$ 37,239	\$ -		

* Includes planned full-time headcount and estimates of planned FTEs.

**NEW YORK CITY
PERSONNEL CONTROL REPORT
REPORT NO. 4B**

**MONTH: AUGUST
FISCAL YEAR 2012**

	FULL-TIME POSITIONS CURRENT MONTH			FULL-TIME POSITIONS FISCAL YEAR 2012		
	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES						
POLICE DEPT.	49,356	49,631	275	48,551	48,551	-
FIRE DEPT.	15,593	16,003	410	15,586	15,586	-
DEPT. OF CORRECTION	9,751	10,140	389	10,109	10,109	-
SANITATION DEPT.	8,806	8,824	18	9,032	9,032	-
HEALTH & WELFARE						
ADMIN. FOR CHILD SERVICES	6,078	6,424	346	6,635	6,635	-
DEPT. OF SOCIAL SERVICES	13,876	14,655	779	14,655	14,655	-
DEPT. OF HOMELESS SERVICES	1,831	1,913	82	1,913	1,913	-
HEALTH & MENTAL HYGIENE	4,599	4,761	162	4,810	4,810	-
OTHER AGENCIES						
ENVIRONMENTAL PROTECTION	5,644	5,925	281	5,942	5,942	-
TRANSPORTATION DEPT.	4,462	4,055	(407)	4,086	4,086	-
PARKS & RECREATION DEPT.	3,308	3,442	134	2,681	2,681	-
CITYWIDE ADMIN. SERVICES	1,981	2,076	95	2,020	2,020	-
ALL OTHER	24,144	24,662	518	25,018	25,018	-
COVERED ORGANIZATIONS						
DEPT. OF EDUCATION	119,344	119,310	(34)	116,312	116,312	-
TOTAL	268,773	271,821	3,048	267,350	267,350	-

NOTES TO REPORTS NO. 4, 4A AND 4B

The current month, year-to-date and fiscal year data in Reports No. 4, 4A & 4B reflect the Financial Plan submitted to the Financial Control Board on June 29, 2011.

There are 294,708 filled positions as of August of which 268,773 are full-time positions and 25,935 are full-time equivalent positions. Of the 294,708 filled positions, 255,481 are estimated to be wholly or partially city funded. For the fiscal year (June 30, 2012) 267,350 of the 293,845 positions are full-time and 253,850 of the 293,845 positions are estimated to be city funded positions.

In some instances prior year charges are reflected in FY 2012 year-to-date expenses. These will be journaled back to prior years at a later date.

Police Department: The \$(64) million year-to-date variance is primarily due to:

- \$(49) million in accelerated encumbrances, including \$(34) million for other services and charges and \$(14) million for contractual services, that was planned to be obligated later in the fiscal year.
- \$5 million in delayed encumbrances, primarily for supplies and materials, that will be obligated later in the fiscal year.
- \$(20) million in personal services, including \$(23) million for overtime, offset by \$3 million in fringe benefits.

Fire Department: The \$(44) million year-to-date variance is primarily due to:

- \$(35) million in accelerated encumbrances, including \$(26) million for contractual services, \$(5) million for property and equipment and \$(4) million for supplies and materials, that was planned to be obligated later in the fiscal year.
- \$1 million in delayed encumbrances, primarily for other services and charges, that will be obligated later in the fiscal year.

- \$(10) million in personal services, including \$(14) million for overtime, offset by \$3 million for full-time normal gross.

Department of Correction: The \$(16) million year-to-date variance is primarily due to:

- \$(13) million in accelerated encumbrances, including \$(9) million for supplies and materials and \$(4) million for contractual services, that was planned to be obligated later in the fiscal year.
- \$6 million in delayed encumbrances, primarily for other services and charges, that will be obligated later in the fiscal year.
- \$(9) million in personal services, including \$(11) million for overtime, offset by \$2 million for full-time normal gross.

Sanitation Department: The \$(154) million year-to-date variance is primarily due to:

- \$(159) million in accelerated encumbrances, including \$(131) million for contractual services, \$(26) million for supplies and materials and \$(2) million for property and equipment, that was planned to be obligated later in the fiscal year.
- \$5 million in delayed encumbrances, primarily for other services and charges, that will be obligated later in the fiscal year.

Administration for Children's Services: The \$51 million year-to-date variance is primarily due to:

- \$(16) million in accelerated encumbrances, primarily for contractual services, that was planned to be obligated later in the fiscal year.
- \$65 million in delayed encumbrances, including \$45 million for fixed and miscellaneous charges, \$15 million for social services and \$5 million for other services and charges, that will be obligated later in the fiscal year.

- \$2 million in personal services.

Department of Social Services: The \$(68) million year-to-date variance is primarily due to:

- \$(87) million in accelerated encumbrances, including \$(46) million for contractual services, \$(31) million for public assistance, \$(6) million for medical assistance and \$(4) million for social services, that was planned to be obligated later in the fiscal year.
- \$12 million in delayed encumbrances, primarily for other services and charges, that will be obligated later in the fiscal year.
- \$7 million in personal services, primarily for full-time normal gross.

Department of Homeless Services: The \$(55) million year-to-date variance is primarily due to:

- \$(56) million in accelerated encumbrances, primarily for contractual services, that was planned to be obligated later in the fiscal year.
- \$1 million in personal services.

Department of Health and Mental Hygiene: The \$214 million year-to-date variance is primarily due to:

- \$(4) million in accelerated encumbrances, primarily for other services and charges, that was planned to be obligated later in the fiscal year.
- \$214 million in delayed encumbrances, including \$208 million for contractual services, \$4 million for supplies and materials and \$2 million for social services, that will be obligated later in the fiscal year.
- \$4 million in personal services, primarily for other salaried positions.

Housing Preservation and Development: The \$14 million year-to-date variance is primarily due to:

- \$(39) million in accelerated encumbrances, including \$(34) million for contractual services, \$(3) million for supplies and materials and \$(2) million for other services and charges, that was planned to be obligated later in the fiscal year.
- \$53 million in delayed encumbrances, primarily for fixed and miscellaneous charges, that will be obligated later in the fiscal year.

Department of Environmental Protection: The \$(38) million year-to-date variance is primarily due to:

- \$(48) million in accelerated encumbrances, including \$(30) million for supplies and materials, \$(14) million for contractual services and \$(4) million for fixed and miscellaneous charges, that was planned to be obligated later in the fiscal year.
- \$9 million in delayed encumbrances, primarily for other services and charges, that will be obligated later in the fiscal year.
- \$1 million in personal services.

Department of Transportation: The \$(94) million year-to-date variance is primarily due to:

- \$(87) million in accelerated encumbrances, including \$(49) million for supplies and materials and \$(37) million for contractual services, that was planned to be obligated later in the fiscal year.
- \$1 million in delayed encumbrances, primarily for other services and charges, that will be obligated later in the fiscal year.
- \$(8) million in personal services, primarily for full-time normal gross and overtime.

Department of Citywide Administrative Services: The \$26 million year-to-date variance is primarily due to:

- \$(3) million in accelerated encumbrances, primarily for supplies and materials, that was planned to be obligated later in the fiscal year.
- \$29 million in delayed encumbrances, primarily for other services and charges, that will be obligated later in the fiscal year.

Department of Education: The \$(273) million year-to-date variance is primarily due to:

- \$(411) million in accelerated encumbrances, including \$(368) million for contractual services, \$(32) million for other services and charges and \$(11) million for property and equipment, that was planned to be obligated later in the fiscal year.
- \$164 million in delayed encumbrances, including \$88 million for fixed and miscellaneous charges and \$76 million for supplies and materials, that will be obligated later in the fiscal year.
- \$(26) million in personal services, including \$(19) million for full-time normal gross, \$(10) million for backpay that will be journaled to prior years, \$(8) million for fringe benefits and \$(6) million for other adjustments, offset by \$17 million for other salaried positions.

Higher Education: The \$(52) million year-to-date variance is primarily due to:

- \$(46) million in accelerated encumbrances, including \$(33) million for fixed and miscellaneous charges, \$(9) million for other services and charges and \$(4) million for contractual services, that was planned to be obligated later in the fiscal year.
- \$(6) million in personal services, including \$(15) million for full-time normal gross, offset by \$8 million for fringe benefits.

Miscellaneous: The \$130 million year-to-date variance is primarily due to:

- \$39 million in fringe benefits reflecting delayed encumbrances, that will be obligated later in the fiscal year.
- \$(153) million in transit subsidies reflecting accelerated encumbrances, that was planned to be obligated later in the fiscal year.
- \$(67) million in judgments and claims reflecting prior year charges.
- \$51 million in other, that will be obligated later in the fiscal year.

Debt Service: The \$(16) million year-to-date variance is primarily due to:

- \$(49) million in accelerated encumbrances primarily for costs associated with financing, payments to counterparties and blended component units, that was planned to be obligated later in the fiscal year.
- \$33 million in delayed encumbrances primarily for general interest on bonds, that will be obligated later in the fiscal year.

Report No. 5

Capital Commitments

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: AUGUST		FISCAL YEAR: 2012		
	CURRENT MONTH		YEAR-TO-DATE		FISCAL YEAR
	ACTUAL	PLAN	ACTUAL	PLAN	PLAN
TRANSIT	\$0.0 (C) 0.0 (N)	\$0.0 (C) 0.0 (N)	\$0.0 (C) 0.0 (N)	\$0.0 (C) 0.0 (N)	\$171.0 (C) 32.2 (N)
HIGHWAY AND STREETS	20.3 (C) 5.2 (N)	24.5 (C) 8.0 (N)	24.4 (C) 4.4 (N)	43.5 (C) 11.3 (N)	547.7 (C) 255.4 (N)
HIGHWAY BRIDGES	1.5 (C) 0.0 (N)	1.6 (C) 0.0 (N)	2.3 (C) 0.0 (N)	5.5 (C) 0.2 (N)	354.8 (C) 403.8 (N)
WATERWAY BRIDGES	5.9 (C) 0.0 (N)	3.1 (C) 0.0 (N)	17.9 (C) 0.0 (N)	17.2 (C) 0.0 (N)	35.0 (C) 20.8 (N)
WATER SUPPLY	0.7 (C) 0.0 (N)	0.0 (C) 0.0 (N)	33.0 (C) 0.0 (N)	0.0 (C) 0.0 (N)	159.7 (C) 0.0 (N)
WATER MAINS, SOURCES & TREATMENT	16.2 (C) 0.0 (N)	11.7 (C) 0.0 (N)	35.2 (C) 0.0 (N)	20.0 (C) 0.0 (N)	944.7 (C) 133.3 (N)
SEWERS	23.2 (C) 0.0 (N)	40.3 (C) 0.2 (N)	29.4 (C) 0.1 (N)	71.7 (C) 0.3 (N)	594.2 (C) 1.2 (N)
WATER POLLUTION CONTROL	30.9 (C) (0.0) (N)	7.7 (C) 0.0 (N)	130.0 (C) (0.0) (N)	87.8 (C) 0.0 (N)	786.4 (C) 10.7 (N)
ECONOMIC DEVELOPMENT	14.0 (C) 0.4 (N)	(0.3) (C) 0.0 (N)	19.0 (C) 1.8 (N)	(1.9) (C) 0.0 (N)	861.7 (C) 144.3 (N)
EDUCATION	151.0 (C) 150.0 (N)	151.0 (C) 150.0 (N)	276.0 (C) 275.0 (N)	276.0 (C) 275.0 (N)	1,657.9 (C) 1,348.2 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: AUGUST		FISCAL YEAR: 2012		FISCAL YEAR PLAN
	CURRENT MONTH ACTUAL	PLAN	YEAR-TO-DATE ACTUAL	PLAN	
CORRECTION	7.8 (C) 0.0 (N)	81.6 (C) 0.0 (N)	7.8 (C) 0.0 (N)	138.3 (C) 0.0 (N)	304.2 (C) 0.0 (N)
SANITATION	150.6 (C) 0.0 (N)	152.9 (C) 0.0 (N)	151.1 (C) (0.2) (N)	154.0 (C) 0.9 (N)	652.7 (C) 5.5 (N)
POLICE	4.6 (C) 0.0 (N)	8.6 (C) 0.0 (N)	14.6 (C) 0.0 (N)	20.0 (C) 0.0 (N)	216.1 (C) 0.0 (N)
FIRE	10.4 (C) 0.0 (N)	(0.4) (C) 0.0 (N)	14.7 (C) 0.0 (N)	(0.4) (C) 0.0 (N)	197.0 (C) 0.3 (N)
HOUSING	10.0 (C) 1.9 (N)	3.7 (C) 0.0 (N)	16.8 (C) 0.2 (N)	10.5 (C) 0.2 (N)	612.7 (C) 244.0 (N)
HOSPITALS	6.3 (C) 2.5 (N)	2.4 (C) 0.0 (N)	8.3 (C) 2.5 (N)	4.4 (C) 0.0 (N)	374.9 (C) 0.5 (N)
PUBLIC BUILDINGS	3.4 (C) 0.0 (N)	5.3 (C) 0.0 (N)	12.5 (C) (0.0) (N)	84.1 (C) 0.0 (N)	628.7 (C) 0.4 (N)
PARKS	(13.0) (C) 3.6 (N)	(24.4) (C) 0.0 (N)	(9.5) (C) 3.5 (N)	(22.0) (C) (0.1) (N)	854.3 (C) 180.9 (N)
ALL OTHER DEPARTMENTS	11.2 (C) 1.2 (N)	3.8 (C) 0.6 (N)	43.4 (C) 4.6 (N)	40.1 (C) 7.3 (N)	3,026.5 (C) 332.9 (N)
TOTAL	\$455.2 (C) \$164.7 (N)	\$473.2 (C) \$158.9 (N)	\$827.0 (C) \$291.8 (N)	\$949.0 (C) \$295.2 (N)	\$12,980.3 (C) \$3,114.6 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**NEW YORK CITY
CAPITAL COMMITMENTS
REPORT NO. 5
(MILLIONS IN DOLLARS)**

Month: August

Fiscal Year: 2012

City Funds:

Total Authorized Commitment Plan	\$12,980
Less: Reserve for Unattained Commitments Commitment Plan	<u>(3,631)</u> <u>\$9,349</u>

Non-City Funds:

Total Authorized Commitment Plan	\$3,115
Less: Reserve for Unattained Commitments Commitment Plan	<u>0</u> <u>\$3,115</u>

Month and year-to-date variances for City funds are reported against the authorized FY 2012 September Capital Commitment Plan of \$12,980 million rather than the Financial Plan level of \$9,349 million. The additional \$3,631 million of commitments is included to help the City meet its overall Financial Plan target. Aggregate commitments are not expected to exceed the approved plan level as it may be modified from time to time.

NOTES TO REPORT #5

1. Capital Commitments: Commitments are defined as awarded contracts registered with the City Comptroller except for Waterway and Highway Bridge projects performed jointly with the State. Certain non-City commitments for Bridge projects are not registered with the City Comptroller. These commitments are reported when the State advertises the work. Beginning in Fiscal Year 2000, reported education commitments have been changed to represent master contract registrations with the Comptroller. Previously, reported education commitments represented Construction Authority contract registrations.

2. Variances in year-to-date commitments of City funds through August are primarily due to timing differences.

- | | | |
|-------------------------|---|--|
| Correction | - | Adolescent Reception Detention Center, Riker's Island, totaling \$8.8 million, slipped from July 2011 to November 2011. Correction facilities, construction, reconstruction and improvements, City-wide, totaling \$48.9 million, slipped from July and August 2011 to November 2011. Purchase of equipment for other use by the Department of Correction, totaling \$3.1 million, slipped from July 2011 to November 2011. Acquisition and construction of the supplementary housing program and support facilities, totaling \$62.5 million, slipped from July and August 2011 to November 2011. Purchase of computer equipment for other use by the Department of Correction, totaling \$5.4 million, slipped from July 2011 to November 2011. Various slippages and advances account for the remaining variance. |
| Economic
Development | - | Acquisition, site development, construction and reconstruction related to Economic Development, totaling \$12.8 million, advanced from December 2011 and June 2012 to July and August 2011, and contract deregistration totaling \$1.9 million, slipped from July and August 2011 to November 2011. Modernization and reconstruction of piers, City-wide, totaling \$4.3 million, advanced from June 2012 to July and August 2011. |
| Fire | - | Vehicle acquisition, City-wide, totaling \$9.4 million, advanced from June 2012 to July and August 2011. Facility improvements, City-wide, totaling \$3.9 million, advanced from November 2011 thru May 2012 to July 2011 and a deregistration planned for in August 2011, totaling \$2.0 million slipped to November 2011. Various slippages and advances account for the remaining variance. |

- Housing - Low income rental program, totaling \$5.9 million, advanced from June 2012 to August 2011. Various slippages and advances account for the remaining variance.
- Highways - Construction reconstruction and resurfacing of streets in Queens, totaling \$7.6 million, slipped from July and August 2011 to November 2011. Sidewalk reconstruction, totaling \$4.8 million, slipped from August 2011 to November 2011. Repaving and resurfacing of streets, in-house, totaling \$7.4 million, advanced from June 2012 to July and August 2011. Land Acquisition for streets and sewers, totaling \$3.5 million, slipped from July and August 2011 to November 2011. Reconstruction of City-owned retaining walls, City-wide, totaling \$4.7 million, slipped from July and August 2011 to November 2011. Various slippages and advances account for the remaining variance.
- Parks - Miscellaneous parks, parkways and playgrounds, City-wide, totaling \$5.7 million, advanced from September 2011 thru June 2012 to August 2011. Street and park tree planting, City-wide, totaling \$2.2 million, advanced from June 2012 to August 2011. Various slippages and advances account for the remaining variance.
- Police - Purchase of ultra-high frequency radio telephone equipment, totaling \$4.0 million, slipped from August 2011 to November 2011. Various slippages and advances account for the remaining variance.
- Public Buildings - Improvements to long term leases, totaling \$77.3 million, slipped from July 2011 to November 2011. Board of Elections, totaling \$6.4 million, advanced from December 2011 thru April 2012 to July 2011.
- Sewers - Construction and reconstruction of sanitary and combined sewers, City-wide, totaling \$20.6 million, slipped from July and August 2011 to November 2011. Construction and reconstruction of storm sewers, City-wide, totaling \$21.2 million, slipped from July and August 2011 to November 2011. Various slippages and advances account for the remaining variance.
- Water Supply - Additional Water Supply Emergency, totaling \$7.1 million, advanced from June 2012 to July and August 2011. City Tunnel Number three, Stage two, totaling \$26.0 million, advanced from June 2012 to July and August 2011. Various slippages and advances account for the remaining variance.

- Water Mains
- Water main extensions, City-wide, totaling \$13.1 million, slipped from July and August 2011 to November 2011. Trunk main extensions and improvements, totaling \$3.3 million, slipped from July and August 2011 to November 2011. Construction of the Croton Filtration Plant, totaling \$14.2 million, advanced from June 2012 to July and August 2011. Improvements to structures on watersheds outside the City, totaling \$15.0 million, advanced from February and June 2012 to July and August 2011. Water supply improvements, totaling \$2.3 million, advanced from June 2012 to July and August 2011. Various slippages and advances account for the remaining variance.
- Water Pollution Control
- Hunts Point Water Pollution Control Plant, totaling \$3.2 million, advanced from Fiscal Year 2013 to July and August 2011. Reconstruction of Ward's Island Water Pollution Control Plant, totaling \$4.2 million, advanced from September 2011 and June 2012 to July and August 2011. Reconstruction of Water Pollution Control Projects, totaling \$15.7 million, advanced from September 2011 to July and August 2011. North River Water Pollution Control Plant, totaling \$7.1 million, advanced from June 2012 to July and August 2011. Reconstruction of Jamaica Water Pollution Control Plant, totaling \$2.6 million, advanced from June 2012 to July and August 2011. Upgrade of the Newtown Creek Water Pollution Control Plant, totaling \$5.4 million, advanced from September 2011 and June 2012 to July and August 2011. Various slippages and advances account for the remaining variance.
- Others
- Purchase of EDP equipment, totaling \$5.6 million, advanced from June 2012 to July and August 2011.
 - Acquisition and reconstruction of leased and owned facilities by the Department of Environmental Protection, City-wide, totaling \$2.3 million, slipped from July and August 2011 to November 2011. Mandated payments for private gas utility relocation for the Department of Environmental Protection, totaling \$2.8 million, slipped from July and August 2011 to November 2011.
 - Construction, reconstruction, improvements, acquisitions, outfitting and equipment for libraries in Queens, totaling \$11.7 million, slipped from July and August 2011 to November 2011.
 - Purchase of electronic data processing equipment, totaling \$11.1 million, advanced from June 2012 to July and August 2011.

3. Variations in year-to-date commitments of non-City funds through August occurred in the Department of Transportation.

Highways - Non-City funded highway construction projects, totaling \$7.0 million, slipped from August 2011 to November 2011.

Report No. 5A

Capital Cash Flow

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: AUGUST		FISCAL YEAR: 2012	
	CURRENT MONTH ACTUAL		YEAR-TO-DATE ACTUAL	FISCAL YEAR PLAN
TRANSIT	\$0.0 (C) 0.0 (N)		\$0.0 (C) 0.0 (N)	\$78.6 (C) 11.0 (N)
HIGHWAY AND STREETS	12.0 (C) 3.8 (N)		28.4 (C) 6.1 (N)	366.7 (C) 90.1 (N)
HIGHWAY BRIDGES	16.8 (C) 15.3 (N)		30.2 (C) 38.5 (N)	292.8 (C) 206.4 (N)
WATERWAY BRIDGES	22.9 (C) 13.1 (N)		32.1 (C) 19.3 (N)	215.9 (C) 126.0 (N)
WATER SUPPLY	9.4 (C) 0.0 (N)		19.0 (C) 0.0 (N)	180.7 (C) 0.0 (N)
WATER MAINS, SOURCES & TREATMENT	86.9 (C) 0.0 (N)		165.8 (C) 0.0 (N)	895.9 (C) 32.1 (N)
SEWERS	12.5 (C) 1.4 (N)		23.7 (C) 2.1 (N)	214.0 (C) 1.6 (N)
WATER POLLUTION CONTROL	97.6 (C) 4.0 (N)		169.4 (C) 6.4 (N)	801.1 (C) 47.9 (N)
ECONOMIC DEVELOPMENT	11.9 (C) 9.4 (N)		19.4 (C) 13.3 (N)	241.4 (C) 51.1 (N)
EDUCATION	51.7 (C) 198.3 (N)		51.7 (C) 198.3 (N)	1,055.8 (C) 877.2 (N)

SYMBOLS:

(C) CITY FUNDS

(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: AUGUST	FISCAL YEAR: 2012	FISCAL YEAR
	CURRENT MONTH ACTUAL	YEAR-TO-DATE ACTUAL	PLAN
CORRECTION	3.9 (C)	9.7 (C)	124.0 (C)
	0.0 (N)	0.0 (N)	0.0 (N)
SANITATION	32.1 (C)	39.9 (C)	286.9 (C)
	0.0 (N)	0.0 (N)	1.6 (N)
POLICE	13.0 (C)	36.3 (C)	307.1 (C)
	0.0 (N)	0.0 (N)	0.0 (N)
FIRE	11.2 (C)	18.8 (C)	86.0 (C)
	0.0 (N)	0.0 (N)	0.7 (N)
HOUSING	14.7 (C)	46.5 (C)	241.0 (C)
	1.7 (N)	32.6 (N)	104.3 (N)
HOSPITALS	2.6 (C)	19.9 (C)	93.3 (C)
	0.0 (N)	0.0 (N)	0.1 (N)
PUBLIC BUILDINGS	8.5 (C)	18.8 (C)	186.2 (C)
	0.0 (N)	(0.1) (N)	0.2 (N)
PARKS	31.9 (C)	57.5 (C)	385.0 (C)
	1.1 (N)	9.2 (N)	60.9 (N)
ALL OTHER DEPARTMENTS	82.6 (C)	181.0 (C)	1,476.8 (C)
	22.3 (N)	26.6 (N)	154.2 (N)
TOTAL	\$522.3 (C)	\$968.3 (C)	\$7,529.2 (C)
	\$270.3 (N)	\$352.4 (N)	\$1,765.2 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

Report No. 6 & 6A

Month-by-Month Cash Flow Forecast

NEW YORK CITY
MONTH - BY - MONTH CASH FLOW FORECAST
REPORT NO. 6
(MILLIONS OF DOLLARS)

MONTH: AUGUST
FISCAL YEAR 2012

	ACTUAL		SEP	OCT	NOV	DEC	FORECAST					12 Months	ADJUST- MENTS	TOTAL	
	JUL	AUG					JAN	FEB	MAR	APR	MAY				JUN
CASH INFLOWS															
CURRENT															
GENERAL PROPERTY TAX	\$3,640	\$127	\$859	\$612	\$39	\$4,024	\$2,410	\$91	\$947	\$409	\$19	\$2,521	\$15,698	\$1,927	\$17,625
OTHER TAXES	473	1,059	2,887	1,484	1,069	2,806	2,783	1,339	2,577	2,499	1,106	3,730	23,812	600	24,412
FEDERAL GRANTS	323	397	(89)	141	320	647	307	616	816	254	432	742	4,906	1,768	6,674
STATE GRANTS	326	269	616	59	410	920	372	166	2,138	198	1,515	1,905	8,894	2,136	11,030
OTHER CATEGORICAL	71	209	(22)	36	25	56	84	36	50	91	44	270	950	243	1,193
UNRESTRICTED (NET OF DISALL.)	-	-	6	4	4	4	4	3	-	-	(5)	(10)	10	12	22
MISCELLANEOUS REVENUES	542	420	303	282	285	308	307	261	375	346	534	443	4,406	-	4,406
CAPITAL INTER-FUND TRANSFERS	-	-	47	29	29	46	92	29	47	91	32	67	509	40	549
SUBTOTAL	5,375	2,481	4,607	2,647	2,181	8,811	6,359	2,541	6,950	3,888	3,677	9,668	59,185	6,726	65,911
PRIOR															
OTHER TAXES	556	208	-	-	-	-	-	-	-	-	-	-	764	-	764
FEDERAL GRANTS	290	526	344	276	383	177	138	130	375	6	130	132	2,907	1,306	4,213
STATE GRANTS	207	376	391	189	180	141	177	43	97	103	84	106	2,094	2,422	4,516
OTHER CATEGORICAL	6	16	236	22	49	9	6	-	8	4	11	8	375	62	437
UNRESTRICTED	-	1	-	-	-	-	-	-	-	-	-	-	1	24	25
MISC. REVENUE/CAPITAL IFA	1	25	35	-	-	-	-	-	-	-	-	-	61	(61)	-
SUBTOTAL	1,060	1,152	1,006	487	612	327	321	173	480	113	225	246	6,202	3,753	9,955
CAPITAL															
CAPITAL TRANSFERS	446	689	611	617	863	910	224	155	992	868	566	1,411	8,352	(823)	7,529
FEDERAL AND STATE	171	118	40	91	41	61	396	182	154	123	108	523	2,008	(243)	1,765
OTHER															
SENIOR COLLEGES	-	1	1	402	1	1	-	263	492	178	5	465	1,809	-	1,809
HOLDING ACCT. & OTHER ADJ.	2	2	(4)	-	-	-	-	-	-	-	-	-	-	-	-
OTHER SOURCES	360	-	-	-	-	-	-	-	-	-	-	-	360	-	360
TOTAL INFLOWS	\$7,414	\$4,443	\$6,261	\$4,244	\$3,698	\$10,110	\$7,300	\$3,314	\$9,068	\$5,170	\$4,581	\$12,313	\$77,916	\$9,413	87,329
CASH OUTFLOWS															
CURRENT															
PS	\$1,428	\$1,984	\$3,340	\$2,711	\$2,730	\$2,823	\$2,844	\$2,663	\$3,394	\$2,733	\$2,772	\$4,993	\$34,415	\$2,824	\$37,239
OTPS	1,281	1,766	1,987	2,078	1,721	2,334	1,812	2,153	2,424	1,886	1,847	2,432	23,721	2,712	26,433
DEBT SERVICE	80	44	16	20	97	145	453	310	285	380	195	214	2,239	-	2,239
SUBTOTAL	2,789	3,794	5,343	4,809	4,548	5,302	5,109	5,126	6,103	4,999	4,814	7,639	60,375	5,536	65,911
PRIOR															
PS	1,580	777	4	14	14	2	20	3	3	1	3	79	2,500	1,500	4,000
OTPS	777	500	53	13	161	63	110	512	64	44	206	197	2,700	2,300	5,000
OTHER TAXES	78	113	-	-	-	-	-	-	-	-	-	-	191	-	191
DISALLOWANCE RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	-	1,093	1,093
SUBTOTAL	2,435	1,390	57	27	175	65	130	515	67	45	209	276	5,391	4,893	10,284
CAPITAL															
CITY DISBURSEMENTS	446	522	525	723	488	732	531	704	429	875	500	1,054	7,529	-	7,529
FEDERAL AND STATE	82	270	63	182	143	195	98	191	63	150	54	274	1,765	-	1,765
OTHER															
SENIOR COLLEGES	118	120	209	179	139	139	139	139	209	139	139	140	1,809	-	1,809
OTHER USES	-	235	-	-	-	-	-	-	-	-	-	125	360	-	360
TOTAL OUTFLOWS	\$5,870	\$6,331	\$6,197	\$5,920	\$5,493	\$6,433	\$6,007	\$6,675	\$6,871	\$6,208	\$5,716	\$9,508	\$77,229	\$10,429	\$87,658
NET CASH FLOW	\$1,544	(\$1,888)	\$64	(\$1,676)	(\$1,795)	\$3,677	\$1,293	(\$3,361)	\$2,197	(\$1,038)	(\$1,135)	\$2,805	\$687	(\$1,016)	(\$329)
BEGINNING BALANCE	\$5,041	\$6,585	\$4,697	\$4,761	\$3,085	\$1,290	\$4,967	\$6,260	\$2,899	\$5,096	\$4,058	\$2,923	\$5,041		
ENDING BALANCE	\$6,585	\$4,697	\$4,761	\$3,085	\$1,290	\$4,967	\$6,260	\$2,899	\$5,096	\$4,058	\$2,923	\$5,728	\$5,728		

NEW YORK CITY
MONTH - BY - MONTH CASH FLOW FORECAST
REPORT NO. 6A
(MILLIONS OF DOLLARS)

MONTH: AUGUST
FISCAL YEAR 2012

	ACTUAL		FORECAST										12 Months	ADJUST- MENTS	TOTAL
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN			
SENIOR COLLEGES															
SENIOR COLLEGES COST (OUTFLOW)	(118)	(120)	(209)	(179)	(139)	(139)	(139)	(139)	(209)	(139)	(139)	(140)	(1,809)	-	(1,809)
SENIOR COLLEGES INFLOW - CURRENT	-	-	-	13	1	1	-	263	492	178	5	465	1,418	391	1,809
SENIOR COLLEGES INFLOW - PRIOR	-	1	1	389	-	-	-	-	-	-	-	-	391	(391)	-
NET SENIOR COLLEGES	(118)	(119)	(208)	223	(138)	(138)	(139)	124	283	39	(134)	325	-	-	-
CAPITAL															
<u>CURRENT CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	200	982	100	646	-	1,275	-	775	1,275	-	355	1,650	7,258	-	7,258
(INC)/DEC RESTRICTED CASH	(83)	(490)	398	(158)	828	(365)	224	(620)	(283)	868	211	(239)	291	(823)	(532)
SUBTOTAL	117	492	498	488	828	910	224	155	992	868	566	1,411	7,549	(823)	6,726
<u>PRIOR CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	-	-	-	129	-	-	-	-	-	-	-	-	129	-	129
(INC)/DEC RESTRICTED CASH	329	197	113	-	35	-	-	-	-	-	-	-	674	-	674
SUBTOTAL	329	197	113	129	35	-	-	-	-	-	-	-	803	-	803
TOTAL CITY CAPITAL TRANSFERS	446	689	611	617	863	910	224	155	992	868	566	1,411	8,352	(823)	7,529
<u>FEDERAL AND STATE - INFLOWS:</u>															
CURRENT	137	18	(4)	91	41	61	396	182	154	123	108	523	1,830	(65)	1,765
PRIOR	34	100	44	-	-	-	-	-	-	-	-	-	178	(178)	-
TOTAL FEDERAL AND STATE INFLOWS	171	118	40	91	41	61	396	182	154	123	108	523	2,008	(243)	1,765
<u>CAPITAL OUTFLOWS:</u>															
CITY DISBURSEMENTS	(446)	(522)	(525)	(723)	(488)	(732)	(531)	(704)	(429)	(875)	(500)	(1,054)	(7,529)	-	(7,529)
FEDERAL AND STATE	(82)	(270)	(63)	(182)	(143)	(195)	(98)	(191)	(63)	(150)	(54)	(274)	(1,765)	-	(1,765)
TOTAL OUTFLOWS	(528)	(792)	(588)	(905)	(631)	(927)	(629)	(895)	(492)	(1,025)	(554)	(1,328)	(9,294)	-	(9,294)
<u>NET CAPITAL:</u>															
NET CITY CAPITAL	-	167	86	(106)	375	178	(307)	(549)	563	(7)	66	357	823	(823)	-
NET NON-CITY CAPITAL	89	(152)	(23)	(91)	(102)	(134)	298	(9)	91	(27)	54	249	243	(243)	-
NET TOTAL CAPITAL	89	15	63	(197)	273	44	(9)	(558)	654	(34)	120	606	1,066	(1,066)	-

NOTES TO REPORT #6/6A

1. **Beginning Balance**

The July 2011 beginning balance is preliminary and subject to the FY 2011 audited Comprehensive Annual Financial Report (CAFR).

2. **Ending Balances**

The actual monthly ending cash balances are subject to restatement after the completion of bank reconciliations and the FY 2011 audited Comprehensive Annual Financial Report (CAFR). The June 2012 ending balance includes deferred revenue from FY 2013 prepaid Real Estate Taxes.

3. **Long Term Borrowings**

Long Term Borrowings are comprised of proceeds of City general obligation bonds, NYC TFA debt and Water Authority revenue bonds, exclusive of bonds issued for refunding.

4. **Restricted Cash Bond Proceeds**

In certain instances a portion of the proceeds from each bond issuance is for capital expenditures to be made in succeeding months. Restricted cash bond proceeds accounts have been set up for this purpose. Balances in these accounts are excluded from the cash balances that are reflected in Report #6.