

Financial Plan Statements  
for  
New York City  
February 2009



The City of New York



**This report contains Financial Plan Statements for February 2009 which have been prepared in accordance with the New York State Financial Emergency Act for the City of New York.**


**The fiscal year plan reflects the Financial Plan as submitted to the Financial Control Board on January 30, 2009.**

**The forecast of revenues and expenditures reflects actual revenue and expenditure performance to date and expected activity for the remainder of the fiscal year.**

**The actuals and projections in the forecasts are based on the best information available to the City at the date of preparation and certain assumptions and methods of estimation, which are considered reasonable and appropriate for purposes of the report as of such date.**

**THE CITY OF NEW YORK  
BY**

  
**Stuart Klein**  
**First Deputy Director**  
**Office of Management and Budget**

  
**Marcia J. Van Wagner**  
**Deputy Comptroller Budget**  
**Office of the Comptroller**

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## NOTES TO FINANCIAL PLAN STATEMENTS

### I. Summary of Significant Financial Policies, Procedures and Development

#### A. Financial Plan Statements

The City's Financial Plan Statements represent the accounts of the General Fund and certain transactions of the Capital Projects Fund of the City, including the Department of Education and the City University of New York. They do not include the total operations of the New York City Health and Hospitals Corporation (HHC) but do include the City's subsidy to HHC and the City's share of payments to the Corporation in connection with its role as a Medicaid provider.

Covered Organization Financial Plans are issued quarterly.

The City's Financial Plan Statements incorporate the policies and procedures discussed in Note B. Such data are unaudited. Prior years' balances for cash, accounts receivable and outstanding obligations are consistent with the FY 2008 audited Comprehensive Annual Financial Report (CAFR). Whenever appropriate, adjustments have been incorporated into the Financial Plan Statements as they relate to the audit of the Comprehensive Annual Financial Report (CAFR). The Financial Plan on which these statements are based was prepared in accordance with generally accepted accounting principles.

#### B. Basis of Accounting

##### 1. Revenues

Real estate tax revenue is recorded on the modified accrual basis of accounting, which recognizes as revenue payments received against the current year levy and late payments on prior year levies received within the first two months of the following year, reduced by estimated tax refunds to be made in the period.

Taxpayer assessed revenues (e.g. sales, income and certain excise taxes), net of estimated refunds, are recorded on a modified accrual basis. Revenues are susceptible to accrual if they are both measurable and available to be used to finance governmental operations during the year.

All other revenues are recorded when received in cash.

Federal categorical grants except as noted below are recognized as revenue as claims are filed during the year and adjusted at year-end for revenues earned but not claimed. State grants are recognized in the same manner. Advances provided to the City in anticipation of filing of claims by the City for federal and state reimbursement of Medicaid and welfare expenditures are recognized as revenue when received.

## 2. Expenditures

### (a) Debt Service

Debt Service expenditures on general obligation issuances are recorded when City real estate tax collections are deposited into the Debt Service fund in advance of the actual debt service payment.

Debt Service expenditures are net of Transitional Finance Authority (TFA) debt service and City personal income taxes (PIT) are net of PIT deposited into the TFA Debt Service fund in advance of the actual debt service payment.

### (b) Fixed Assets

Acquisitions of fixed assets having a useful life of more than five years and costing more than \$15,000 are treated as capital expenditures. All other acquisitions of fixed assets are treated as operating expenditures.

### (c) Encumbrances

Encumbrances entered during FY 2009 for OTPS purchase orders and contracts expected to be received by June 30, 2009 are treated as expenditures.

### (d) Self-Insurance

The City is self-insured with respect to most risks, including, but not limited to, property damage, personal injury, and workers' compensation. Settlements reached or judgments entered during FY 2009 are recorded when paid and adjusted at year-end for any additional unpaid settlements reached or judgments entered during FY 2009.

(e) Vacation and Sick Leave

The annual costs of actual vacation and sick leave are recorded on a cash basis.

(f) Materials and Supplies

Purchases of materials and supplies are treated as expenditures when encumbered. No inventory accounts are included in the financial statements.

(g) General Reserve

The General Reserve provides for shortfalls in revenues and overruns in uncontrollable expenditures.

3. Capital Commitments

The basis of reporting actual capital commitments, as well as sources and uses of capital expenditures, has been changed. Commitments and expenditures are now reported based upon the accounting period of the transaction. In prior Financial Plan Statements, these actuals were based upon dates transactions were entered in the City's Financial Management System.

C. Pension Plans

The City sponsors or participates in pension plans covering all eligible employees. Most plans require employee contributions. The plans provide pension benefits based on salary and length of service. In the event of disability during employment, participants may receive retirement allowances based on satisfaction of certain service requirements and other plan provisions. The City's main pension systems are the five major actuarial systems - The New York City Employees' Retirement System, The Teachers Retirement System, The Board of Education Retirement System, The New York City Police Pension Fund, Article 2 and the Fire Department Pension Fund Article 1-B.

The City also contributed to three other actuarial systems and sponsors six non-actuarial retirements' systems for certain employees, retirees and beneficiaries not covered by any of the five major actuarial systems. In addition, the City provides cost-of-living and other supplemental pensions to certain retirees of the actuarial and non-actuarial systems.

# **Report No. 1**

## Financial Plan Summary

**NEW YORK CITY  
FINANCIAL PLAN SUMMARY  
REPORT NO. 1**

**MONTH: FEBRUARY  
FISCAL YEAR 2009**

**(MILLIONS OF DOLLARS)**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2009		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
<b>REVENUES:</b>									
TAXES									
GENERAL PROPERTY TAXES	\$ 81	\$ 34	\$ 47	\$ 13,120	\$ 13,073	\$ 47	\$ 14,357	\$ 14,357	\$ -
OTHER TAXES	921	873	48	13,416	13,368	48	21,534	21,534	-
MISCELLANEOUS REVENUES	478	481	(3)	3,312	3,315	(3)	5,945	5,945	-
UNRESTRICTED INTGOVT. AID	-	-	-	-	-	-	254	254	-
LESS: INTRA-CITY REVENUES	(78)	(172)	94	(427)	(521)	94	(1,631)	(1,631)	-
DISALLOWANCES	-	-	-	-	-	-	(15)	(15)	-
<b>SUBTOTAL</b>	<b>1,402</b>	<b>1,216</b>	<b>186</b>	<b>29,421</b>	<b>29,235</b>	<b>186</b>	<b>40,444</b>	<b>40,444</b>	<b>-</b>
OTHER CATEGORICAL GRANTS	96	51	45	333	288	45	1,104	1,104	-
CAPITAL INTER-FUND TRANSFERS	34	29	5	189	184	5	477	477	-
FEDERAL GRANTS	395	647	(252)	2,219	2,471	(252)	6,037	6,037	-
STATE GRANTS	968	1,091	(123)	5,944	6,067	(123)	12,031	12,031	-
<b>TOTAL REVENUES</b>	<b>\$ 2,895</b>	<b>\$ 3,034</b>	<b>\$ (139)</b>	<b>\$ 38,106</b>	<b>\$ 38,245</b>	<b>\$ (139)</b>	<b>\$ 60,093</b>	<b>\$ 60,093</b>	<b>\$ -</b>
<b>EXPENDITURES:</b>									
PS	\$ 2,756	\$ 2,628	\$ (128)	\$ 20,358	\$ 20,234	\$ (124)	\$ 34,716	\$ 34,716	\$ -
OTPS	1,325	1,565	240	18,180	18,510	330	25,479	25,479	-
DEBT SERVICE	(17)	9	26	82	145	63	1,429	1,429	-
GENERAL RESERVE	-	-	-	-	-	-	100	100	-
<b>SUBTOTAL</b>	<b>4,064</b>	<b>4,202</b>	<b>138</b>	<b>38,620</b>	<b>38,889</b>	<b>269</b>	<b>61,724</b>	<b>61,724</b>	<b>-</b>
LESS: INTRA-CITY EXPENSES	(78)	(172)	(94)	(427)	(521)	(94)	(1,631)	(1,631)	-
<b>TOTAL EXPENDITURES</b>	<b>\$ 3,986</b>	<b>\$ 4,030</b>	<b>\$ 44</b>	<b>\$ 38,193</b>	<b>\$ 38,368</b>	<b>\$ 175</b>	<b>\$ 60,093</b>	<b>\$ 60,093</b>	<b>\$ -</b>
<b>SURPLUS/(DEFICIT)</b>	<b>\$ (1,091)</b>	<b>\$ (996)</b>	<b>\$ (95)</b>	<b>\$ (87)</b>	<b>\$ (123)</b>	<b>\$ 36</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>



## **Report No. 1A**

Month-by-Month Revenue and Obligation Forecast

**NEW YORK CITY  
MONTH-BY-MONTH - REVENUE AND OBLIGATION FORECAST  
REPORT NO. 1A**

(MILLIONS OF DOLLARS)

MONTH: FEBRUARY  
FISCAL YEAR 2009

	ACTUAL								FORECAST					
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	POST JUNE	TOTAL YEAR
<b>REVENUES:</b>														
TAXES														
GENERAL PROPERTY TAXES	\$ 6,916	\$ 133	\$ 642	\$ 248	\$ 6	\$ 1,492	\$ 3,602	\$ 81	\$ 805	\$ 440	\$ 17	\$ 34	\$ (59)	\$ 14,357
OTHER TAXES	1,047	1,011	3,337	1,026	1,235	2,260	2,579	921	2,358	1,755	713	3,062	230	21,534
MISCELLANEOUS REVENUES	621	306	452	400	360	366	329	478	482	520	771	652	208	5,945
UNRESTRICTED INTGOVT. AID	-	-	-	-	-	-	-	-	-	-	-	254	-	254
ANTICIPATED REVENUES	-	-	-	-	-	-	-	-	-	-	-	-	-	-
LESS: INTRA-CITY REVENUES DISALLOWANCES	(3)	(4)	(70)	(71)	(25)	(118)	(58)	(78)	(146)	(199)	(157)	(308)	(394)	(1,631)
	-	-	-	-	-	-	-	-	-	-	(5)	(10)	-	(15)
<b>SUBTOTAL</b>	<b>8,581</b>	<b>1,446</b>	<b>4,361</b>	<b>1,603</b>	<b>1,576</b>	<b>4,000</b>	<b>6,452</b>	<b>1,402</b>	<b>3,499</b>	<b>2,516</b>	<b>1,339</b>	<b>3,684</b>	<b>(15)</b>	<b>40,444</b>
OTHER CATEGORICAL GRANTS	-	14	16	86	20	39	62	96	134	113	51	473	-	1,104
CAPITAL INTER-FUND TRANSFERS	-	14	19	25	32	41	24	34	98	31	32	19	108	477
FEDERAL GRANTS	8	24	116	178	560	388	550	395	874	553	531	548	1,312	6,037
STATE GRANTS	19	3	1,642	156	1,181	877	1,098	968	1,117	1,199	1,090	1,479	1,202	12,031
<b>TOTAL REVENUES:</b>	<b>\$ 8,608</b>	<b>\$ 1,501</b>	<b>\$ 6,154</b>	<b>\$ 2,048</b>	<b>\$ 3,369</b>	<b>\$ 5,345</b>	<b>\$ 8,186</b>	<b>\$ 2,895</b>	<b>\$ 5,722</b>	<b>\$ 4,412</b>	<b>\$ 3,043</b>	<b>\$ 6,203</b>	<b>\$ 2,607</b>	<b>\$ 60,093</b>
<b>EXPENDITURES:</b>														
PS	\$ 1,424	\$ 2,371	\$ 2,578	\$ 2,586	\$ 2,745	\$ 2,703	\$ 3,195	\$ 2,756	\$ 2,757	\$ 2,721	\$ 2,772	\$ 4,126	\$ 1,982	\$ 34,716
OTPS	6,375	2,442	2,621	1,845	1,794	1,045	733	1,325	1,999	1,346	1,396	2,319	239	25,479
DEBT SERVICE	127	(7)	(17)	3	18	(16)	(9)	(17)	(28)	110	120	1,145	-	1,429
GENERAL RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	100	100
<b>SUBTOTAL</b>	<b>7,926</b>	<b>4,806</b>	<b>5,182</b>	<b>4,434</b>	<b>4,557</b>	<b>3,732</b>	<b>3,919</b>	<b>4,064</b>	<b>4,728</b>	<b>4,177</b>	<b>4,288</b>	<b>7,590</b>	<b>2,321</b>	<b>61,724</b>
LESS: INTRA-CITY EXPENSES	(3)	(4)	(70)	(71)	(25)	(118)	(58)	(78)	(146)	(199)	(157)	(308)	(394)	(1,631)
<b>TOTAL EXPENDITURES</b>	<b>\$ 7,923</b>	<b>\$ 4,802</b>	<b>\$ 5,112</b>	<b>\$ 4,363</b>	<b>\$ 4,532</b>	<b>\$ 3,614</b>	<b>\$ 3,861</b>	<b>\$ 3,986</b>	<b>\$ 4,582</b>	<b>\$ 3,978</b>	<b>\$ 4,131</b>	<b>\$ 7,282</b>	<b>\$ 1,927</b>	<b>\$ 60,093</b>
<b>SURPLUS/(DEFICIT)</b>	<b>\$ 685</b>	<b>\$ (3,301)</b>	<b>\$ 1,042</b>	<b>\$ (2,315)</b>	<b>\$ (1,163)</b>	<b>\$ 1,731</b>	<b>\$ 4,325</b>	<b>\$ (1,091)</b>	<b>\$ 1,140</b>	<b>\$ 434</b>	<b>\$ (1,088)</b>	<b>\$ (1,079)</b>	<b>\$ 680</b>	<b>\$ -</b>

## **Report No. 2**

Analysis of Change in Fiscal Year Plan

**NEW YORK CITY  
ANALYSIS OF CHANGE IN FISCAL YEAR FORECAST  
REPORT NO. 2**

**MONTH: FEBRUARY  
FISCAL YEAR 2009**

**(MILLIONS OF DOLLARS)**

<b>DESCRIPTION</b>	<b>INITIAL PLAN 6/30/2008</b>	<b>CHANGES FROM INITIAL PLAN</b>	<b>CHANGES FROM PREVIOUS FORECAST</b>
<b>REVENUES:</b>			
TAXES			
GENERAL PROPERTY TAXES	\$ 13,782	\$ 575	\$ -
OTHER TAXES	22,545	(1,011)	-
MISCELLANEOUS REVENUES	5,671	274	-
UNRESTRICTED INTERGOVERNMENTAL AID	340	(86)	-
LESS:INTRA-CITY REVENUES	(1,538)	(93)	-
DISALLOWANCES	(15)	-	-
SUBTOTAL	40,785	(341)	-
OTHER CATEGORICAL GRANTS	1,029	75	-
CAPITAL INTERFUND TRANSFERS	463	14	-
FEDERAL GRANTS	5,366	671	-
STATE GRANTS	11,526	505	-
<b>TOTAL REVENUES</b>	<b>\$ 59,169</b>	<b>\$ 924</b>	<b>\$ -</b>
<b>EXPENDITURES:</b>			
PERSONAL SERVICE	\$ 34,497	\$ 219	\$ -
OTHER THAN PERSONAL SERVICE	24,619	860	-
DEBT SERVICE	1,291	138	-
GENERAL RESERVE	300	(200)	-
SUBTOTAL	60,707	1,017	-
LESS:INTRA-CITY EXPENDITURES	(1,538)	(93)	-
<b>TOTAL EXPENDITURES</b>	<b>\$ 59,169</b>	<b>\$ 924</b>	<b>\$ -</b>

## **Report No. 3**

Revenue Activity by Major Area

**NEW YORK CITY  
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)  
REPORT NO. 3**

(MILLIONS OF DOLLARS)

**MONTH: FEBRUARY  
FISCAL YEAR 2009**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2009		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
<b>TAXES:</b>									
GENERAL PROPERTY TAXES	\$ 81	\$ 34	\$ 47	\$ 13,120	\$ 13,073	\$ 47	\$ 14,357	\$ 14,357	\$ -
PERSONAL INCOME TAX	419	354	65	5,110	5,045	65	6,944	6,944	-
GENERAL CORPORATION TAX	(64)	33	(97)	1,047	1,144	(97)	2,433	2,433	-
BANKING CORPORATION TAX	(12)	(6)	(6)	203	209	(6)	447	447	-
UNINCORPORATED BUSINESS TAX	15	6	9	1,026	1,017	9	1,739	1,739	-
GENERAL SALES TAX	329	279	50	3,096	3,046	50	4,555	4,555	-
REAL PROPERTY TRANSFER TAX	32	49	(17)	583	600	(17)	828	828	-
MORTGAGE RECORDING TAX	28	58	(30)	401	431	(30)	679	679	-
COMMERCIAL RENT TAX	3	-	3	293	290	3	556	556	-
UTILITY TAX	14	29	(15)	212	227	(15)	397	397	-
OTHER TAXES	27	30	(3)	443	446	(3)	1,015	1,015	-
TAX AUDIT REVENUES *	130	41	89	515	426	89	680	680	-
TAX PROGRAM (STAR)	-	-	-	487	487	-	1,261	1,261	-
<b>TOTAL TAXES</b>	<b>\$ 1,002</b>	<b>\$ 907</b>	<b>\$ 95</b>	<b>\$ 26,536</b>	<b>\$ 26,441</b>	<b>\$ 95</b>	<b>\$ 35,891</b>	<b>\$ 35,891</b>	<b>\$ -</b>
<b>MISCELLANEOUS REVENUES:</b>									
LICENSES/FRANCHISES/ETC.	24	23	1	319	318	1	484	484	-
INTEREST INCOME	11	2	9	92	83	9	90	90	-
CHARGES FOR SERVICES	40	92	(52)	331	383	(52)	631	631	-
WATER AND SEWER CHARGES	58	51	7	856	849	7	1,312	1,312	-
RENTAL INCOME	16	16	-	151	151	-	228	228	-
FINES AND FORFEITURES	64	64	-	539	539	-	782	782	-
MISCELLANEOUS	187	61	126	597	471	126	787	787	-
INTRA-CITY REVENUE	78	172	(94)	427	521	(94)	1,631	1,631	-
<b>TOTAL MISCELLANEOUS</b>	<b>\$ 478</b>	<b>\$ 481</b>	<b>\$ (3)</b>	<b>\$ 3,312</b>	<b>\$ 3,315</b>	<b>\$ (3)</b>	<b>\$ 5,945</b>	<b>\$ 5,945</b>	<b>\$ -</b>

\* The financial plan as submitted on January 30, 2009 reflects \$680 million in Tax Audit Revenues, anticipated to be collected as follows:

	CURRENT MONTH ACTUAL	YEAR-TO-DATE ACTUAL	FISCAL YEAR 2009 PLAN
SALES TAX	\$ 1	\$ 11	\$ 20
PERSONAL INCOME TAX	1	16	25
GENERAL CORPORATION TAX	121	260	349
COMMERCIAL RENT TAX	1	10	15
FINANCIAL CORPORATION TAX	1	183	201
UTILITY TAX	-	13	8
UNINCORPORATED BUSINESS TAX	4	19	48
REAL PROPERTY TRANSFER	1	2	6
OTHER TAXES	-	1	8
<b>TOTAL</b>	<b>\$ 130</b>	<b>\$ 515</b>	<b>\$ 680</b>

**REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)  
REPORT NO. 3 (CONT.)**

**(MILLIONS OF DOLLARS)**

**MONTH: FEBRUARY  
FISCAL YEAR 2009**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2009		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
<b>UNRESTRICTED INTGOVT. AID</b>									
FEDERAL REVENUE SHARING	\$ -	\$ -	\$ -	\$ -	-	\$ -	\$ -	\$ -	\$ -
NY STATE REVENUE SHARING	-	-	-	-	-	-	242	242	-
OTHER INTGOVT. AID	-	-	-	-	-	-	12	12	-
<b>TOTAL UNRESTRICTED INTG.</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 254</b>	<b>\$ 254</b>	<b>\$ -</b>
OTHER CATEGORICAL GRANTS	96	51	45	333	288	45	1,104	1,104	-
CAPITAL INTER-FUND TRANSFERS	34	29	5	189	184	5	477	477	-
LESS: INTRA-CITY REVENUES	(78)	(172)	94	(427)	(521)	94	(1,631)	(1,631)	-
LESS: DISALLOWANCES	-	-	-	-	-	-	(15)	(15)	-
<b>FEDERAL GRANTS</b>									
COMMUNITY DEVELOPMENT	16	24	(8)	144	152	(8)	290	290	-
WELFARE	210	230	(20)	1,115	1,135	(20)	2,629	2,629	-
EDUCATION	71	296	(225)	534	759	(225)	1,758	1,758	-
OTHER	98	97	1	426	425	1	1,360	1,360	-
<b>TOTAL FEDERAL GRANTS</b>	<b>\$ 395</b>	<b>\$ 647</b>	<b>\$ (252)</b>	<b>\$ 2,219</b>	<b>\$ 2,471</b>	<b>\$ (252)</b>	<b>\$ 6,037</b>	<b>\$ 6,037</b>	<b>\$ -</b>
<b>STATE GRANTS</b>									
WELFARE	150	184	(34)	892	926	(34)	2,169	2,169	-
EDUCATION	802	753	49	4,744	4,695	49	8,517	8,517	-
HIGHER EDUCATION	-	85	(85)	42	127	(85)	211	211	-
HEALTH AND MENTAL HYGIENE	1	44	(43)	122	165	(43)	484	484	-
OTHER	15	25	(10)	144	154	(10)	650	650	-
<b>TOTAL STATE GRANTS</b>	<b>\$ 968</b>	<b>\$ 1,091</b>	<b>\$ (123)</b>	<b>\$ 5,944</b>	<b>\$ 6,067</b>	<b>\$ (123)</b>	<b>\$ 12,031</b>	<b>\$ 12,031</b>	<b>\$ -</b>
<b>TOTAL REVENUES</b>	<b>\$ 2,895</b>	<b>\$ 3,034</b>	<b>\$ (139)</b>	<b>\$ 38,106</b>	<b>\$ 38,245</b>	<b>\$ (139)</b>	<b>\$ 60,093</b>	<b>\$ 60,093</b>	<b>\$ -</b>

### **NOTES TO REPORT #3**

#### **Taxes:**

The year-to-date variance of \$95 million is primarily due to timing.

#### **Miscellaneous:**

The year-to-date variance of \$(3) million is primarily due to timing for CUNY tuition and fees of \$(52) million and intra-city invoices of \$(94) million, offset by a settlement by the Manhattan District Attorney with Lloyds Bank of \$66 million and the final payout of the TYCO case of \$94 million.

#### **Federal and State Grants:**

The year-to-date variance of \$(252) million for Federal Grants is primarily due to later than anticipated receipt of revenue in the Department of Education of \$(225) million, the Department of Social Services of \$(20) million and other City agencies of \$(7) million.

The year-to-date variance of \$(123) million for State Grants is primarily due to later than anticipated receipt of revenue in the City University of \$(85) million, the Department of Health and Mental Hygiene of \$(43) million, the Department of Social Services of \$(34) million and other City agencies of \$(10) million, which is offset by earlier than anticipated revenue in the Department of Education of \$49 million.



## **Report No. 4**

### Obligation Analysis

**NEW YORK CITY  
OBLIGATION ANALYSIS  
REPORT NO. 4**

(MILLIONS OF DOLLARS)

**MONTH: FEBRUARY  
FISCAL YEAR 2009**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2009		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
<b>UNIFORM FORCES</b>									
POLICE DEPT.	\$ 331	\$ 337	\$ 6	\$ 3,171	\$ 2,988	\$ (183)	\$ 4,525	\$ 4,525	\$ -
FIRE DEPT.	161	118	(43)	1,112	1,115	3	1,652	1,652	-
DEPT. OF CORRECTION	123	75	(48)	708	690	(18)	1,022	1,022	-
SANITATION DEPT.	112	83	(29)	986	993	7	1,296	1,296	-
<b>HEALTH &amp; WELFARE</b>									
ADMIN. FOR CHILD SERVICES	95	204	109	2,183	2,265	82	2,757	2,757	-
DEPT. OF SOCIAL SERVICES	812	797	(15)	5,749	5,711	(38)	8,695	8,695	-
DEPT. OF HOMELESS SERVICES	55	26	(29)	664	617	(47)	844	844	-
HEALTH & MENTAL HYGIENE	106	56	(50)	1,420	1,421	1	1,731	1,731	-
<b>OTHER AGENCIES</b>									
HOUSING PRESERVATION & DEV.	45	37	(8)	475	506	31	674	674	-
ENVIRONMENTAL PROTECTION	62	116	54	686	763	77	1,046	1,046	-
TRANSPORTATION DEPT.	59	50	(9)	567	587	20	814	814	-
PARKS & RECREATION DEPT.	31	25	(6)	260	261	1	371	371	-
DEPT. OF CITYWIDE ADMIN. SERVICES	20	21	1	1,000	1,070	70	1,134	1,134	-
ALL OTHER	152	151	(1)	2,154	2,461	307	3,129	3,129	-
<b>COVERED ORGANIZATIONS</b>									
DEPT. OF EDUCATION	1,138	1,212	74	10,309	10,266	(43)	17,605	17,605	-
HIGHER EDUCATION	94	41	(53)	451	436	(15)	705	705	-
HEALTH & HOSPITALS CORP.	9	13	4	95	100	5	203	203	-
<b>OTHER</b>									
MISCELLANEOUS BUDGET:									
FRINGE BENEFITS	222	215	(7)	1,787	1,677	(110)	3,352	3,352	-
TRANSIT SUBSIDIES	43	37	(6)	150	146	(4)	388	388	-
JUDGMENTS & CLAIMS	(102)	46	148	240	257	17	638	638	-
OTHER	4	24	20	284	327	43	1,731	1,731	-
PENSION CONTRIBUTIONS	509	509	-	4,087	4,087	-	6,383	6,383	-
DEBT SERVICE	(17)	9	26	82	145	63	1,429	1,429	-
PRIOR YEAR ADJUSTMENTS	-	-	-	-	-	-	(500)	(500)	-
UNALLOCATED REDUCTIONS	-	-	-	-	-	-	-	-	-
<b>SUB-TOTAL</b>	<b>\$ 4,064</b>	<b>\$ 4,202</b>	<b>\$ 138</b>	<b>\$ 38,620</b>	<b>\$ 38,889</b>	<b>\$ 269</b>	<b>\$ 61,624</b>	<b>\$ 61,624</b>	<b>\$ -</b>
PLUS GENERAL RESERVE	-	-	-	-	-	-	100	100	-
LESS INTRA-CITY EXPENSES	(78)	(172)	(94)	(427)	(521)	(94)	(1,631)	(1,631)	-
<b>TOTAL EXPENDITURES</b>	<b>\$ 3,986</b>	<b>\$ 4,030</b>	<b>\$ 44</b>	<b>\$ 38,193</b>	<b>\$ 38,368</b>	<b>\$ 175</b>	<b>\$ 60,093</b>	<b>\$ 60,093</b>	<b>\$ -</b>

## **Report No. 4A & 4B**

Personnel Control Reports

**NEW YORK CITY  
PERSONNEL CONTROL REPORT  
REPORT NO. 4A**

(MILLIONS OF DOLLARS)

MONTH: FEBRUARY  
FISCAL YEAR 2009

	FT & FTE POSITIONS			PERSONAL SERVICE COSTS					FT & FTE POSITIONS			PERSONAL SERVICE COSTS				
	CURRENT MONTH			CURRENT MONTH		YEAR-TO-DATE			FISCAL YEAR 2009 PROJECTIONS			FISCAL YEAR 2009 PROJECTIONS				
	ACTUAL	PLAN *		ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)	
<b>UNIFORM FORCES</b>																
POLICE DEPT.	52,066	53,565	\$ 315	\$ 309	\$ (6)	\$ 2,932	\$ 2,728	\$ (204)	51,738	51,738	-	\$ 4,094	\$ 4,094	\$ -		
FIRE DEPT.	16,302	16,381	155	108	(47)	995	971	(24)	16,150	16,150	-	1,477	1,477	-		
DEPT. OF CORRECTION	10,712	11,159	117	64	(53)	622	581	(41)	10,933	10,933	-	877	877	-		
SANITATION DEPT.	9,781	9,825	49	65	16	488	497	9	9,829	9,829	-	751	751	-		
<b>HEALTH &amp; WELFARE</b>																
ADMIN. FOR CHILD SERVICES	6,943	7,109	40	42	2	272	275	3	6,973	6,973	-	406	406	-		
DEPT. OF SOCIAL SERVICES	14,244	15,160	71	56	(15)	474	471	(3)	15,159	15,159	-	714	714	-		
DEPT. OF HOMELESS SERVICES	2,115	2,191	11	10	(1)	81	80	(1)	2,265	2,265	-	121	121	-		
HEALTH & MENTAL HYGIENE	6,303	7,098	38	33	(5)	258	279	21	7,127	7,127	-	420	420	-		
<b>OTHER AGENCIES</b>																
ENVIRONMENTAL PROTECTION	5,958	6,305	37	31	(6)	278	279	1	6,359	6,359	-	412	412	-		
TRANSPORTATION DEPT.	4,527	5,003	31	26	(5)	235	227	(8)	5,135	5,135	-	364	364	-		
PARKS & RECREATION DEPT.	5,549	5,739	26	18	(8)	196	187	(9)	7,214	7,214	-	277	277	-		
CITYWIDE ADMIN. SERVICES	2,404	2,551	13	11	(2)	95	89	(6)	2,391	2,391	-	137	137	-		
ALL OTHER	30,526	30,440	163	147	(16)	1,267	1,275	8	30,569	30,569	-	1,938	1,938	-		
<b>COVERED ORGANIZATIONS</b>																
DEPT. OF EDUCATION	139,675	139,106	959	984	25	6,291	6,532	241	139,114	139,114	-	12,484	12,484	-		
<b>OTHER</b>																
MISCELLANEOUS BUDGET	-	-	222	215	(7)	1,787	1,676	(111)	-	-	-	3,861	3,861	-		
PENSION CONTRIBUTIONS	-	-	509	509	-	4,087	4,087	-	-	-	-	6,383	6,383	-		
<b>TOTAL</b>	<b>307,105</b>	<b>311,632</b>	<b>\$ 2,756</b>	<b>\$ 2,628</b>	<b>\$ (128)</b>	<b>\$ 20,358</b>	<b>\$ 20,234</b>	<b>\$ (124)</b>	<b>310,956</b>	<b>310,956</b>	<b>-</b>	<b>\$ 34,716</b>	<b>\$ 34,716</b>	<b>\$ -</b>		

\* Includes planned full-time headcount and estimates of planned FTEs.

**NEW YORK CITY  
PERSONNEL CONTROL REPORT  
REPORT NO. 4B**

**MONTH: FEBRUARY  
FISCAL YEAR 2009**

	FULL-TIME POSITIONS CURRENT MONTH			FULL-TIME POSITIONS FISCAL YEAR 2009 PROJECTIONS		
	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
<b>UNIFORM FORCES</b>						
POLICE DEPT.	51,014	51,050	36	49,937	49,937	-
FIRE DEPT.	16,226	16,323	97	16,090	16,090	-
DEPT. OF CORRECTION	10,661	11,106	445	10,880	10,880	-
SANITATION DEPT.	9,732	9,694	(38)	9,692	9,692	-
<b>HEALTH &amp; WELFARE</b>						
ADMIN. FOR CHILD SERVICES	6,885	7,032	147	6,915	6,915	-
DEPT. OF SOCIAL SERVICES	14,224	15,156	932	15,156	15,156	-
DEPT. OF HOMELESS SERVICES	2,114	2,185	71	2,259	2,259	-
HEALTH & MENTAL HYGIENE	5,230	5,719	489	5,721	5,721	-
<b>OTHER AGENCIES</b>						
ENVIRONMENTAL PROTECTION	5,783	6,132	349	6,150	6,150	-
TRANSPORTATION DEPT.	4,373	4,827	454	4,901	4,901	-
PARKS & RECREATION DEPT.	3,768	3,585	(183)	3,590	3,590	-
CITYWIDE ADMIN. SERVICES	2,050	2,086	36	2,060	2,060	-
ALL OTHER	26,048	26,640	592	26,786	26,786	-
<b>COVERED ORGANIZATIONS</b>						
DEPT. OF EDUCATION	123,930	122,136	(1,794)	122,144	122,144	-
<b>TOTAL</b>	<b>282,038</b>	<b>283,671</b>	<b>1,633</b>	<b>282,281</b>	<b>282,281</b>	<b>-</b>

## **NOTES TO REPORTS NO. 4, 4A AND 4B**

The current month, year-to-date and fiscal year plan data in Reports No. 4, 4A & 4B reflect the Financial Plan submitted to the Financial Control Board on January 30, 2009.

There are 307,105 filled positions as of February of which 282,038 are full-time positions and 25,067 are full-time equivalent positions. Of the 307,105 filled positions, 266,848 are estimated to be wholly or partially city funded. For the fiscal year (June 30, 2009) 282,281 of the 310,956 positions are full-time and 267,924 of the 310,956 positions are estimated to be city funded positions.

In some instances prior year charges are reflected in FY 2009 year-to-date expenses. These will be journaled back to prior years at a later date.

**Police Department:** The \$(183) million year-to-date variance is primarily due to:

- \$(25) million in accelerated encumbrances, primarily for general supplies and materials and advertising.
- \$46 million in delayed encumbrances, primarily for telephone and other communications and data processing equipment.
- \$(204) million in personal services, including \$(189) million for collective bargaining, \$(58) million for overtime, \$(14) million for differentials and \$(5) million for holiday pay, offset by \$30 million for full-time normal gross, \$26 million for uniformed full-time normal gross and \$5 million for unsalaried positions.

**Department of Correction:** The \$(18) million year-to-date variance is primarily due to:

- \$25 million in delayed encumbrances, primarily for heat, light and power and general contractual services.
- \$(2) million in accelerated encumbrances, primarily for general supplies and materials.
- \$(41) million in personal services.

**Administration for Children's Services:** The \$82 million year-to-date variance is primarily due to:

- \$(23) million in accelerated encumbrances, including \$(5) million for direct foster care of children, \$(5) million for homemaking services, \$(5) million for Head Start and \$(3) million for special education facilities.
- \$102 million in delayed encumbrances, including \$20 million for child welfare services, \$19 million for day care of children, \$16 million for direct foster care of children, \$11 million for subsidized adoption, \$8 million for Head Start, \$7 million for other general expenses, \$4 million for rentals of land, buildings and structures and \$4 million for children's charitable institutions.
- \$3 million in personal services.

**Department of Social Services:** The \$(38) million year-to-date variance is primarily due to:

- \$(83) million in accelerated encumbrances, including \$(22) million for payments for home relief, \$(11) million for employment services, \$(10) million for AIDS services, \$(7) million for professional computer services, \$(5) million for protective services for adults and \$(4) million for employment services.
- \$48 million in delayed encumbrances, including \$21 million for aid to dependent children, \$7 million for home care services, \$4 million for employment services, \$4 million for telephone and other communications and \$3 million for homeless family services.
- \$(3) million in personal services, primarily for full-time normal gross and differentials.

**Department of Homeless Services:** The \$(47) million year-to-date variance is primarily due to:

- \$(65) million in accelerated encumbrances, primarily for homeless family services and transportation expenditures.
- \$19 million in delayed encumbrances, primarily for temporary services and heat, light and power.
- \$(1) million in personal services.

**Department of Housing Preservation and Development:** The \$31 million year-to-date variance is primarily due to:

- \$42 million in delayed encumbrances, primarily for Federal Section 8 Rent Subsidy and general contractual services.
- \$(8) million in accelerated encumbrances, primarily for general maintenance and repairs.
- \$(3) million in personal services.

**Department of Environmental Protection:** The \$77 million year-to-date variance is primarily due to:

- \$99 million in delayed encumbrances, primarily for other general expenses and heat, light and power.
- \$(23) million in accelerated encumbrances, including \$(11) million for taxes and licenses, \$(5) million for general supplies and materials and \$(4) million for fuel oil.
- \$1 million in personal services.

**Department of Transportation:** The \$20 million year-to-date variance is primarily due to:

- \$70 million in delayed encumbrances, primarily for general contractual services and heat, light and power.
- \$(42) million in accelerated encumbrances, primarily for general supplies and materials and professional engineer and architect services.
- \$(8) million in personal services.

**Department of Citywide Administrative Services:** The \$70 million year-to-date variance is primarily due to:

- \$79 million in delayed encumbrances, primarily for heat, light and power and rentals of land, buildings and structures.
- \$(3) million in accelerated encumbrances, primarily for security services.



- \$(6) million in personal services.

**Department of Education:** The \$(43) million year-to-date variance is primarily due to:

- \$241 million in personal services, of which \$(32) million represents backpay that will be journaled to prior years and \$273 million represents the current year spending variance.
- \$(284) million in OTPS, reflecting accelerated encumbrances of \$(351) million for contract payments, \$(51) million for transportation of pupils, \$(45) million for maintenance and operation of infrastructure, \$(34) million for other professional services, \$(29) million for professional curriculum and development services, \$(28) million for professional direct educational services, \$(27) million for professional computer services, \$(8) million for data processing supplies, \$(7) million for payments for surety bonds and insurance premiums and \$(5) million for general contractual services, offset by delayed encumbrances of \$168 million for general supplies and materials, \$51 million for heat, light and power, \$15 million for NYCTA reduction for school children, \$7 million for fuel oil, \$7 million for tuition payments for foster care, \$7 million for general equipment, \$6 million for city employees training program, \$6 million for food and forage supplies, \$5 million for other books, \$5 million for rentals of land, buildings and structures, \$5 million for library books, \$4 million for temporary services and \$4 million for private bus company reduced fares for school children.

**Higher Education:** The \$(15) million year-to-date variance is primarily due to:

- \$(19) million in OTPS, primarily for general contractual services and senior college expenses.
- \$4 million in personal services.

**Miscellaneous:** The \$(54) million year-to-date variance is primarily due to:

- \$(110) million in fringe benefits for earlier than expected encumbrances.
- \$(4) million in transit subsidies for earlier than expected encumbrances.
- \$17 million in judgment and claims for prior year charges.

- \$43 million in other primarily due to general contractual services and payments to delegate agencies.

**Debt Service:** The \$63 million year-to-date variance is primarily due to:

- \$(11) million for interest exchange agreements and \$(5) million for floating rate support costs for earlier than expected encumbrances.
- \$80 million for general interest on bonds for later than expected encumbrances.

# **Report No. 5**

## Capital Commitments

**CITY OF NEW YORK  
CAPITAL COMMITMENTS  
REPORT NO. 5  
(Dollars in Millions)**

DESCRIPTION	MONTH: FEBRUARY		FISCAL YEAR: 2009		
	CURRENT MONTH		YEAR-TO-DATE		FISCAL YEAR
	ACTUAL	PLAN	ACTUAL	PLAN	PLAN
<b>TRANSIT</b>	\$0.0 (C) 0.0 (N)	\$0.0 (C) 0.0 (N)	\$71.3 (C) 0.0 (N)	\$0.0 (C) 0.0 (N)	\$109.5 (C) 32.2 (N)
<b>HIGHWAY AND STREETS</b>	2.2 (C) 1.4 (N)	3.0 (C) 0.0 (N)	91.7 (C) 32.3 (N)	103.7 (C) 33.4 (N)	391.7 (C) 307.5 (N)
<b>HIGHWAY BRIDGES</b>	8.7 (C) 0.0 (N)	0.1 (C) 0.0 (N)	129.2 (C) 0.0 (N)	61.4 (C) 0.0 (N)	610.4 (C) 62.9 (N)
<b>WATERWAY BRIDGES</b>	(4.3) (C) 0.0 (N)	0.0 (C) 0.0 (N)	12.4 (C) 0.0 (N)	26.7 (C) 0.0 (N)	281.3 (C) 186.4 (N)
<b>WATER SUPPLY</b>	0.3 (C) 0.0 (N)	0.0 (C) 0.0 (N)	35.9 (C) 0.0 (N)	(0.0) (C) 0.0 (N)	359.0 (C) 0.0 (N)
<b>WATER MAINS, SOURCES &amp; TREATMENT</b>	9.8 (C) 0.0 (N)	13.9 (C) 0.0 (N)	412.0 (C) 0.0 (N)	36.2 (C) 0.0 (N)	917.7 (C) 0.0 (N)
<b>SEWERS</b>	11.1 (C) 0.0 (N)	22.8 (C) 0.0 (N)	56.2 (C) 0.0 (N)	89.3 (C) 0.1 (N)	208.1 (C) 0.1 (N)
<b>WATER POLLUTION CONTROL</b>	35.0 (C) 0.0 (N)	0.0 (C) 0.0 (N)	906.8 (C) 0.0 (N)	159.4 (C) 0.0 (N)	1,535.3 (C) 0.4 (N)
<b>ECONOMIC DEVELOPMENT</b>	19.3 (C) 0.0 (N)	0.0 (C) 0.0 (N)	219.6 (C) 18.9 (N)	78.9 (C) 4.6 (N)	1,081.2 (C) 340.3 (N)
<b>EDUCATION</b>	201.0 (C) 400.0 (N)	50.0 (C) 190.0 (N)	618.3 (C) 1,150.0 (N)	477.3 (C) 941.7 (N)	1,123.1 (C) 1,668.3 (N)

**SYMBOLS:  
(C) CITY FUNDS  
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**CITY OF NEW YORK  
CAPITAL COMMITMENTS  
REPORT NO. 5  
(Dollars in Millions)**

DESCRIPTION	MONTH: FEBRUARY		FISCAL YEAR: 2009		FISCAL YEAR PLAN
	CURRENT MONTH		YEAR-TO-DATE		
	ACTUAL	PLAN	ACTUAL	PLAN	
<b>CORRECTION</b>	6.5 (C)	1.7 (C)	32.1 (C)	122.4 (C)	193.4 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	3.8 (N)	3.8 (N)
<b>SANITATION</b>	60.7 (C)	23.0 (C)	138.0 (C)	377.1 (C)	704.6 (C)
	(0.5) (N)	0.0 (N)	(0.4) (N)	1.1 (N)	5.7 (N)
<b>POLICE</b>	25.9 (C)	6.1 (C)	66.6 (C)	83.7 (C)	1,115.9 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)
<b>FIRE</b>	1.2 (C)	0.0 (C)	39.6 (C)	11.3 (C)	238.4 (C)
	0.0 (N)	0.0 (N)	0.1 (N)	18.1 (N)	30.7 (N)
<b>HOUSING</b>	11.0 (C)	0.0 (C)	64.0 (C)	123.6 (C)	759.7 (C)
	6.8 (N)	0.0 (N)	51.1 (N)	74.4 (N)	187.0 (N)
<b>HOSPITALS</b>	0.9 (C)	52.4 (C)	197.6 (C)	335.7 (C)	414.3 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)
<b>PUBLIC BUILDINGS</b>	14.8 (C)	5.3 (C)	58.3 (C)	11.7 (C)	507.2 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	(0.1) (N)	(0.1) (N)
<b>PARKS</b>	30.3 (C)	3.4 (C)	198.6 (C)	16.2 (C)	1,140.9 (C)
	6.1 (N)	0.0 (N)	9.3 (N)	3.6 (N)	217.3 (N)
<b>ALL OTHER DEPARTMENTS</b>	86.5 (C)	34.1 (C)	802.7 (C)	293.0 (C)	3,956.4 (C)
	0.8 (N)	0.2 (N)	61.9 (N)	41.8 (N)	309.7 (N)
<b>TOTAL</b>	<b>\$521.2 (C)</b>	<b>\$215.8 (C)</b>	<b>\$4,150.9 (C)</b>	<b>\$2,407.8 (C)</b>	<b>\$15,648.1 (C)</b>
	<b>\$414.7 (N)</b>	<b>\$190.2 (N)</b>	<b>\$1,323.2 (N)</b>	<b>\$1,122.5 (N)</b>	<b>\$3,352.2 (N)</b>

**SYMBOLS:  
(C) CITY FUNDS  
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**NEW YORK CITY  
CAPITAL COMMITMENTS  
REPORT NO. 5  
(MILLIONS IN DOLLARS)**

**Month: February**

**Fiscal Year: 2009**

**City Funds:**

Total Authorized Commitment Plan	\$15,648
Less: Reserve for Unattained Commitments Commitment Plan	<u>(4,344)</u>
	<u>\$11,304</u>

**Non-City Funds:**

Total Authorized Commitment Plan	\$3,352
Less: Reserve for Unattained Commitments Commitment Plan	<u>0</u>
	<u>\$3,352</u>

Month and year-to-date variances for City funds are reported against the authorized FY 2009 January Capital Commitment plan of \$15,648 million rather than the Financial Plan level of \$11,304 million. The additional \$4,344 million of commitments is included to help the City meet its overall Financial Plan target. Aggregate commitments are not expected to exceed the approved plan level as it may be modified from time to time.

## NOTES TO REPORT #5

1. Capital Commitments: Commitments are defined as awarded contracts registered with the City Comptroller except for Waterway and Highway Bridge projects performed jointly with the State. Certain non-City commitments for Bridge projects are not registered with the City Comptroller. These commitments are reported when the State advertises the work. Beginning in Fiscal Year 2000, reported education commitments have been changed to represent master contract registrations with the Comptroller. Previously, reported education commitments represented Construction Authority contract registrations.

2. Variances in year-to-date commitments of City funds through February are primarily due to timing differences.

Waterway Bridges - Deregistration of contracts for the reconstruction of Manhattan Bridge, totaling \$3.3 million, occurred in December 2008. Reconstruction of the Queensboro Bridge, totaling \$6.1 million, slipped from July and December 2008 to March 2009. Reconstruction of the Williamsburg Bridge, totaling \$5.9 million, slipped from August 2008 and January 2009 to March 2009. Various slippages and advances account for the remaining variance.

Correction - Correction facilities, construction, reconstruction and improvements, City-wide, totaling \$13.9 million, slipped from July 2008 thru February 2009 to March 2009. Acquisition and construction of the supplementary housing program and support facilities, totaling \$33.2 million, slipped from July and December 2008 and January 2009 to March 2009. Purchase of computer equipment, totaling \$8.9 million, slipped from July and August 2008 to March 2009. Riker's Island Infrastructure, totaling \$23.1 million, slipped from July 2008 to March 2009. Construction and reconstruction for the House of Detention for Men, totaling \$7.4 million, slipped from December 2008 to March 2009. Various slippages and advances account for the remaining variance.

Education - Five Year Educational Plan, totaling \$130.0 million, advanced from April and May 2009 to February 2009. Construction contracts for the Department of Education, totaling \$10.0 million, slipped from December 2008 to March 2009. Various slippages and advances account for the remaining variance.

Economic Development - Acquisition and site development for commercial redevelopment, City-wide, totaling \$ 95.5 million, advanced from May and June 2009 to July 2008 thru February 2009. Brooklyn Navy Yard, totaling

\$4.6 million, advanced from March, May and June 2009 to September and November 2008 and February 2009. Economic development for industrial, waterfront and commercial purposes, totaling \$9.8 million, advanced from June 2009 to January 2009. Modernization and reconstruction of piers, City-wide, totaling \$28.6 million, advanced from May and June 2009 to November and December 2008 and February 2009. Various slippages and advances account for the remaining variance.

- Fire - Acquisition of vehicles for the Fire Department, totaling \$14.2 million, advanced from May and June 2009 to July 2008 thru January 2009. Fire Department facility improvements, City-wide, totaling \$7.6 million, advanced from March thru May 2009 to July 2008 thru February 2009. Fire Department Management Information and Control Systems, totaling \$5.5 million, advanced from June 2009 to December 2008. Various slippages and advances account for the remaining variance.
- Highway Bridges - Reconstruction of highway bridges and structures, City-wide, totaling \$2.6 million, slipped from January 2009 to March 2009. Reconstruction of shoreroad Bridge, totaling \$2.1 million, advanced from June 2009 to January 2009. Improvements to the ramp from the Third Avenue Bridge to Bruckner Boulevard, totaling \$63.6 million, advanced from March 2009 to November 2008. Reconstruction of the Hamilton Bridge over the Gowanus Canal, Brooklyn, totaling \$2.8 million, advanced from June 2009 to October 2008. Reconstruction of the East 25<sup>th</sup> Street Pedestrian Bridge, over the FDR, totaling \$2.0 million, slipped from December 2008 to April 2009. Various slippages and advances account for the remaining variance.
- Highways - Sidewalk reconstruction, totaling \$17.2 million, slipped from January 2009 to March 2009. Reconstruction of streets within area bounded by South Conduit Avenue, totaling \$2.7 million, slipped from February 2009 to March 2009. Repaving and resurfacing streets, totaling \$21.9 million, advanced from June 2009 to August 2008. Reconstruction of Frederick Douglass Circle and Manhattan Avenue, totaling \$3.2 million, slipped from September thru November 2008 to March 2009. Various slippages and advances account for the remaining variance.
- Housing - Housing Authority City Capital Subsidies, totaling \$7.2 million, advanced from April and June 2009 to July and August 2008. Fordham-Bedford Housing, totaling \$2.0 million, advanced from June 2009 to December 2008. Computer purchases, City-wide, totaling \$3.4 million, advanced from



June 2009 to July 2008 thru February 2009. Tenant Interim Lease Program, totaling \$3.4 million, advanced from May and June 2009 to December 2008 and January 2009. Article 8A Loan Program, totaling \$34.6 million, slipped from December 2008 to March 2009. Supportive Housing Program, totaling \$10.0 million, slipped from December 2008 to April 2009. Construction of Low Income Rental Housing, totaling \$8.7 million, slipped from December 2008 to March 2009. Construction contracts for the Participation Loan Program, totaling \$10.9 million, slipped from December 2008 to March 2009. Third party transfer programs, totaling \$16.7 million, slipped from December 2008 to March 2009. Various slippages and advances account for the remaining variance.

Parks

- Construction and reconstruction of playgrounds and recreation facilities, totaling \$15.4 million, advanced from June 2009 to August and September 2008 and January and February 2009. Miscellaneous parks and playgrounds, City-wide, totaling \$9.1 million, advanced from May and June 2009 to August thru December 2008 and February 2009. Construction and reconstruction of Ferry Point Park, totaling \$7.0 million, advanced from May and June 2009 to September 2008 and February 2009. Street and park tree planting, City-wide, totaling \$22.8 million, advanced from June 2009 to August 2008 thru February 2009. Coney Island Center and Asser Levy Park, totaling \$3.3 million, slipped from February 2009 to April 2009. Construction and reconstruction of playgrounds and Recreational Facilities, totaling \$4.5 million, advanced from June 2009 to August and September 2008 to January 2009. Purchase of equipment for the use by Department of Parks and Recreation, totaling \$4.2 million, advanced from June 2009 to August 2008 thru February 2009. Improvements to Downing Stadium, totaling \$23.2 million, advanced from June 2009 to August and December 2008 and February 2009. Purchase of computer equipment for the use by Department of Parks and Recreation, totaling \$2.3 million, advanced from June 2009 to August 2008 thru February 2009. Park improvements, City-wide, totaling \$9.9 million, advanced from May and June 2009 to July thru October 2008 and January and February 2009. Construction of Central Park, Manhattan, totaling \$3.6 million, advanced from June 2009 to October 2008. Infrastructure improvements in the area of the new Yankee Stadium, totaling \$70.8 million, advanced from June 2009 to August 2008 thru December 2008 and February 2009. Various slippages and advances account for the remaining variance.

Police

- Purchase of ultra high frequency radio telephone equipment, totaling \$7.8 million, slipped from December 2008 and February 2009 to March 2009. Improvements to Police Department property,

City-wide, totaling \$2.3 million, slipped from January and February 2009 to March 2009. New Staten Island Precinct, totaling \$4.4 million, slipped from January and February 2009 to March 2009. Various slippages and advances account for the remaining variance.

Public Buildings - Bedford-Stuyvesant Young Men's Christian Association, totaling \$2.7 million, advanced from June 2009 to January 2009. Seventh Regiment Armory Conservancy, totaling \$2.5 million, slipped from February 2009 to March 2009. Construction and reconstruction of public buildings, City-wide, totaling \$26.9 million, advanced from April 2009 to October 2008 thru February 2009. Space alterations for Manhattan Municipal Buildings, totaling \$4.7 million, advanced from May and June 2009 to February 2009. Surveys in connection with capital projects, City-wide, totaling \$2.7 million, advanced from June 2009 to November and December 2008 and February 2009. Abatement of unsafe conditions on City property, City-wide, totaling \$3.6 million, advanced from June 2009 to August, October and December 2008 and January 2009. Various slippages and advances account for the remaining variance

Sanitation - Purchase of collection trucks and equipment, totaling \$29.4 million, slipped from January 2009 to April 2009. Improvements to garages and other facilities, totaling \$13.0 million, slipped from July and September 2008 thru January 2009 to March 2009. Construction of sanitation garage for Districts 13 & 15, Brooklyn, totaling \$9.3 million, slipped from December 2008 to March 2009. Sites for sanitation garages, City-wide, totaling \$130.6 million, slipped from October 2008 and February 2009 to March 2009. Purchase of electronic data processing equipment for the Department of Sanitation, totaling \$31.7 million, slipped from January 2009 to April 2009. Construction of sanitation garage for District 6/8/8A, totaling \$6.6 million, slipped from July and August 2008 and January 2009 to March 2009. Construction of sanitation garage for District 4/4A/7, totaling \$3.0 million, slipped from July 2008 thru January 2009 to March 2009. Construction of salt sheds, City-wide, totaling \$11.7 million, slipped from July thru September 2008 and January 2009 to March 2009. Various slippages and advances account for the remaining variance.

Sewers - Construction and reconstruction of sanitary and combined sewers, City-wide, totaling \$22.1 million, slipped from July 2008 thru February 2009 to March 2009. City-wide mapping of sewer system, totaling \$3.3 million, slipped from July 2008 and January 2009 to March 2009. Construction and reconstruction of Storm Sewer, totaling \$2.1 million, slipped from November 2008 thru February

2009 to April 2009. Reconstruction, collapsed cement pipe combined sewers, Brooklyn, totaling \$4.2 million, slipped from February 2009 to April 2009. Sewer contracts in conjunction with the Department of Transportation, totaling \$4.6 million, slipped from July 2008 thru February 2009 to March 2009. Acquisition of land pursuant to storm water management program, totaling \$2.1 million, advanced from June 2009 to September 2008 thru February 2009. Various slippages and advances account for the remaining variance.

- Transit - Miscellaneous reconstruction of lines under operation, totaling \$35.0 million, advanced from June 2009 to October 2008. Various transit authority projects, totaling \$34.6 million, advanced from June 2009 to August 2008. Various slippages and advances account for the remaining variance.
- Water Supply - Additional water supply emergency and permanent, totaling \$26.4 million, advanced from June 2009 to September 2008 thru January 2009. Contract for City tunnel number 3, stage 2, totaling \$8.9 million, advanced from June 2009 to December 2008 thru February 2009. Various slippages and advances account for the remaining variance.
- Water Mains - Water main extension, City-wide, totaling \$7.9 million, slipped from February 2009 to April 2009. Trunk main extensions and improvements, totaling \$11.8 million, slipped from December 2008 thru February 2009 to March 2009. Construction of the Croton Filtration Plant, totaling \$238.4 million, advanced from April, May and June 2009 to August 2008 thru February 2009. Improvements to structures on watersheds outside the City, totaling \$156.8 million, advanced from June 2009 to July 2008 thru February 2009. Various slippages and advances account for the remaining variance.
- Water Pollution Control - Hunts Point Water Pollution Control project, totaling \$6.8 million, advanced from June 2009 to July 2008 thru February 2009. Ward's Island Water Pollution Control Plant, totaling \$8.4 million, advanced from June 2009 to July 2008 thru February 2009. Reconstruction of water pollution control projects, City-wide, totaling \$23.1 million, advanced from June 2009 to July thru November 2008 and February 2009. Construction of combined sewer overflow abatement, totaling \$22.1 million, advanced from April and June 2009 to September thru November 2008 and January and February 2009. Twenty Sixth Ward Water Pollution Control Plant, totaling \$9.1 million, advanced from June 2009 to July thru December 2008 and January and February 2009. Spring Creek Water Pollution Control Plant, totaling \$2.4 million, advanced from June 2009 to July 2008 thru February

2009. Engineering, architecture and administrative cost associated with construction at the Department of Environmental Protection, totaling \$14.5 million, advanced from June 2009 to August, September and December 2008 and January 2009. Construction and reconstruction of pumping stations, City-wide, totaling \$2.5 million, advanced from June 2009 to August 2008 thru February 2009. Upgrade Tallmans Island, totaling \$2.5 million, advanced from June 2009 to July thru December 2008 and February 2009. Upgrade of the Newtown Creek Water Pollution Control Plant, totaling \$622.7 million, advanced from June 2009 to July thru December 2008 and February 2009. Rockaway Water Pollution Control Plant, totaling \$6.6 million, advanced from June 2009 to January 2009. Bionutrient removal facilities, City-wide, totaling \$13.5 million, advanced from June 2009 to August thru November 2008 and January and February 2009. Upgrade of the Jamaica Water Pollution Control Plant, totaling \$3.3 million, advanced from June 2009 to July 2008 thru February 2009. Contracts for Bowery Bay Water Pollution Control Plant, totaling \$7.1 million, advanced from June 2009 to July 2008 thru February 2009. Various slippages and advances account for the remaining variance.

#### Others

- Equipment for the Administration for Children's Services, City-wide, totaling \$8.8 million, slipped from December 2008 thru February 2009 to March 2009.
- Purchase of EDP equipment, totaling \$59.4 million, advanced from June 2009 to July 2008 thru February 2009. Emergency communication system and facilities, totaling \$69.3 million, advanced from June 2009 to September, October and December 2008 and January 2009.
- Purchase of EDP equipment for the Department of Environmental Protection, totaling \$4.8 million, advanced from June 2009 to July, November and December 2008. Purchase of electronic data processing equipment for the Department of Environmental Protection, totaling \$2.4 million, advanced from June 2009 to December 2008 thru February 2009. Mandated payments for private gas utility relocation for the Department of Environmental Protection, totaling \$3.8 million, slipped from September 2008 thru February 2009 to March 2009. Installation of water measuring devices, City-wide, totaling \$91.5 million, advanced from June 2009 to July 2008 thru February 2009.
- City University improvements to Community colleges, totaling \$21.6 million, advanced from June 2009 to January 2009.

- Construction, reconstruction, improvements, acquisitions, outfitting and equipment for libraries in Queens, totaling \$9.6 million, slipped from July and August and December 2008 to February 2009. Contracts for the construction, reconstruction improvements, acquisitions, initial outfitting and the purchase of equipment to Branch Libraries in Manhattan and Staten Island, totaling \$24.1 million, slipped from July 2008 thru February 2009 to April 2009. Various slippages and advances account for the remaining variance.
- Alliance of Resident Theaters, totaling \$6.5 million, slipped from August 2008 to March 2009. Improvements to the Brooklyn Museum, totaling \$10.0 million, slipped from August 2008 to February 2009. Improvements to Carnegie Hall reconstruction, totaling \$8.4 million, advanced from June 2009 to February 2009. Deregistration of contracts for construction of all cultural institutions, totaling \$3.6 million, occurred in February 2009. Improvements to the Noguchi Museum, City-wide, totaling \$8.3 million, advanced from June 2009 to December 2008. Improvements to the Museum of Arts and Design, totaling \$4.4 million, advanced from June 2009 to February 2009. Improvements to WNYC New York Public Radio, City-wide, totaling \$10.1 million, slipped from October 2008 to April 2009. The Weeksville Master Plan, totaling \$6.8 million, slipped from September 2008 to April 2009.
- Purchase of electronic data processing equipment, totaling \$206.4 million, advanced from June 2009 to July 2008 thru February 2009. Purchase of electronic data processing equipment for FISA, totaling \$53.5 million, advanced from June 2009 to July thru February 2009. Financing capital expenditures, totaling \$21.7 million, occurred in August thru December 2008 and January and February 2009. Financing debt issuance, totaling \$10.2 million, occurred in January and February 2009.
- Installation of traffic signals, City-wide, totaling \$23.3 million, advanced from June 2009 to December 2008 and January 2009.
- Computer equipment for the Department of Transportation, totaling \$4.0 million, advanced from June 2009 to January 2009.

3. Variances in year-to-date commitments of non-City funds through February occurred in the Department of Education, the Department of Business Services, the Fire Department, Department of Housing Preservation and Development, the Department of Parks and Recreation, the Department of Transportation, the Department of Information Technology and Telecommunications.

- Education - Five Year Educational Plan, totaling \$208.3 million, advanced from April and May 2009 to February 2009.
- Economic Development - Acquisition, site development, construction and reconstruction related to Economic development, totaling \$5.8 million, advanced from June 2009 to December 2008 and January 2009. Industrial and commercial redevelopment, City-wide, totaling \$4.1 million, advanced from June 2009 to December 2008. Modernization and reconstruction of piers, totaling \$4.5 million, advanced from June 2009 to January 2009. Various slippages and advances account for the remaining variance.
- Fire - Purchase of New Fire boats, totaling \$18.0 million, slipped from January 2009 to April 2009.
- Housing - The Article 8A Loan Program, totaling \$7.3 million, slipped from December 2008 to April 2009. The Participation Loan Program, totaling \$3.1 million, slipped from December 2008 to April 2009. Deregistration of Contracts for mixed income housing, totaling \$3.6 million, occurred in November 2008. The Support Housing Program, totaling \$8.2 million, slipped from December 2008 and January 2009 to April 2009.
- Parks - Acquisition for property for playgrounds and recreation facilities, totaling \$5.6 million, advanced from June 2009 to February 2009. Various slippages and advances account for the remaining variance.
- Others - Replacement of parking meters, totaling \$8.5 million, advanced from June 2009 to November and December 2008.  
- The installation and construction of the ECTP Emergency Communication Systems and facilities, totaling \$8.4 million, advanced from June 2009 to August and September 2008.

# **Report No. 5A**

Capital Cash Flow

**CITY OF NEW YORK  
CAPITAL CASHFLOW  
REPORT NO. 5A  
(Dollars in Millions)**

DESCRIPTION	MONTH: FEBRUARY		FISCAL YEAR 2009	
	CURRENT MONTH ACTUAL		YEAR-TO-DATE ACTUAL	FISCAL YEAR PLAN
TRANSIT	\$9.3 (C) 0.0 (N)		\$42.8 (C) 0.0 (N)	\$47.8 (C) 7.9 (N)
HIGHWAY AND STREETS	11.3 (C) 1.7 (N)		155.9 (C) 13.5 (N)	335.3 (C) 92.6 (N)
HIGHWAY BRIDGES	11.6 (C) 0.1 (N)		104.8 (C) 2.9 (N)	292.2 (C) 20.6 (N)
WATERWAY BRIDGES	13.9 (C) 10.5 (N)		112.2 (C) 73.0 (N)	249.9 (C) 144.0 (N)
WATER SUPPLY	3.7 (C) 0.0 (N)		74.5 (C) 0.0 (N)	187.2 (C) 0.0 (N)
WATER MAINS, SOURCES & TREATMENT	83.7 (C) 0.0 (N)		802.2 (C) 0.0 (N)	1,102.1 (C) 0.1 (N)
SEWERS	16.1 (C) 0.0 (N)		118.1 (C) 0.1 (N)	125.2 (C) 0.2 (N)
WATER POLLUTION CONTROL	86.3 (C) 0.3 (N)		677.3 (C) 6.2 (N)	962.6 (C) 13.5 (N)
ECONOMIC DEVELOPMENT	8.3 (C) 6.5 (N)		151.1 (C) 35.4 (N)	231.6 (C) 108.5 (N)
EDUCATION	10.6 (C) 389.4 (N)		69.9 (C) 1,930.2 (N)	369.9 (C) 2,467.1 (N)

**SYMBOLS:  
(C) CITY FUNDS  
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**



**CITY OF NEW YORK  
CAPITAL CASHFLOW  
REPORT NO. 5A  
(Dollars in Millions)**

DESCRIPTION	MONTH: FEBRUARY		FISCAL YEAR 2009	
	CURRENT MONTH ACTUAL		YEAR-TO-DATE ACTUAL	FISCAL YEAR PLAN
<b>CORRECTION</b>	4.8 (C)		58.0 (C)	103.2 (C)
	0.0 (N)		0.0 (N)	0.9 (N)
<b>SANITATION</b>	16.7 (C)		164.3 (C)	276.6 (C)
	0.0 (N)		0.9 (N)	2.4 (N)
<b>POLICE</b>	4.0 (C)		45.7 (C)	182.9 (C)
	0.0 (N)		0.0 (N)	0.0 (N)
<b>FIRE</b>	11.5 (C)		89.1 (C)	138.8 (C)
	0.1 (N)		8.1 (N)	14.0 (N)
<b>HOUSING</b>	5.2 (C)		156.9 (C)	272.4 (C)
	2.3 (N)		58.8 (N)	82.8 (N)
<b>HOSPITALS</b>	21.5 (C)		99.3 (C)	142.6 (C)
	0.0 (N)		0.0 (N)	0.0 (N)
<b>PUBLIC BUILDINGS</b>	15.9 (C)		80.9 (C)	174.6 (C)
	0.0 (N)		0.5 (N)	0.5 (N)
<b>PARKS</b>	39.7 (C)		282.3 (C)	510.8 (C)
	7.6 (N)		24.7 (N)	70.0 (N)
<b>ALL OTHER DEPARTMENTS</b>	113.4 (C)		885.6 (C)	1,503.6 (C)
	8.4 (N)		45.1 (N)	113.1 (N)
<b>TOTAL</b>	<b>\$487.5 (C)</b>		<b>\$4,170.9 (C)</b>	<b>\$7,209.2 (C)</b>
	<b>\$426.9 (N)</b>		<b>\$2,199.4 (N)</b>	<b>\$3,138.2 (N)</b>

**SYMBOLS:  
(C) CITY FUNDS  
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

## **Report No. 6 & 6A**

Month-by-Month Cash Flow Forecast

**NEW YORK CITY  
MONTH-BY-MONTH CASH FLOW FORECAST  
REPORT NO. 6**

(MILLIONS OF DOLLARS)

**MONTH: FEBRUARY  
FISCAL YEAR 2009**

	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	12 Months	ADJUST-MENTS	TOTAL
<b>CASH INFLOWS</b>															
<b>CURRENT</b>															
GENERAL PROPERTY TAX	\$3,835	\$133	\$642	\$248	\$6	\$1,492	\$3,602	\$81	\$805	\$440	\$17	\$2,834	\$14,135	\$222	\$14,357
OTHER TAXES	489	1,048	3,201	1,217	1,269	2,337	2,467	1,020	2,179	1,912	711	3,149	20,999	535	21,534
FEDERAL GRANTS	157	88	205	179	336	316	370	697	504	448	398	405	4,103	1,934	6,037
STATE GRANTS	150	317	841	269	462	854	365	98	2,980	837	1,608	1,309	10,090	1,941	12,031
OTHER CATEGORICAL UNRESTRICTED (NET OF DISALL.)	51	77	35	25	29	35	(2)	107	150	75	66	147	795	309	1,104
MISCELLANEOUS REVENUES	618	302	382	329	335	248	271	400	336	321	614	344	4,500	(186)	4,314
CAPITAL INTER-FUND TRANSFERS	-	14	19	25	32	41	24	34	98	31	32	19	369	108	477
<b>SUBTOTAL</b>	<b>5,300</b>	<b>1,979</b>	<b>5,325</b>	<b>2,292</b>	<b>2,469</b>	<b>5,323</b>	<b>7,097</b>	<b>2,437</b>	<b>7,052</b>	<b>4,064</b>	<b>3,441</b>	<b>8,197</b>	<b>54,976</b>	<b>5,117</b>	<b>60,093</b>
<b>PRIOR</b>															
OTHER TAXES	504	231	17	-	-	-	-	-	-	-	-	-	752	-	752
FEDERAL GRANTS	302	180	320	276	113	104	57	40	47	90	76	107	1,712	249	1,961
STATE GRANTS	120	293	449	160	106	142	7	11	234	37	100	83	1,742	863	2,605
OTHER CATEGORICAL UNRESTRICTED	6	12	67	6	3	3	51	39	2	-	17	61	267	62	329
MISC. REVENUE/CAPITAL IFA	101	-	-	-	-	-	-	-	-	-	-	-	101	(101)	-
<b>SUBTOTAL</b>	<b>1,033</b>	<b>716</b>	<b>916</b>	<b>442</b>	<b>222</b>	<b>414</b>	<b>115</b>	<b>90</b>	<b>283</b>	<b>127</b>	<b>193</b>	<b>251</b>	<b>4,802</b>	<b>1,087</b>	<b>5,889</b>
<b>CAPITAL</b>															
CAPITAL TRANSFERS FEDERAL AND STATE	514	110	1,194	803	631	639	545	550	533	933	978	1,149	8,579	(1,370)	7,209
<b>OTHER</b>															
SENIOR COLLEGES	419	1	-	1	290	1	113	1	182	140	1	936	2,085	(418)	1,667
HOLDING ACCT. & OTHER ADJ.	5	(1)	(3)	23	(14)	(2)	4	(4)	(8)	-	-	-	-	-	-
OTHER SOURCES	-	33	182	135	-	-	306	397	-	-	-	-	1,053	-	1,053
<b>TOTAL INFLOWS</b>	<b>\$7,544</b>	<b>\$3,065</b>	<b>\$7,705</b>	<b>\$4,055</b>	<b>\$3,930</b>	<b>\$6,440</b>	<b>\$8,679</b>	<b>\$3,653</b>	<b>\$8,325</b>	<b>\$5,566</b>	<b>\$4,767</b>	<b>\$11,089</b>	<b>\$74,818</b>	<b>\$4,231</b>	<b>\$79,049</b>
<b>CASH OUTFLOWS</b>															
<b>CURRENT</b>															
PS	\$1,378	\$1,819	\$2,513	\$3,095	\$2,600	\$2,702	\$2,615	\$2,622	\$2,757	\$2,721	\$3,326	\$4,126	\$32,274	\$2,442	\$34,716
OTPS	1,170	1,496	1,837	1,896	1,668	1,865	1,475	1,879	2,550	2,118	2,049	3,049	23,052	896	23,948
DEBT SERVICE	33	11	12	14	31	11	24	11	12	124	44	1,102	1,429	-	1,429
<b>SUBTOTAL</b>	<b>2,581</b>	<b>3,326</b>	<b>4,362</b>	<b>5,005</b>	<b>4,299</b>	<b>4,578</b>	<b>4,114</b>	<b>4,512</b>	<b>5,319</b>	<b>4,963</b>	<b>5,419</b>	<b>8,277</b>	<b>56,755</b>	<b>3,338</b>	<b>60,093</b>
<b>PRIOR</b>															
PS	1,307	1,249	41	25	195	48	84	131	120	50	25	25	3,300	-	3,300
OTPS	948	335	35	-	157	68	105	288	34	20	20	20	2,030	-	2,030
OTHER TAXES	88	221	-	-	-	-	-	-	-	-	-	-	309	-	309
DISALLOWANCE RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	-	1,115	1,115
<b>SUBTOTAL</b>	<b>2,343</b>	<b>1,805</b>	<b>76</b>	<b>25</b>	<b>352</b>	<b>116</b>	<b>189</b>	<b>419</b>	<b>154</b>	<b>70</b>	<b>45</b>	<b>45</b>	<b>5,639</b>	<b>1,115</b>	<b>6,754</b>
<b>CAPITAL</b>															
CITY DISBURSEMENTS	490	495	485	531	492	671	520	488	596	828	691	922	7,209	-	7,209
FEDERAL AND STATE	445	22	403	27	396	442	38	427	82	460	129	267	3,138	-	3,138
<b>OTHER</b>															
SENIOR COLLEGES	103	164	80	256	107	104	136	168	130	129	160	130	1,667	-	1,667
OTHER USES	123	-	-	-	186	388	-	-	-	-	-	356	1,053	-	1,053
<b>TOTAL OUTFLOWS</b>	<b>\$6,085</b>	<b>\$5,812</b>	<b>\$5,406</b>	<b>\$5,844</b>	<b>\$5,832</b>	<b>\$6,299</b>	<b>\$4,997</b>	<b>\$6,014</b>	<b>\$6,281</b>	<b>\$6,450</b>	<b>\$6,444</b>	<b>\$9,997</b>	<b>\$75,461</b>	<b>\$4,453</b>	<b>\$79,914</b>
<b>NET CASH FLOW</b>	<b>\$1,459</b>	<b>(\$2,747)</b>	<b>\$2,299</b>	<b>(\$1,789)</b>	<b>(\$1,902)</b>	<b>\$141</b>	<b>\$3,682</b>	<b>(\$2,361)</b>	<b>\$2,044</b>	<b>(\$884)</b>	<b>(\$1,677)</b>	<b>\$1,092</b>	<b>(\$643)</b>	<b>(\$222)</b>	<b>(\$865)</b>
<b>BEGINNING BALANCE</b>	<b>\$5,359</b>	<b>\$6,818</b>	<b>\$4,071</b>	<b>\$6,370</b>	<b>\$4,581</b>	<b>\$2,679</b>	<b>\$2,820</b>	<b>\$6,502</b>	<b>\$4,141</b>	<b>\$6,185</b>	<b>\$5,301</b>	<b>\$3,624</b>	<b>\$5,359</b>		
<b>ENDING BALANCE</b>	<b>\$6,818</b>	<b>\$4,071</b>	<b>\$6,370</b>	<b>\$4,581</b>	<b>\$2,679</b>	<b>\$2,820</b>	<b>\$6,502</b>	<b>\$4,141</b>	<b>\$6,185</b>	<b>\$5,301</b>	<b>\$3,624</b>	<b>\$4,716</b>	<b>\$4,716</b>		

**NEW YORK CITY  
MONTH-BY-MONTH CASH FLOW FORECAST  
SELECTED DETAIL  
REPORT NO. 6A**

(MILLIONS OF DOLLARS)

**MONTH: FEBRUARY  
FISCAL YEAR 2009**

	JUL	AUG	SEP	ACTUAL		DEC	JAN	FEB	MAR	FORECAST		JUN	12 Months	ADJUST- MENTS	TOTAL
				OCT	NOV					APR	MAY				
<b>SENIOR COLLEGES</b>															
SENIOR COLLEGES COST (OUTFLOW)	(103)	(164)	(80)	(256)	(107)	(104)	(136)	(168)	(130)	(129)	(160)	(130)	(1,667)	-	(1,667)
SENIOR COLLEGES INFLOW - CURRENT	1	-	-	-	-	-	108	1	182	140	1	936	1,369	298	1,667
SENIOR COLLEGES INFLOW - PRIOR	418	1	-	1	290	1	5	-	-	-	-	-	716	(716)	-
NET SENIOR COLLEGES	316	(163)	(80)	(255)	183	(103)	(23)	(167)	52	11	(159)	806	418	(418)	-
<b>CAPITAL</b>															
<u>CURRENT CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	-	631	1,051	700	87	523	540	360	720	837	400	400	6,249	480	6,729
(INC)/DEC RESTRICTED CASH	39	(708)	(77)	(97)	94	(154)	(116)	190	(187)	96	578	749	407	(1,850)	(1,443)
SUBTOTAL	39	(77)	974	603	181	369	424	550	533	933	978	1,149	6,656	(1,370)	5,286
<u>PRIOR CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	-	334	389	350	338	-	-	-	-	-	-	-	1,411	-	1,411
(INC)/DEC RESTRICTED CASH	475	(147)	(169)	(150)	112	270	121	-	-	-	-	-	512	-	512
SUBTOTAL	475	187	220	200	450	270	121	-	-	-	-	-	1,923	-	1,923
<b>TOTAL CITY CAPITAL TRANSFERS</b>	<b>514</b>	<b>110</b>	<b>1,194</b>	<b>803</b>	<b>631</b>	<b>639</b>	<b>545</b>	<b>550</b>	<b>533</b>	<b>933</b>	<b>978</b>	<b>1,149</b>	<b>8,579</b>	<b>(1,370)</b>	<b>7,209</b>
<u>FEDERAL AND STATE - INFLOWS:</u>															
CURRENT	23	86	91	359	332	65	499	182	283	302	154	556	2,932	206	3,138
PRIOR	250	141	-	-	-	-	-	-	-	-	-	-	391	(391)	-
<b>TOTAL FEDERAL AND STATE INFLOWS</b>	<b>273</b>	<b>227</b>	<b>91</b>	<b>359</b>	<b>332</b>	<b>65</b>	<b>499</b>	<b>182</b>	<b>283</b>	<b>302</b>	<b>154</b>	<b>556</b>	<b>3,323</b>	<b>(185)</b>	<b>3,138</b>
<u>CAPITAL OUTFLOWS:</u>															
CITY DISBURSEMENTS	(490)	(495)	(485)	(531)	(492)	(671)	(520)	(488)	(596)	(828)	(691)	(922)	(7,209)	-	(7,209)
FEDERAL AND STATE	(445)	(22)	(403)	(27)	(396)	(442)	(38)	(427)	(82)	(460)	(129)	(267)	(3,138)	-	(3,138)
<b>TOTAL OUTFLOWS</b>	<b>(935)</b>	<b>(517)</b>	<b>(888)</b>	<b>(558)</b>	<b>(888)</b>	<b>(1,113)</b>	<b>(558)</b>	<b>(915)</b>	<b>(678)</b>	<b>(1,288)</b>	<b>(820)</b>	<b>(1,189)</b>	<b>(10,347)</b>	<b>-</b>	<b>(10,347)</b>
<u>NET CAPITAL:</u>															
NET CITY CAPITAL	24	(385)	709	272	139	(32)	25	62	(63)	105	287	227	1,370	(1,370)	-
NET NON-CITY CAPITAL	(172)	205	(312)	332	(64)	(377)	461	(245)	201	(158)	25	289	185	(185)	-
<b>NET TOTAL CAPITAL</b>	<b>(148)</b>	<b>(180)</b>	<b>397</b>	<b>604</b>	<b>75</b>	<b>(409)</b>	<b>486</b>	<b>(183)</b>	<b>138</b>	<b>(53)</b>	<b>312</b>	<b>516</b>	<b>1,555</b>	<b>(1,555)</b>	<b>-</b>

## **NOTES TO REPORT #6/6A**

### 1. **Beginning Balance**

The July 2008 beginning balance is consistent with the FY 2008 audited Comprehensive Annual Financial Report (CAFR).

### 2. **Ending Balances**

The actual monthly ending cash balances are subject to restatement after the completion of bank reconciliations. The June 2009 ending balance includes deferred revenue from FY 2010 prepaid Real Estate Taxes.

### 3. **Long Term Borrowings**

Long Term Borrowings are comprised of proceeds of City general obligation bonds and Water Authority revenue bonds, exclusive of bonds issued for refunding.

### 4. **Restricted Cash Bond Proceeds**

In certain instances a portion of the proceeds from each bond issuance is for capital expenditures to be made in succeeding months. Restricted cash bond proceeds accounts have been set up for this purpose. Balances in these accounts are excluded from the cash balances that are reflected in Report #6.