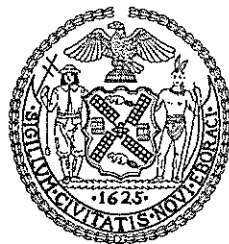


Financial Plan Statements
for
New York City
March 2011



The City of New York



This report contains Financial Plan Statements for March 2011 which have been prepared in accordance with the New York State Financial Emergency Act for the City of New York.

The fiscal year plan reflects the Financial Plan as submitted to the Financial Control Board on February 17, 2011.

The forecast of revenues and expenditures reflects actual revenue and expenditure performance to date and expected activity for the remainder of the fiscal year.

The actuals and projections in the forecasts are based on the best information available to the City at the date of preparation and certain assumptions and methods of estimation, which are considered reasonable and appropriate for purposes of the report as of such date.

THE CITY OF NEW YORK
BY

Handwritten signature of Stuart Klein in cursive.

Stuart Klein
First Deputy Director
Office of Management and Budget

Handwritten signature of Simcha Felder in cursive.

Simcha Felder
Deputy Comptroller of Accountancy and Budget
Office of the Comptroller

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NOTES TO FINANCIAL PLAN STATEMENTS

I. Summary of Significant Financial Policies, Procedures and Development

A. Financial Plan Statements

The City's Financial Plan Statements represent the accounts of the General Fund and certain transactions of the Capital Projects Fund of the City, including the Department of Education and the City University of New York. They do not include the total operations of the New York City Health and Hospitals Corporation (HHC) but do include the City's subsidy to HHC and the City's share of payments to the Corporation in connection with its role as a Medicaid provider.

The City's Financial Plan Statements incorporate the policies and procedures discussed in Note B. Such data are unaudited. Prior years' balances for cash, accounts receivable and outstanding obligations are consistent with the FY 2010 audited Comprehensive Annual Financial Report (CAFR). Whenever appropriate, adjustments have been incorporated into the Financial Plan Statements as they relate to the audit of the Comprehensive Annual Financial Report (CAFR). The Financial Plan on which these statements are based was prepared in accordance with generally accepted accounting principles, except for the application of GASB 49.

Covered Organization Financial Plans are issued quarterly.

B. Basis of Accounting

1. Revenues

Real estate tax revenue is recorded on the modified accrual basis of accounting, which recognizes as revenue payments received against the current year levy and late payments on prior year levies received within the first two months of the following year, reduced by estimated tax refunds to be made in the period.

Taxpayer assessed revenues (e.g. sales, income and certain excise taxes), net of estimated refunds, are recorded on a modified accrual basis. Revenues are susceptible to accrual if they are both measurable and available to be used to finance governmental operations during the year.

All other revenues are recorded when received in cash.

Federal categorical grants except as noted below are recognized as revenue as claims are filed during the year and adjusted at year-end for revenues earned but not claimed. State grants are recognized in the same manner. Advances provided to the City in anticipation of filing of claims by the City for federal and state reimbursement of Medicaid and welfare expenditures are recognized as revenue when received.

2. Expenditures

(a) Debt Service

Debt Service expenditures on general obligation issuances are recorded when City real estate tax collections are deposited into the Debt Service fund in advance of the actual debt service payment.

(b) Fixed Assets

Acquisitions of fixed assets having a useful life of more than five years and costing more than \$15,000 are treated as capital expenditures. All other acquisitions of fixed assets are treated as operating expenditures.

(c) Encumbrances

Encumbrances entered during FY 2011 for OTPS purchase orders and contracts expected to be received by June 30, 2011 are treated as expenditures.

(d) Self-Insurance

The City is self-insured with respect to most risks, including, but not limited to, property damage, personal injury, and workers' compensation. Settlements reached or judgments entered during FY 2011 are recorded when paid and adjusted at year-end for any additional unpaid settlements reached or judgments entered during FY 2011.

(e) Vacation and Sick Leave

The annual costs of actual vacation and sick leave are recorded on a cash basis.

(f) Materials and Supplies

Purchases of materials and supplies are treated as expenditures when encumbered. No inventory accounts are included in the financial statements.

(g) General Reserve

The General Reserve provides for shortfalls in revenues and overruns in uncontrollable expenditures.

3. Capital Commitments

The basis of reporting actual capital commitments, as well as sources and uses of capital expenditures, has been changed. Commitments and expenditures are now reported based upon the accounting period of the transaction. In prior Financial Plan Statements, these actuals were based upon dates transactions were entered in the City's Financial Management System.

C. Pension Plans

The City sponsors or participates in pension plans covering all eligible employees. Most plans require employee contributions. The plans provide pension benefits based on salary and length of service. In the event of disability during employment, participants may receive retirement allowances based on satisfaction of certain service requirements and other plan provisions. The City's main pension systems are the five major actuarial systems - The New York City Employees' Retirement System, The Teachers Retirement System, The Board of Education Retirement System, The New York City Police Pension Fund, Article 2 and the Fire Department Pension Fund Article 1-B.

The City also contributed to three other actuarial systems and sponsors six non-actuarial retirements' systems for certain employees, retirees and beneficiaries not covered by any of the five major actuarial systems. In addition, the City provides cost-of-living and other supplemental pensions to certain retirees of the actuarial and non-actuarial systems.

Financial Plan Statements can be accessed through the New York City Office of Management and Budget's website at: www.nyc.gov/omb

Report No. 1

Financial Plan Summary

**NEW YORK CITY
FINANCIAL PLAN SUMMARY
REPORT NO. 1
(MILLIONS OF DOLLARS)**

**MONTH: MARCH
FISCAL YEAR 2011**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2011		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
REVENUES:									
TAXES									
GENERAL PROPERTY TAXES	\$ 938	\$ 913	\$ 25	\$ 16,395	\$ 16,378	\$ 17	\$ 16,847	\$ 16,847	\$ -
OTHER TAXES	2,532	2,712	(180)	16,158	16,316	(158)	23,135	23,135	-
MISCELLANEOUS REVENUES	613	555	58	3,891	3,816	75	6,161	6,161	-
UNRESTRICTED INTGOVT. AID	-	-	-	1	2	(1)	14	14	-
LESS: INTRA-CITY REVENUES	(174)	(147)	(27)	(764)	(730)	(34)	(1,871)	(1,871)	-
DISALLOWANCES	-	-	-	-	-	-	(15)	(15)	-
SUBTOTAL	3,909	4,033	(124)	35,681	35,782	(101)	44,271	44,271	-
OTHER CATEGORICAL GRANTS	77	66	11	627	577	50	1,315	1,315	-
CAPITAL INTER-FUND TRANSFERS	89	107	(18)	399	399	-	559	559	-
FEDERAL GRANTS	1,182	1,067	115	4,071	3,894	177	8,197	8,197	-
STATE GRANTS	1,409	1,063	346	6,926	6,652	274	11,565	11,565	-
TOTAL REVENUES	\$ 6,666	\$ 6,336	\$ 330	\$ 47,704	\$ 47,304	\$ 400	\$ 65,907	\$ 65,907	\$ -
EXPENDITURES:									
PS	\$ 2,808	\$ 2,701	\$ (107)	\$ 23,835	\$ 23,830	\$ (5)	\$ 36,392	\$ 36,392	\$ -
OTPS	1,837	1,584	(253)	20,168	20,259	91	26,351	26,351	-
DEBT SERVICE	175	16	(159)	366	230	(136)	4,935	4,935	-
GENERAL RESERVE	-	-	-	-	-	-	100	100	-
SUBTOTAL	4,820	4,301	(519)	44,369	44,319	(50)	67,778	67,778	-
LESS: INTRA-CITY EXPENSES	(174)	(147)	27	(764)	(730)	34	(1,871)	(1,871)	-
TOTAL EXPENDITURES	\$ 4,646	\$ 4,154	\$ (492)	\$ 43,605	\$ 43,589	\$ (16)	\$ 65,907	\$ 65,907	\$ -
NET TOTAL	\$ 2,020	\$ 2,182	\$ (162)	\$ 4,099	\$ 3,715	\$ 384	\$ -	\$ -	\$ -

Note: For further details on revenue, see Report No. 3 on page 8. For further details on expenditures, see Report No. 4 on page 10 and the corresponding notes on page 13.

Report No. 1A

Month-by-Month Revenue and Obligation Forecast

NEW YORK CITY
MONTH - BY - MONTH - REVENUE AND OBLIGATION FORECAST
REPORT NO. 1A
(MILLIONS OF DOLLARS)

MONTH: MARCH
FISCAL YEAR 2011

	ACTUAL									FORECAST				
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	POST JUNE	TOTAL YEAR
REVENUES:														
TAXES														
GENERAL PROPERTY TAXES	\$ 7,652	\$ 110	\$ 980	\$ 413	\$ 57	\$ 3,842	\$ 2,304	\$ 99	\$ 938	\$ 400	\$ 26	\$ 38	\$ (12)	\$ 16,847
OTHER TAXES	915	938	3,075	982	1,095	2,808	2,652	1,161	2,532	2,087	963	3,423	504	23,135
MISCELLANEOUS REVENUES	613	293	433	419	391	361	357	411	613	504	651	586	529	6,161
UNRESTRICTED INTGOVT. AID	-	-	-	-	-	-	-	1	-	-	-	-	13	14
LESS: INTRA-CITY REVENUES	(3)	(3)	(30)	(70)	(92)	(129)	(124)	(139)	(174)	(180)	(118)	(280)	(529)	(1,871)
DISALLOWANCES	-	-	-	-	-	-	-	-	-	-	(5)	(10)	-	(15)
SUBTOTAL	9,177	1,338	4,458	1,744	1,451	6,882	5,189	1,533	3,909	2,811	1,517	3,757	505	44,271
OTHER CATEGORICAL GRANTS	6	116	63	34	55	38	121	117	77	106	65	517	-	1,315
CAPITAL INTER-FUND TRANSFERS	-	-	56	38	33	103	37	43	89	26	17	26	91	559
FEDERAL GRANTS	30	28	159	327	526	396	625	798	1,182	459	679	1,111	1,877	8,197
STATE GRANTS	25	2	1,525	221	922	942	990	890	1,409	1,032	942	1,516	1,149	11,565
TOTAL REVENUES:	\$ 9,238	\$ 1,484	\$ 6,261	\$ 2,364	\$ 2,987	\$ 8,361	\$ 6,962	\$ 3,381	\$ 6,666	\$ 4,434	\$ 3,220	\$ 6,927	\$ 3,622	\$ 65,907
EXPENDITURES:														
PS	\$ 2,014	\$ 1,971	\$ 2,741	\$ 2,800	\$ 2,672	\$ 2,767	\$ 3,417	\$ 2,645	\$ 2,808	\$ 2,721	\$ 2,687	\$ 5,758	\$ 1,391	\$ 36,392
OTPS	7,123	2,334	2,328	1,461	1,184	1,869	1,267	765	1,837	1,590	1,638	2,531	424	26,351
DEBT SERVICE	95	16	(1)	10	27	(5)	34	15	175	212	37	4,320	-	4,935
GENERAL RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	100	100
SUBTOTAL	9,232	4,321	5,068	4,271	3,883	4,631	4,718	3,425	4,820	4,523	4,362	12,609	1,915	67,778
LESS: INTRA-CITY EXPENSES	(3)	(3)	(30)	(70)	(92)	(129)	(124)	(139)	(174)	(180)	(118)	(280)	(529)	(1,871)
TOTAL EXPENDITURES	\$ 9,229	\$ 4,318	\$ 5,038	\$ 4,201	\$ 3,791	\$ 4,502	\$ 4,594	\$ 3,286	\$ 4,646	\$ 4,343	\$ 4,244	\$ 12,329	\$ 1,386	\$ 65,907
NET TOTAL	\$ 9	\$ (2,834)	\$ 1,223	\$ (1,837)	\$ (804)	\$ 3,859	\$ 2,368	\$ 95	\$ 2,020	\$ 91	\$ (1,024)	\$ (5,402)	\$ 2,236	\$ -

Report No. 2

Analysis of Change in Fiscal Year Plan

**NEW YORK CITY
ANALYSIS OF CHANGE IN FISCAL YEAR FORECAST
REPORT NO. 2
(MILLIONS OF DOLLARS)**

**MONTH: MARCH
FISCAL YEAR 2011**

DESCRIPTION	INITIAL PLAN 7/13/2010	CHANGES FROM INITIAL PLAN	CHANGES FROM PREVIOUS FORECAST
REVENUES:			
TAXES			
GENERAL PROPERTY TAXES	\$ 16,780	\$ 67	\$ -
OTHER TAXES	22,126	1,009	-
MISCELLANEOUS REVENUES	5,912	249	-
UNRESTRICTED INTERGOVERNMENTAL AID	14	-	-
LESS:INTRA-CITY REVENUES	(1,616)	(255)	-
DISALLOWANCES	(15)	-	-
SUBTOTAL	43,201	1,070	-
OTHER CATEGORICAL GRANTS	1,235	80	-
CAPITAL INTERFUND TRANSFERS	558	1	-
FEDERAL GRANTS	6,813	1,384	-
STATE GRANTS	11,352	213	-
TOTAL REVENUES	\$ 63,159	\$ 2,748	\$ -
EXPENDITURES:			
PERSONAL SERVICE	\$ 36,462	\$ (70)	\$ -
OTHER THAN PERSONAL SERVICE	25,783	568	-
DEBT SERVICE	2,093	2,842	-
GENERAL RESERVE	437	(337)	-
SUBTOTAL	64,775	3,003	-
LESS:INTRA-CITY EXPENDITURES	(1,616)	(255)	-
TOTAL EXPENDITURES	\$ 63,159	\$ 2,748	\$ -

Report No. 3

Revenue Activity by Major Area

**NEW YORK CITY
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3
(MILLIONS OF DOLLARS)**

**MONTH: MARCH
FISCAL YEAR 2011**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2011		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
TAXES:									
GENERAL PROPERTY TAXES	\$ 938	\$ 913	\$ 25	\$ 16,395	\$ 16,378	\$ 17	\$ 16,847	\$ 16,847	\$ -
PERSONAL INCOME TAX	521	574	(53)	5,389	5,458	(69)	7,477	7,477	-
GENERAL CORPORATION TAX	668	791	(123)	1,666	1,759	(93)	2,415	2,415	-
BANKING CORPORATION TAX	301	337	(36)	905	926	(21)	1,245	1,245	-
UNINCORPORATED BUSINESS TAX	28	38	(10)	937	942	(5)	1,705	1,705	-
GENERAL SALES TAX	549	534	15	4,110	4,091	19	5,509	5,509	-
REAL PROPERTY TRANSFER TAX	52	64	(12)	537	567	(30)	768	768	-
MORTGAGE RECORDING TAX	41	40	1	316	324	(8)	444	444	-
COMMERCIAL RENT TAX	141	146	(5)	437	441	(4)	603	603	-
UTILITY TAX	43	36	7	264	255	9	383	383	-
OTHER TAXES	100	106	(6)	595	590	5	991	991	-
TAX AUDIT REVENUES *	88	46	42	682	640	42	868	868	-
TAX PROGRAM	-	-	-	-	-	-	-	-	-
STAR PROGRAM	-	-	-	320	323	(3)	727	727	-
TOTAL TAXES	\$ 3,470	\$ 3,625	\$ (155)	\$ 32,553	\$ 32,694	\$ (141)	\$ 39,982	\$ 39,982	\$ -
MISCELLANEOUS REVENUES:									
LICENSES/FRANCHISES/ETC.	29	26	3	380	372	8	498	498	-
INTEREST INCOME	2	2	-	15	14	1	21	21	-
CHARGES FOR SERVICES	134	134	-	490	496	(6)	751	751	-
WATER AND SEWER CHARGES	148	38	110	1,146	1,025	121	1,314	1,314	-
RENTAL INCOME	22	13	9	173	163	10	239	239	-
FINES AND FORFEITURES	79	72	7	610	603	7	799	799	-
MISCELLANEOUS	25	123	(98)	313	413	(100)	668	668	-
INTRA-CITY REVENUE	174	147	27	764	730	34	1,871	1,871	-
TOTAL MISCELLANEOUS	\$ 613	\$ 555	\$ 58	\$ 3,891	\$ 3,816	\$ 75	\$ 6,161	\$ 6,161	\$ -

* The financial plan as submitted on February 17, 2011 reflects \$868 million in Tax Audit Revenues, anticipated to be collected as follows:

	CURRENT MONTH ACTUAL	YEAR-TO-DATE ACTUAL	FISCAL YEAR 2011 PLAN
GENERAL SALES TAX	\$ 1	\$ 13	\$ 21
PERSONAL INCOME TAX	1	16	29
GENERAL CORPORATION TAX	74	462	601
COMMERCIAL RENT TAX	4	19	20
BANKING CORPORATION TAX	1	104	120
UTILITY TAX	5	37	37
UNINCORPORATED BUSINESS TAX	2	24	30
REAL PROPERTY TRANSFER TAX	-	2	4
OTHER TAXES	-	5	6
TOTAL	\$ 88	\$ 682	\$ 868

NEW YORK CITY
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3 (CONT.)
(MILLIONS OF DOLLARS)

MONTH: MARCH
FISCAL YEAR 2011

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2011		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNRESTRICTED INTGOVT. AID									
FEDERAL REVENUE SHARING	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
NY STATE REVENUE SHARING	-	-	-	-	-	-	-	-	-
OTHER INTGOVT. AID	-	-	-	1	2	(1)	14	14	-
TOTAL UNRESTRICTED INTG.	\$ -	\$ -	\$ -	\$ 1	\$ 2	\$ (1)	\$ 14	\$ 14	\$ -
OTHER CATEGORICAL GRANTS	77	66	11	627	577	50	1,315	1,315	-
CAPITAL INTER-FUND TRANSFERS	89	107	(18)	399	399	-	559	559	-
LESS: INTRA-CITY REVENUES	(174)	(147)	(27)	(764)	(730)	(34)	(1,871)	(1,871)	-
LESS: DISALLOWANCES	-	-	-	-	-	-	(15)	(15)	-
FEDERAL GRANTS									
COMMUNITY DEVELOPMENT	18	19	(1)	153	154	(1)	284	284	-
WELFARE	281	263	18	1,680	1,661	19	2,964	2,964	-
EDUCATION	728	681	47	1,416	1,255	161	2,953	2,953	-
OTHER	155	104	51	822	824	(2)	1,996	1,996	-
TOTAL FEDERAL GRANTS	\$ 1,182	\$ 1,067	\$ 115	\$ 4,071	\$ 3,894	\$ 177	\$ 8,197	\$ 8,197	\$ -
STATE GRANTS									
WELFARE	154	149	5	1,027	983	44	2,048	2,048	-
EDUCATION	1,063	853	210	5,364	5,243	121	7,982	7,982	-
HIGHER EDUCATION	77	10	67	110	86	24	186	186	-
HEALTH AND MENTAL HYGIENE	42	40	2	212	182	30	463	463	-
OTHER	73	11	62	213	158	55	886	886	-
TOTAL STATE GRANTS	\$ 1,409	\$ 1,063	\$ 346	\$ 6,926	\$ 6,652	\$ 274	\$ 11,565	\$ 11,565	\$ -
TOTAL REVENUES	\$ 6,666	\$ 6,336	\$ 330	\$ 47,704	\$ 47,304	\$ 400	\$ 65,907	\$ 65,907	\$ -

Report No. 4

Obligation Analysis

**NEW YORK CITY
OBLIGATION ANALYSIS
REPORT NO. 4
(MILLIONS OF DOLLARS)**

**MONTH: MARCH
FISCAL YEAR 2011**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2011		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES									
POLICE DEPT.	\$ 359	\$ 394	\$ 35	\$ 3,554	\$ 3,640	\$ 86	\$ 4,877	\$ 4,877	\$ -
FIRE DEPT.	125	120	(5)	1,292	1,343	51	1,784	1,784	-
DEPT. OF CORRECTION	79	75	(4)	763	776	13	1,037	1,037	-
SANITATION DEPT.	93	79	(14)	1,132	1,147	15	1,402	1,402	-
HEALTH & WELFARE									
ADMIN. FOR CHILD SERVICES	193	187	(6)	2,309	2,222	(87)	2,761	2,761	-
DEPT. OF SOCIAL SERVICES	680	677	(3)	5,913	5,901	(12)	8,189	8,189	-
DEPT. OF HOMELESS SERVICES	23	48	25	870	902	32	1,045	1,045	-
HEALTH & MENTAL HYGIENE	74	59	(15)	1,394	1,420	26	1,676	1,676	-
OTHER AGENCIES									
HOUSING PRESERVATION & DEV.	63	30	(33)	578	559	(19)	772	772	-
ENVIRONMENTAL PROTECTION	84	52	(32)	758	759	1	1,036	1,036	-
TRANSPORTATION DEPT.	51	50	(1)	660	658	(2)	834	834	-
PARKS & RECREATION DEPT.	25	25	-	279	276	(3)	363	363	-
DEPT. OF CITYWIDE ADMIN. SERVICES	9	14	5	969	987	18	1,169	1,169	-
ALL OTHER	190	184	(6)	2,424	2,500	76	3,394	3,394	-
COVERED ORGANIZATIONS									
DEPT. OF EDUCATION	1,529	1,343	(186)	12,210	12,115	(95)	18,820	18,820	-
HIGHER EDUCATION	69	47	(22)	519	518	(1)	785	785	-
HEALTH & HOSPITALS CORP.	21	21	-	106	94	(12)	185	185	-
OTHER									
MISCELLANEOUS BUDGET:									
FRINGE BENEFITS	235	227	(8)	2,111	2,095	(16)	3,958	3,958	-
TRANSIT SUBSIDIES	68	1	(67)	347	312	(35)	464	464	-
JUDGMENTS & CLAIMS	55	50	(5)	254	249	(5)	637	637	-
OTHER	43	24	(19)	328	380	52	1,056	1,056	-
PENSION CONTRIBUTIONS	577	578	1	5,233	5,236	3	6,999	6,999	-
DEBT SERVICE	175	16	(159)	366	230	(136)	4,935	4,935	-
PRIOR YEAR ADJUSTMENTS	-	-	-	-	-	-	(500)	(500)	-
SUB-TOTAL	\$ 4,820	\$ 4,301	\$ (519)	\$ 44,369	\$ 44,319	\$ (50)	\$ 67,678	\$ 67,678	\$ -
PLUS GENERAL RESERVE	-	-	-	-	-	-	100	100	-
LESS INTRA-CITY EXPENSES	(174)	(147)	27	(764)	(730)	34	(1,871)	(1,871)	-
TOTAL EXPENDITURES	\$ 4,646	\$ 4,154	\$ (492)	\$ 43,605	\$ 43,589	\$ (16)	\$ 65,907	\$ 65,907	\$ -

Report No. 4A & 4B

Personnel Control Reports

**NEW YORK CITY
PERSONNEL CONTROL REPORT
REPORT NO. 4A
(MILLIONS OF DOLLARS)**

**MONTH: MARCH
FISCAL YEAR 2011**

	FT & FTE POSITIONS		PERSONAL SERVICE COSTS						FT & FTE POSITIONS		PERSONAL SERVICE COSTS			
	CURRENT MONTH		CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2011 PROJECTIONS		FISCAL YEAR 2011 PROJECTIONS			
	ACTUAL	PLAN *	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES														
POLICE DEPT.	50,601	51,476	\$ 324	\$ 325	\$ 1	\$ 3,227	\$ 3,233	\$ 6	50,502	50,502	-	\$ 4,385	\$ 4,385	\$ -
FIRE DEPT.	15,860	16,082	111	116	5	1,142	1,143	1	15,824	15,824	-	1,570	1,570	-
DEPT. OF CORRECTION	9,884	10,292	69	68	(1)	668	668	-	10,377	10,377	-	907	907	-
SANITATION DEPT.	9,124	9,229	83	65	(18)	647	633	(14)	9,223	9,223	-	842	842	-
HEALTH & WELFARE														
ADMIN. FOR CHILD SERVICES	5,716	5,958	28	27	(1)	269	268	(1)	6,059	6,059	-	360	360	-
DEPT. OF SOCIAL SERVICES	13,781	14,491	56	61	5	538	568	30	14,480	14,480	-	773	773	-
DEPT. OF HOMELESS SERVICES	1,870	2,012	9	9	-	87	88	1	2,012	2,012	-	120	120	-
HEALTH & MENTAL HYGIENE	6,099	6,525	30	32	2	282	298	16	6,603	6,603	-	410	410	-
OTHER AGENCIES														
ENVIRONMENTAL PROTECTION	5,837	6,088	35	35	-	331	336	5	6,128	6,128	-	458	458	-
TRANSPORTATION DEPT.	4,634	4,859	27	28	1	283	267	(16)	5,142	5,142	-	381	381	-
PARKS & RECREATION DEPT.	5,295	5,869	19	20	1	214	203	(11)	6,393	6,393	-	277	277	-
CITYWIDE ADMIN. SERVICES	2,181	2,445	10	12	2	105	109	4	2,352	2,352	-	147	147	-
ALL OTHER	30,866	30,027	156	145	(11)	1,492	1,509	17	30,977	30,977	-	2,070	2,070	-
COVERED ORGANIZATIONS														
DEPT. OF EDUCATION	133,987	134,277	1,039	952	(87)	7,206	7,175	(31)	135,777	135,777	-	12,680	12,680	-
OTHER														
MISCELLANEOUS BUDGET	-	-	235	228	(7)	2,111	2,096	(15)	-	-	-	4,013	4,013	-
PENSION CONTRIBUTIONS	-	-	577	578	1	5,233	5,236	3	-	-	-	6,999	6,999	-
TOTAL	295,735	299,630	\$ 2,808	\$ 2,701	\$ (107)	\$ 23,835	\$ 23,830	\$ (5)	301,849	301,849	-	\$ 36,392	\$ 36,392	\$ -

* Includes planned full-time headcount and estimates of planned FTEs.

**NEW YORK CITY
PERSONNEL CONTROL REPORT
REPORT NO. 4B**

**MONTH: MARCH
FISCAL YEAR 2011**

	FULL-TIME POSITIONS CURRENT MONTH			FULL-TIME POSITIONS FISCAL YEAR 2011		
	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES						
POLICE DEPT.	49,037	49,304	267	48,968	48,968	-
FIRE DEPT.	15,767	16,004	237	15,745	15,745	-
DEPT. OF CORRECTION	9,842	10,242	400	10,327	10,327	-
SANITATION DEPT.	9,020	9,098	78	9,080	9,080	-
HEALTH & WELFARE						
ADMIN. FOR CHILD SERVICES	5,670	5,890	220	5,998	5,998	-
DEPT. OF SOCIAL SERVICES	13,753	14,466	713	14,466	14,466	-
DEPT. OF HOMELESS SERVICES	1,869	2,011	142	2,011	2,011	-
HEALTH & MENTAL HYGIENE	4,769	5,316	547	5,395	5,395	-
OTHER AGENCIES						
ENVIRONMENTAL PROTECTION	5,694	5,978	284	6,007	6,007	-
TRANSPORTATION DEPT.	4,492	4,777	285	4,778	4,778	-
PARKS & RECREATION DEPT.	3,397	3,438	41	3,415	3,415	-
CITYWIDE ADMIN. SERVICES	1,996	2,116	120	2,051	2,051	-
ALL OTHER	25,060	25,396	336	25,692	25,692	-
COVERED ORGANIZATIONS						
DEPT. OF EDUCATION	119,417	118,583	(834)	120,083	120,083	-
TOTAL	269,783	272,619	2,836	274,016	274,016	-

NOTES TO REPORTS NO. 4, 4A AND 4B

The current month, year-to-date and fiscal year data in Reports No. 4, 4A & 4B reflect the Financial Plan submitted to the Financial Control Board on February 17, 2011.

There are 295,735 filled positions as of March of which 269,783 are full-time positions and 25,952 are full-time equivalent positions. Of the 295,735 filled positions, 257,387 are estimated to be wholly or partially city funded. For the fiscal year (June 30, 2011) 274,016 of the 301,849 positions are full-time and 260,673 of the 301,849 positions are estimated to be city funded positions.

In some instances prior year charges are reflected in FY 2011 year-to-date expenses. These will be journaled back to prior years at a later date.

Police Department: The \$86 million year-to-date variance is primarily due to:

- \$(7) million in accelerated encumbrances, primarily for contractual services, that was planned to be obligated later in the fiscal year.
- \$87 million in delayed encumbrances, including \$66 million for other services and charges and \$20 million for property and equipment, that will be obligated later in the fiscal year.
- \$6 million in personal services, including \$17 million for full-time normal gross, \$13 million for holiday pay, \$7 million for differentials, \$4 million for unsalaried positions and \$2 million for fringe benefits, offset by \$(28) million for overtime and \$(10) million for other adjustments.

Fire Department: The \$51 million year-to-date variance is primarily due to:

- \$50 million in delayed encumbrances, including \$31 million for other services and charges, \$8 million for contractual services, \$8 million for property and equipment and \$4 million for supplies and materials, that will be obligated later in the fiscal year.

- \$1 million in personal services, including \$13 million for full-time normal gross and \$3 million for fringe benefits, offset by \$(5) million for other adjustments, \$(5) million for differentials, \$(3) million for overtime and \$(2) million for holiday pay.

Department of Correction: The \$13 million year-to-date variance is primarily due to:

- \$13 million in delayed encumbrances, including \$5 million for other services and charges, \$5 million for contractual services and \$2 million for supplies and materials, that will be obligated later in the fiscal year.

Sanitation Department: The \$15 million year-to-date variance is primarily due to:

- \$(11) million in accelerated encumbrances, primarily for contractual services, that was planned to be obligated later in the fiscal year.
- \$40 million in delayed encumbrances, including \$27 million for supplies and materials, \$11 million for other services and charges and \$2 million for property and equipment, that will be obligated later in the fiscal year.
- \$(14) million in personal services, including \$(14) million for overtime, \$(4) million for holiday pay, \$(2) million for unsalaried positions, offset by \$8 million for full-time normal gross.

Administration for Children's Services: The \$(87) million year-to-date variance is primarily due to:

- \$(103) million in accelerated encumbrances, primarily for contractual services, that was planned to be obligated later in the fiscal year.
- \$17 million in delayed encumbrances, including \$9 million for social services, \$6 million for other services and charges and \$2 million for supplies and materials, that will be obligated later in the fiscal year.
- \$(1) million in personal services.

Department of Social Services: The \$(12) million year-to-date variance is primarily due to:

- \$(86) million in accelerated encumbrances, including \$(78) million for Public Assistance, \$(5) million for social services and \$(2) million for property and equipment, that was planned to be obligated later in the fiscal year.
- \$44 million in delayed encumbrances, including \$31 million for Medical Assistance, \$8 million for contractual services, and \$5 million for other services and charges, that will be obligated later in the fiscal year.
- \$30 million in personal services, including \$44 million for full-time normal gross, offset by \$(12) million for differentials and \$(2) million for unsalaried positions.

Department of Homeless Services: The \$32 million year-to-date variance is primarily due to:

- \$31 million in delayed encumbrances, including \$24 million for contractual services, \$3 million for other services and charges and \$3 million for property and equipment, that will be obligated later in the fiscal year.
- \$1 million in personal services.

Department of Health and Mental Hygiene: The \$26 million year-to-date variance is primarily due to:

- \$(19) million in accelerated encumbrances, primarily for contractual services, that was planned to be obligated later in the fiscal year.
- \$29 million in delayed encumbrances, including \$16 million for other services and charges, \$6 million for property and equipment, \$4 million for social services and \$3 million for supplies and materials, that will be obligated later in the fiscal year.
- \$16 million in personal services, including \$17 million for full-time normal gross and \$7 million for unsalaried positions, offset by \$(6) million for differentials and \$(2) million in holiday pay.

Department of Housing Preservation and Development: The \$(19) million year-to-date variance is primarily due to:

- \$(43) million in accelerated encumbrances, primarily for fixed and miscellaneous charges, that was planned to be obligated later in the fiscal year.
- \$22 million in delayed encumbrances, including \$8 million for other services and charges, \$8 million for supplies and materials and \$6 million for contractual services, that will be obligated later in the fiscal year.
- \$2 million in personal services.

Department of Transportation: The \$(2) million year-to-date variance is primarily due to:

- \$(6) million in accelerated encumbrances, primarily for supplies and materials, that was planned to be obligated later in the fiscal year.
- \$20 million in delayed encumbrances, including \$13 million for other services and charges, \$5 million for contractual services and \$2 million for property and equipment, that will be obligated later in the fiscal year.
- \$(16) million in personal services, including \$(11) million for overtime, \$(4) million for unsalaried positions, \$(3) million for differentials and \$(2) million for holiday pay, offset by \$6 million for full-time normal gross.

Department of Parks and Recreation: The \$(3) million year-to-date variance is primarily due to:

- \$9 million in delayed encumbrances, including \$3 million for supplies and materials, \$3 million for other services and charges and \$2 million for contractual services, that will be obligated later in the fiscal year.
- \$(12) million in personal services, including \$(4) million for differentials, \$(3) million for overtime and \$(2) million for other salaried positions.

Department of Citywide Administrative Services: The \$18 million year-to-date variance is primarily due to:

- \$(1) million in accelerated encumbrances, primarily for supplies and materials, that was planned to be obligated later in the fiscal year.
- \$15 million in delayed encumbrances, including \$10 million for contractual services and \$5 million for other services and charges, that will be obligated later in the fiscal year.
- \$4 million in personal services, including \$9 million for full-time normal gross, offset by \$(6) million for overtime.

Department of Education: The \$(95) million year-to-date variance is primarily due to:

- \$(279) million in accelerated encumbrances, including \$(251) million for contractual services and \$(27) million for property and equipment, that was planned to be obligated later in the fiscal year.
- \$215 million in delayed encumbrances, including \$134 million for supplies and materials, \$43 million for other services and charges and \$38 million for fixed and miscellaneous charges, that will be obligated later in the fiscal year.
- \$(31) million in personal services, including \$(30) million for fringe benefits, \$(16) million for full-time normal gross, \$(10) million for backpay that will be journaled to prior years, \$(8) million for differentials and \$(5) million for overtime, offset by \$36 million for per session payments and \$8 million for unsalaried positions.

Health and Hospitals Corporation: The \$(12) million year-to-date variance is primarily due to:

- \$(12) million in accelerated encumbrances primarily for fixed and miscellaneous charges, that was planned to be obligated later in the fiscal year.

Miscellaneous: The \$(4) million year-to-date variance is primarily due to:

- \$(16) million in fringe benefits reflecting accelerated encumbrances, that was planned to be obligated later in the fiscal year.
- \$(35) million in transit subsidies reflecting accelerated encumbrances, that was planned to be obligated later in the fiscal year.
- \$(5) million in judgments and claims reflecting accelerated encumbrances, that was planned to be obligated later in the fiscal year.
- \$52 million in other, that will be obligated later in the fiscal year.

Debt Service: The \$(136) million year-to-date variance is primarily due to:

- \$(179) million in accelerated encumbrances primarily for redemption of general obligation bonds, general interest on bonds, costs associated with financing and blended component units, that was planned to be obligated later in the fiscal year.
- \$43 million in delayed encumbrances primarily for payments to counterparties, that will be obligated later in the fiscal year.

Report No. 5

Capital Commitments

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: MARCH		FISCAL YEAR: 2011		
	CURRENT MONTH		YEAR-TO-DATE		FISCAL YEAR
	ACTUAL	PLAN	ACTUAL	PLAN	PLAN
TRANSIT	\$0.0 (C) 0.0 (N)	\$0.0 (C) 0.0 (N)	\$35.0 (C) 0.0 (N)	\$0.0 (C) 0.0 (N)	\$194.0 (C) 32.2 (N)
HIGHWAY AND STREETS	4.2 (C) 0.1 (N)	4.2 (C) 0.3 (N)	177.2 (C) 8.7 (N)	141.0 (C) 30.2 (N)	517.6 (C) 192.5 (N)
HIGHWAY BRIDGES	13.7 (C) 0.0 (N)	0.0 (C) 0.0 (N)	84.2 (C) 0.0 (N)	48.1 (C) 0.0 (N)	203.6 (C) 9.5 (N)
WATERWAY BRIDGES	1.9 (C) 0.0 (N)	0.0 (C) 0.0 (N)	17.6 (C) 0.0 (N)	12.8 (C) 0.0 (N)	13.3 (C) 20.8 (N)
WATER SUPPLY	0.0 (C) 0.0 (N)	0.0 (C) 0.0 (N)	3.4 (C) 0.0 (N)	(6.0) (C) 0.0 (N)	5.1 (C) 0.0 (N)
WATER MAINS, SOURCES & TREATMENT	31.7 (C) 0.0 (N)	9.9 (C) 0.0 (N)	378.6 (C) 0.0 (N)	110.7 (C) 0.0 (N)	971.6 (C) 125.5 (N)
SEWERS	34.8 (C) 0.0 (N)	1.9 (C) 0.0 (N)	117.4 (C) 11.8 (N)	143.8 (C) 11.8 (N)	483.7 (C) 67.0 (N)
WATER POLLUTION CONTROL	29.1 (C) 0.0 (N)	0.0 (C) 0.0 (N)	247.4 (C) 7.9 (N)	(12.9) (C) 0.0 (N)	630.8 (C) 8.4 (N)
ECONOMIC DEVELOPMENT	8.5 (C) 12.6 (N)	0.0 (C) 0.0 (N)	117.2 (C) 38.3 (N)	95.4 (C) 86.8 (N)	775.6 (C) 189.5 (N)
EDUCATION	103.0 (C) 205.4 (N)	0.0 (C) 78.2 (N)	835.8 (C) 796.0 (N)	871.2 (C) 683.1 (N)	1,127.8 (C) 847.1 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: MARCH		FISCAL YEAR: 2011		FISCAL YEAR PLAN
	CURRENT MONTH ACTUAL	PLAN	YEAR-TO-DATE ACTUAL	PLAN	
CORRECTION	1.8 (C) 0.0 (N)	0.3 (C) 0.0 (N)	36.5 (C) 0.0 (N)	105.6 (C) 0.0 (N)	256.4 (C) 0.0 (N)
SANITATION	29.2 (C) (0.0) (N)	21.0 (C) 0.0 (N)	452.9 (C) 0.3 (N)	565.1 (C) 1.9 (N)	576.6 (C) 6.5 (N)
POLICE	5.2 (C) 0.0 (N)	35.1 (C) 0.0 (N)	64.5 (C) 0.0 (N)	92.1 (C) 0.0 (N)	256.3 (C) 0.0 (N)
FIRE	16.1 (C) 1.5 (N)	0.0 (C) 0.0 (N)	43.8 (C) 4.4 (N)	39.2 (C) 1.8 (N)	184.7 (C) 8.9 (N)
HOUSING	13.7 (C) 4.2 (N)	5.6 (C) (0.6) (N)	111.3 (C) 4.1 (N)	55.5 (C) (5.0) (N)	637.2 (C) 185.7 (N)
HOSPITALS	8.2 (C) 0.0 (N)	12.6 (C) 2.5 (N)	97.0 (C) 0.0 (N)	134.1 (C) 2.5 (N)	292.3 (C) 3.2 (N)
PUBLIC BUILDINGS	6.2 (C) 0.0 (N)	0.0 (C) 0.0 (N)	87.1 (C) 0.0 (N)	49.8 (C) 0.0 (N)	359.3 (C) 0.3 (N)
PARKS	13.4 (C) 1.9 (N)	1.3 (C) 0.0 (N)	239.5 (C) 14.5 (N)	159.4 (C) 3.7 (N)	960.6 (C) 185.0 (N)
ALL OTHER DEPARTMENTS	143.8 (C) 51.3 (N)	21.1 (C) 1.1 (N)	761.7 (C) 95.0 (N)	481.5 (C) 24.4 (N)	3,078.6 (C) 401.2 (N)
TOTAL	\$464.5 (C) \$277.0 (N)	\$113.0 (C) \$81.6 (N)	\$3,908.1 (C) \$980.8 (N)	\$3,086.2 (C) \$841.2 (N)	\$11,525.0 (C) \$2,283.2 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**NEW YORK CITY
CAPITAL COMMITMENTS
REPORT NO. 5
(MILLIONS IN DOLLARS)**

Month: March

Fiscal Year: 2011

City Funds:

Total Authorized Commitment Plan	\$11,525
Less: Reserve for Unattained Commitments Commitment Plan	<u>(3,248)</u>
	<u>\$8,277</u>

Non-City Funds:

Total Authorized Commitment Plan	\$2,283
Less: Reserve for Unattained Commitments Commitment Plan	<u>0</u>
	<u>\$2,283</u>

Month and year-to-date variances for City funds are reported against the authorized FY 2011 February Capital Commitment Plan of \$11,525 million rather than the Financial Plan level of \$8,277 million. The additional \$3,248 million of commitments is included to help the City meet its overall Financial Plan target. Aggregate commitments are not expected to exceed the approved plan level as it may be modified from time to time.

NOTES TO REPORT #5

1. Capital Commitments: Commitments are defined as awarded contracts registered with the City Comptroller except for Waterway and Highway Bridge projects performed jointly with the State. Certain non-City commitments for Bridge projects are not registered with the City Comptroller. These commitments are reported when the State advertises the work. Beginning in Fiscal Year 2000, reported education commitments have been changed to represent master contract registrations with the Comptroller. Previously, reported education commitments represented Construction Authority contract registrations.

2. Variances in year-to-date commitments of City funds through March are primarily due to timing differences.

- | | | |
|-------------------------|---|--|
| Correction | - | Adolescent Reception Detention Center, Riker's Island, totaling \$9.8 million, slipped from July and August 2010 and March 2011 to May 2011. Correction facilities, construction, reconstruction and improvements, City-wide, totaling \$60.4 million, slipped from July 2010 thru February 2011 to May 2011. Purchase of equipment for other use by the Department of Correction, totaling \$3.1 million, slipped from July 2010 to May 2011. Acquisition and construction of the supplementary housing program and support facilities, totaling \$1.6 million, slipped from July 2010 to May 2011 and planned deregistration, totaling \$1.2 million, slipped from October 2010 to May 2011. Purchase of computer equipment, totaling \$9.7 million, slipped from July 2010 to May 2011. Rikers Island infrastructure, totaling \$19.0 million, advanced from June 2011 to December 2010. Construction of High Security Institution, Rikers Island, totaling \$2.1 million, slipped from July 2010 to May 2011. Various slippages and advances account for the remaining variance. |
| Education | - | Five-Year Educational Capital Plan, totaling \$38.5 million, slipped from February 2011 to May 2011. |
| Economic
Development | - | Brooklyn Navy Yard, totaling \$12.1 million, advanced from June 2011 to January and March 2011. Acquisition and site development for commercial redevelopment, City-wide, totaling \$8.3 million, slipped from October 2010 thru January 2011 to May 2011. Commercial Revitalization, City-wide, totaling \$5.3 million, advanced from June 2011 to January and February 2011. Modernization and reconstruction of piers, City-wide, totaling \$9.5 million, advanced from June 2011 to December 2010 and January thru March 2011. International Business Development, totaling \$2.3 million, advanced from June 2011 to February 2011. Various slippages and advances account for the remaining variance. |

- Highway Bridges - Reconstruction of Madison Avenue Bridge, totaling \$5.0 million, advanced from June 2011 to December 2010. Reconstruction of the Belt Parkway over Paerdegat Basin, Brooklyn, totaling \$4.5 million, advanced from June 2011 to March 2011. Design cost for bridge facilities, City-wide, totaling \$2.1 million, advanced from June 2011 to December 2010 and March 2011. Bridge Painting, City-wide, totaling \$6.2 million, advanced from June 2011 to November and December 2010. Reconstruction of the Belt Shore Parkway over Fresh Creek, Brooklyn, totaling \$2.8 million, advanced from June 2011 to December 2010 thru February 2011. Reconstruction of the ramps at Saint George Ferry Terminal, totaling \$6.2 million, advanced from June 2011 to March 2011. Various slippages and advances account for the remaining variance.
- Housing - Housing Authority City capital subsidies, totaling \$ 5.8 million, slipped from March 2011 to June 2011. Affordable Housing Recovery Program, totaling \$5.4 million, advanced from June 2011 to December 2010 and February 2011 and a deregistration, totaling \$2.4 million, occurred in March 2011. Computer purchases and upgrade, totaling \$2.5 million, advanced from June 2011 to August thru December 2010 and January thru March 2011. Supportive housing programs, City-wide, totaling \$4.1 million, advanced from May 2011 to November 2010 and January 2011. Third party transfer programs, totaling \$5.4 million, advanced from June 2011 to March 2011. Queens West, totaling \$16.8 million, advanced from May 2011 to February 2011. Low income rental, totaling \$18.0 million, advanced from June 2011 to December 2010 and February and March 2011. Various slippages and advances account for the remaining variance.
- Highways - Construction reconstruction and resurfacing of streets in Staten Island, totaling, \$4.1 million, advanced from June 2011 to November 2010 thru March 2011. Repaving and resurfacing of streets, City-wide, totaling \$12.6 million, advanced from June 2011 to September thru December 2010 and March 2011. Construction and reconstruction of highways, City-wide, totaling \$6.1 million, slipped from November 2010 thru February 2011 to June 2011. Land acquisition for streets and sewers, totaling \$5.8 million, slipped from December 2010 and February 2011 to June 2011. Sidewalk reconstruction, totaling \$49.7 million, slipped from December 2010 and January thru March 2011 to June 2011. Repaving and resurfacing of streets, in-house, totaling \$102.9 million, advanced from June 2011 to December 2010. Reconstruction of Arthur Kill Road, totaling \$2.0 million, slipped from January 2011 to June 2011. Reconstruction of Peck Slip, Manhattan, totaling \$3.7 million, slipped from February 2011 to June 2011.

Reconstruction of Linden Place, totaling \$2.3 million, slipped from January 2011 to June 2011. Reconstruction of City-owned retaining walls, City-wide, totaling \$2.3 million, slipped from November and December 2010 and January 2011 to June 2011. Various slippages and advances account for the remaining variance.

- Parks
 - Miscellaneous parks, parkways and playgrounds, City-wide, totaling \$21.1 million, advanced from June 2011 to July thru December 2010 and January thru March 2011. Construction and reconstruction of playgrounds and recreational facilities, totaling \$4.0 million, advanced from June 2011 to December 2010 thru March 2011. Orchard Beach Expansion, totaling \$3.8 million, advanced from June 2011 to September 2010. A planned deregistration for Ferry Point Park, totaling \$1.2 million, slipped from November 2010 to June 2011 and contract registering, totaling \$6.2 million, advanced from June 2011 to September 2010 and February and March 2011. Roosevelt Island Operating Corp. totaling \$5.0 million, advanced from June 2011 to February 2011. Street and park tree planting, City-wide, totaling \$17.8 million, advanced from June 2011 to October thru December 2010 and January and March 2011. Rehabilitation of Upper Highland Park, Queens, totaling \$6.5 million, advanced from June 2011 to March 2011. Park improvements, City-wide, totaling \$7.9 million, advanced from June 2011 to December 2010 and January thru March 2011. Improvements to Central Park, Manhattan, totaling \$2.9 million, advanced from June 2011 to December 2010. Deregistration of contracts for the Fresh Kills Park, Staten Island, totaling \$3.7 million, occurred in March 2011. Various slippages and advances account for the remaining variance.

- Police
 - Ultra high frequency radio equipment purchases, totaling \$13.3 million, slipped from January and March 2011 to June 2011. Improvements to Police Department facilities, totaling \$6.5 million, advanced from April 2011 to February and March 2011. Acquisition of computer equipment, totaling \$3.7 million, slipped from March 2011 to June 2011. Purchase of Police vehicles, totaling \$11.8 million, slipped from February and March 2011 to June 2011. Various slippages and advances account for the remaining variance.

- Public Buildings
 - Construction and reconstruction of public buildings, City-wide, totaling \$7.5 million, advanced from April thru June 2011 to November 2010 thru March 2011. Deregistration of contracts for surveys in connection with capital projects, City-wide, totaling \$3.0 million, occurred in January 2011. Reconstruction and improvements to long term leased facilities, City-wide, totaling \$8.9 million, advanced from April thru June 2011 to July and December 2010 thru February 2011. Board of Elections, totaling \$10.1 million, advanced

from April and June 2011 to July thru October 2010. Installation of fuel facility vapor control systems, totaling \$10.2 million, advanced from June 2011 to October 2010 and January 2011. Various slippages and advances account for the remaining variance.

- Sanitation - Purchase of collection trucks and equipment, totaling \$13.3 million, slipped from February and March 2011 to April 2011. Garages and other facility improvements, City-wide, totaling \$7.8 million, slipped from February and March 2011 to April 2011. Sites for Sanitation Garage, totaling \$27.7 million, slipped from January and February to April 2011. Construction of salt storage sheds, totaling \$11.1 million, slipped from January thru March 2011 to April 2011. Construction of Sanitation garage, District 1/2/5 in Manhattan, totaling \$7.1 million, slipped from February and March 2011 to April 2011. Purchase of electronic data processing equipment for the Department of Sanitation, totaling \$44.3 million, slipped from December 2010 thru February 2011 to April 2011. Various slippages and advances account for the remaining variance.
- Sewers - Construction and reconstruction of sanitary and combined sewers, City-wide, totaling \$33.9 million, slipped from July 2010 thru March 2011 to June 2011. Acquisition of land, pursuant to storm water management program, totaling \$9.8 million, advanced from June 2011 to July thru March 2011. Mapping of sewer system, City-wide, totaling \$2.0 million, slipped from January 2011 to June 2011. Various slippages and advances account for the remaining variance.
- Transit - Reconstruction of Transit lines under operation, City-wide, totaling \$35.0 million, advanced from June 2011 to November 2010.
- Water Supply - Additional Water Supply Emergency, totaling \$8.6 million, advance from June 2011 and Fiscal Year 2012 to July 2010 thru March 2011.
- Water Mains - Water main extensions, City-wide, totaling \$24.9 million, slipped from December thru March 2011 to April 2011. Trunk main extensions and improvements, totaling \$71.8 million, advanced from June 2011 to December thru March 2011. Construction of the Croton Filtration Plant, totaling \$111.1 million, advanced from June 2011 to July 2010 thru March 2011. Improvements to structures on watersheds outside the City, totaling \$105.3 million, advanced from June 2011 to July 2010 thru March 2011. Water supply

improvements, totaling \$4.6 million, advanced from June 2011 and FY 2012 to August thru December 2010 and January and March 2011. Various slippages and advances account for the remaining variance.

Water Pollution
Control

- Deregistration of contracts for the Hunts Point Water Pollution Control Plant, totaling \$4.3 million, occurred in February 2011. Reconstruction of Ward's Island Water Pollution Control Plant, totaling \$13.7 million, advanced from June 2011 to August 2010 thru March 2011. Reconstruction of Water Pollution Control Projects, totaling \$44.9 million, advanced from June 2011 to July 2010 thru March 2011. North River Water Pollution Control Plant, totaling \$4.4 million, advanced from June 2011 to August 2010 thru February 2011. Construction of combined sewer overflow abatement, totaling \$52.9 million, advanced from April and June 2011 to July 2010 thru March 2011. Upgrade of the Twenty Sixth Water Pollution Control Plant, totaling \$11.2 million, advanced from June 2011 to July 2010 thru February 2011. Upgrade of Bowery Bay Ward Water Pollution Control, totaling \$17.2 million, advanced from April and June 2011 to July 2010 thru March 2011. Upgrade of Tallmans Island Ward Water Pollution Control Plant, totaling \$19.5 million, advanced from April and June 2011 to August 2010 thru February 2011. Construction and reconstruction of pumping stations, City-wide, totaling \$15.1 million, advanced from April and June 2011 to July 2010 thru March 2011. Engineering, architect and other administrative costs associated with Water Pollution Control, totaling \$18.7 million, advanced from April and June 2011 to September 2010 thru February 2011. Upgrade of the Newtown Creek Water Pollution Control Plant, totaling \$54.3 million, advanced from April and June 2011 to July 2010 thru March 2011. Bionutrient removal facilities, City-wide, totaling \$6.2 million, advanced from June 2011 to August, September and December 2010 and January and March 2011. Various slippages and advances account for the remaining variance.

Others

- Staten Island Supreme Court Building, totaling \$3.1 million, slipped from July thru November 2010 to June 2011. DASNY managed courts projects, totaling \$76.2 million, occurred in January thru March 2011.
- Equipment for ACS, City-wide, totaling \$36.6 million, slipped from December 2010 and January 2011 to May 2011.
- Purchase of EDP equipment for the Department of Information Technology and Telecommunications, totaling \$34.4 million, advanced from June 2011 to January thru March 2011. Emergency

communications system and facilities, totaling \$101.6 million, advanced from June 2011 to December 2010 thru March 2011.

- Purchase of DEP equipment, totaling \$5.6 million, advanced from June 2011 to July 2010 thru March 2011. Purchase of electronic data processing equipment, totaling \$23.8 million, advanced from June 2011 to July 2010 thru December 2010 and March 2011. Acquisition of leased and owned facilities by the Department of Environmental Protection, totaling \$2.1 million, slipped from February 2011 to May 2011. Mandated payments for private gas utility relocation for the Department of Environmental Protection, totaling \$13.4 million, advanced from April and June 2011 to July 2010 thru March 2011. Contracts for the remedial action at closed landfill, totaling \$12.0 million, advanced from June 2011 and Fiscal Year 2012 to September and November 2010. Installation of water measuring devices, City-wide, totaling \$19.8 million, advanced from June 2011 to July thru December 2010.
- Purchase of equipment for use by the Homeless, City-wide, totaling \$2.5 million, advanced from June 2011 to July 2010 thru March 2011. Congregate Facilities for the homeless, totaling \$3.9 million, advanced from April thru June 2011 to December 2010 thru March 2011.
- City University improvements, City-wide, totaling \$10.7 million, advanced from June 2011 to July 2010 thru March 2011. Reconstruction and improvements to senior colleges, Queens, totaling \$2.2 million, advanced from June 2011 to January thru March 2011. Deregistration of contracts for Community College improvements, totaling \$76.2 million, occurred in February 2011.
- Construction, reconstruction, improvements, acquisitions, outfitting and equipment for Queens's libraries, totaling \$9.8 million, slipped from July 2010 thru March 2011 to June 2011.
- Purchase of electronic data processing equipment, totaling \$36.9 million, advanced from June 2011 to July thru December 2010 and January thru March 2011. Purchase of electronic data processing equipment for FISA, totaling \$5.2 million, advanced from June 2011 to July thru December 2010 and January thru March 2011. Financing capital expenditures, totaling \$14.3 million, occurred in October and December 2010 and January 2011. Energy efficiency and sustainability, totaling \$10.1 million, advanced from April thru June 2011 to January thru March 2011.

- Reconstruction of the Staten Island Institute of Arts and Sciences, totaling \$15.0 million, slipped from March 2011 to June 2011. Construction, improvements and acquisition of all cultural projects, totaling \$6.5 million, advanced from May and June 2011 to January thru March 2011.
- Installation of traffic signals, City-wide, totaling \$27.1 million, advanced from June 2011 to September 2010 thru March 2011. Bus rapid transit, City-wide, totaling \$3.4 million, slipped from October and December 2010 and February 2011 to June 2011.

3. Variances in year-to-date commitments of non-City funds through March occurred in the Department of Education, the Department of Business Services, the Department of Parks and Recreation, the Department of Environmental Protection, the Department of Housing Preservation and Development and the Department of Transportation.

- | | | |
|----------------------|---|--|
| Education | - | Five-Year Educational Capital Plan, totaling \$112.8 million, advanced from April thru June 2011 to March 2011. |
| Economic Development | - | Acquisition, site development, construction and reconstruction related to Economic Development, totaling \$60.5 million, slipped from January 2011 to June 2011. Brooklyn Navy Yard, totaling \$2.5 million, advanced from June 2011 to January 2011. Industrial and commercial development totaling \$9.2 million, advanced from June 2011 to February 2011. Various slippages and advances account for the remaining variance. |
| Highways | - | Private portion for Highway projects, City-wide, totaling \$14.8 million, slipped from December 2010 thru February 2011 to June 2011. Reconstruct all streets related to WTC and cleanup, City-wide, totaling \$4.8 million, slipped from September 2010 thru February 2011 to June 2011. Various slippages and advances account for the remaining variance. |
| Housing | - | Assisted living and senior housing, totaling \$2.4 million advanced from May 2011 to March 2011. HUD multi family City-wide program, totaling \$2.0 million, advanced from April 2011 to December 2010. Various slippages and advances account for the remaining variance. |

- Parks
 - Miscellaneous parks, parkways and playgrounds, City-wide, totaling \$7.1 million, advanced from June 2011 to August 2010 thru March 2011. Various slippages and advances account for the remaining variance.

- Water Pollution Control
 - Reconstruction of Water Pollution Control Plant Projects, totaling \$8.1 million, advanced from June 2011 to October 2010. Various slippages and advances account for the remaining variance.

- Others
 - Installation of traffic signals, totaling \$38.2 million, advanced from June 2011 to December 2010 thru March 2011. Parking meter replacement, totaling \$10.3 million, advanced from June 2011 to March 2011. Street light installation, City-wide, totaling \$9.3 million, advanced from April 2011 to December 2010 thru March 2011.

 - Ferry boats, terminals, floating equipment and related items, totaling 2.2 million, advanced from June 2011 to March 2011. Private ferry facilities, boats and other equipment, totaling \$3.1 million, advanced from June 2011 to December 2010.

Report No. 5A

Capital Cash Flow

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: MARCH		FISCAL YEAR: 2011	
	CURRENT MONTH ACTUAL		YEAR-TO-DATE ACTUAL	FISCAL YEAR PLAN
TRANSIT	\$0.0 (C) 0.0 (N)		\$61.7 (C) 0.0 (N)	\$79.6 (C) 5.5 (N)
HIGHWAY AND STREETS	55.9 (C) 3.6 (N)		217.4 (C) 26.9 (N)	283.0 (C) 59.2 (N)
HIGHWAY BRIDGES	14.1 (C) 6.5 (N)		148.4 (C) 91.7 (N)	224.3 (C) 116.4 (N)
WATERWAY BRIDGES	7.8 (C) 3.3 (N)		120.4 (C) 64.4 (N)	214.8 (C) 115.5 (N)
WATER SUPPLY	10.1 (C) 0.0 (N)		85.4 (C) 0.0 (N)	170.8 (C) 0.0 (N)
WATER MAINS, SOURCES & TREATMENT	111.6 (C) 0.0 (N)		954.1 (C) 0.0 (N)	1,093.3 (C) 22.5 (N)
SEWERS	16.6 (C) 1.3 (N)		137.2 (C) 2.4 (N)	209.0 (C) 13.0 (N)
WATER POLLUTION CONTROL	99.1 (C) 3.5 (N)		863.0 (C) 37.6 (N)	950.5 (C) 47.8 (N)
ECONOMIC DEVELOPMENT	8.8 (C) 11.0 (N)		160.2 (C) 52.4 (N)	242.6 (C) 63.4 (N)
EDUCATION	261.0 (C) 139.0 (N)		1,476.7 (C) 523.6 (N)	1,738.4 (C) 648.2 (N)

SYMBOLS:

(C) CITY FUNDS

(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: MARCH	FISCAL YEAR: 2011	FISCAL YEAR
	CURRENT MONTH ACTUAL	YEAR-TO-DATE ACTUAL	PLAN
CORRECTION	4.8 (C) 0.0 (N)	30.7 (C) 0.0 (N)	78.7 (C) 0.0 (N)
SANITATION	6.4 (C) 0.0 (N)	262.4 (C) 1.4 (N)	321.7 (C) 2.3 (N)
POLICE	24.8 (C) 0.0 (N)	139.3 (C) 0.0 (N)	257.0 (C) 0.0 (N)
FIRE	11.1 (C) 0.0 (N)	86.2 (C) 9.6 (N)	84.5 (C) 9.5 (N)
HOUSING	11.8 (C) (0.3) (N)	174.2 (C) 27.5 (N)	208.2 (C) 59.8 (N)
HOSPITALS	1.7 (C) 0.0 (N)	110.9 (C) 0.0 (N)	121.5 (C) 0.5 (N)
PUBLIC BUILDINGS	13.0 (C) 0.0 (N)	112.4 (C) 0.0 (N)	133.8 (C) 0.1 (N)
PARKS	38.4 (C) 1.7 (N)	464.4 (C) 16.0 (N)	506.3 (C) 45.9 (N)
ALL OTHER DEPARTMENTS	110.1 (C) 9.3 (N)	1,175.6 (C) 95.4 (N)	1,605.5 (C) 133.6 (N)
TOTAL	\$807.3 (C) \$179.0 (N)	\$6,780.8 (C) \$949.1 (N)	\$8,523.5 (C) \$1,343.4 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

Report No. 6 & 6A

Month-by-Month Cash Flow Forecast

NEW YORK CITY
MONTH - BY - MONTH CASH FLOW FORECAST
REPORT NO. 6
(MILLIONS OF DOLLARS)

MONTH: MARCH
FISCAL YEAR 2011

	JUL	AUG	SEP	OCT	ACTUAL NOV	DEC	JAN	FEB	MAR	APR	FORECAST MAY	JUN	12 Months	ADJUST- MENTS	TOTAL
CASH INFLOWS															
CURRENT															
GENERAL PROPERTY TAX	\$3,122	\$110	\$980	\$413	\$57	\$3,842	\$2,304	\$99	\$938	\$400	\$26	\$2,510	\$14,801	\$2,046	\$16,847
OTHER TAXES	444	953	2,896	1,142	1,070	2,774	2,621	1,280	2,351	2,232	954	3,480	22,197	938	23,135
FEDERAL GRANTS	107	431	(77)	406	101	890	8	698	1,002	564	608	1,221	5,959	2,238	8,197
STATE GRANTS	361	67	840	189	522	992	53	284	3,419	(4)	1,438	1,550	9,711	1,854	11,565
OTHER CATEGORICAL	48	218	60	108	11	166	1	69	41	41	27	259	1,049	266	1,315
UNRESTRICTED (NET OF DISALL.)	-	-	-	-	-	-	1	-	14	-	(5)	(10)	-	(1)	(1)
MISCELLANEOUS REVENUES	610	290	403	349	299	232	233	272	439	324	533	306	4,290	-	4,290
CAPITAL INTER-FUND TRANSFERS	-	-	56	38	33	103	37	43	89	26	17	26	468	91	559
SUBTOTAL	4,692	2,069	5,158	2,645	2,093	8,999	5,258	2,745	8,293	3,583	3,598	9,342	58,475	7,432	65,907
PRIOR															
OTHER TAXES	760	232	-	-	-	-	-	-	-	-	-	-	992	-	992
FEDERAL GRANTS	325	378	725	71	326	125	277	153	265	62	13	197	2,917	647	3,564
STATE GRANTS	19	498	534	289	116	(17)	318	3	87	122	160	260	2,389	1,712	4,101
OTHER CATEGORICAL	(3)	126	18	11	81	11	42	24	57	12	13	13	405	30	435
UNRESTRICTED	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
MISC. REVENUE/CAPITAL IFA	2	32	53	-	-	-	-	-	-	-	-	-	87	(87)	-
SUBTOTAL	1,103	1,266	1,330	371	523	119	637	180	409	196	186	470	6,790	2,302	9,092
CAPITAL															
CAPITAL TRANSFERS	605	1,251	1,029	1,017	554	619	796	906	599	708	969	977	10,030	(1,506)	8,524
FEDERAL AND STATE	3	4	68	19	366	10	42	148	27	29	50	577	1,343	-	1,343
OTHER															
SENIOR COLLEGES	288	2	1	143	256	1	-	1	717	185	5	545	2,144	(287)	1,857
HOLDING ACCT. & OTHER ADJ.	-	-	1	-	1	(4)	10	1	1	(10)	-	-	-	-	-
OTHER SOURCES	1	320	-	233	-	161	79	-	176	-	-	123	1,093	-	1,093
TOTAL INFLOWS	\$6,692	\$4,912	\$7,587	\$4,428	\$3,793	\$9,905	\$6,822	\$3,981	\$10,222	\$4,691	\$4,808	\$12,034	\$79,875	\$7,941	87,816
CASH OUTFLOWS															
CURRENT															
PS	\$1,436	\$1,971	\$2,659	\$3,333	\$2,712	\$2,353	\$3,209	\$2,752	\$2,783	\$3,338	\$2,687	\$4,267	\$33,500	\$2,892	\$36,392
OTPS	1,188	1,643	1,754	1,758	1,855	1,984	1,751	1,233	1,973	1,650	1,682	2,842	21,313	3,267	24,580
DEBT SERVICE	57	144	14	21	96	118	216	273	256	317	134	3,289	4,935	-	4,935
SUBTOTAL	2,681	3,758	4,427	5,112	4,663	4,455	5,176	4,258	5,012	5,305	4,503	10,398	59,748	6,159	65,907
PRIOR															
PS	1,784	780	33	9	30	4	41	7	6	16	50	40	2,800	915	3,715
OTPS	958	395	3	13	166	65	113	580	66	61	140	90	2,650	2,278	4,928
OTHER TAXES	82	179	-	-	-	-	-	-	-	-	-	-	261	-	261
DISALLOWANCE RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	-	1,093	1,093
SUBTOTAL	2,824	1,354	36	22	196	69	154	587	72	77	190	130	5,711	4,286	9,997
CAPITAL															
CITY DISBURSEMENTS	905	764	1,061	537	848	629	780	449	807	556	679	509	8,524	-	8,524
FEDERAL AND STATE	114	52	56	29	194	38	240	47	179	81	212	101	1,343	-	1,343
OTHER															
SENIOR COLLEGES	215	57	134	126	128	95	231	117	119	181	272	182	1,857	-	1,857
OTHER USES	-	-	62	-	191	-	-	840	-	-	-	-	1,093	-	1,093
TOTAL OUTFLOWS	\$6,739	\$5,985	\$5,776	\$5,826	\$6,220	\$5,286	\$6,581	\$6,298	\$6,189	\$6,200	\$5,856	\$11,320	\$78,276	\$10,445	\$88,721
NET CASH FLOW	(\$47)	(\$1,073)	\$1,811	(\$1,398)	(\$2,427)	\$4,619	\$241	(\$2,317)	\$4,033	(\$1,509)	(\$1,048)	\$714	\$1,599	(\$2,504)	(\$905)
BEGINNING BALANCE	\$4,659	\$4,612	\$3,539	\$5,350	\$3,952	\$1,525	\$6,144	\$6,385	\$4,068	\$8,101	\$6,592	\$5,544	\$4,659		
ENDING BALANCE	\$4,612	\$3,539	\$5,350	\$3,952	\$1,525	\$6,144	\$6,385	\$4,068	\$8,101	\$6,592	\$5,544	\$6,258	\$6,258		

NEW YORK CITY
MONTH - BY - MONTH CASH FLOW FORECAST
REPORT NO. 6A
(MILLIONS OF DOLLARS)

MONTH: MARCH
FISCAL YEAR 2011

	ACTUAL									FORECAST			12 Months	ADJUST-	
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN		MENTS	TOTAL
SENIOR COLLEGES															
SENIOR COLLEGES COST (OUTFLOW)	(215)	(57)	(134)	(126)	(128)	(95)	(231)	(117)	(119)	(181)	(272)	(182)	(1,857)	-	(1,857)
SENIOR COLLEGES INFLOW - CURRENT	-	-	-	-	-	-	-	-	555	185	5	545	1,290	567	1,857
SENIOR COLLEGES INFLOW - PRIOR	288	2	1	143	256	1	-	1	162	-	-	-	854	(854)	-
NET SENIOR COLLEGES	73	(55)	(133)	17	128	(94)	(231)	(116)	598	4	(267)	363	287	(287)	-
CAPITAL															
<u>CURRENT CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	200	1,050	300	675	1,070	1,525	913	1,275	241	400	-	784	8,433	-	8,433
(INC)/DEC RESTRICTED CASH	200	72	254	77	(666)	(1,056)	(217)	(469)	223	243	934	193	(212)	(1,506)	(1,718)
SUBTOTAL	400	1,122	554	752	404	469	696	806	464	643	934	977	8,221	(1,506)	6,715
<u>PRIOR CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	-	150	250	250	-	-	-	-	-	-	-	-	650	-	650
(INC)/DEC RESTRICTED CASH	205	(21)	225	15	150	150	100	100	135	65	35	-	1,159	-	1,159
SUBTOTAL	205	129	475	265	150	150	100	100	135	65	35	-	1,809	-	1,809
TOTAL CITY CAPITAL TRANSFERS	605	1,251	1,029	1,017	554	619	796	906	599	708	969	977	10,030	(1,506)	8,524
<u>FEDERAL AND STATE - INFLOWS:</u>															
CURRENT	3	4	68	19	98	10	42	134	27	29	50	577	1,061	282	1,343
PRIOR	-	-	-	-	268	-	-	14	-	-	-	-	282	(282)	-
TOTAL FEDERAL AND STATE INFLOWS	3	4	68	19	366	10	42	148	27	29	50	577	1,343	-	1,343
<u>CAPITAL OUTFLOWS:</u>															
CITY DISBURSEMENTS	(905)	(764)	(1,061)	(537)	(848)	(629)	(780)	(449)	(807)	(556)	(679)	(509)	(8,524)	-	(8,524)
FEDERAL AND STATE	(114)	(52)	(56)	(29)	(194)	(38)	(240)	(47)	(179)	(81)	(212)	(101)	(1,343)	-	(1,343)
TOTAL OUTFLOWS	(1,019)	(816)	(1,117)	(566)	(1,042)	(667)	(1,020)	(496)	(986)	(637)	(891)	(610)	(9,867)	-	(9,867)
<u>NET CAPITAL:</u>															
NET CITY CAPITAL	(300)	487	(32)	480	(294)	(10)	16	457	(208)	152	290	468	1,506	(1,506)	-
NET NON-CITY CAPITAL	(111)	(48)	12	(10)	172	(28)	(198)	101	(152)	(52)	(162)	476	-	-	-
NET TOTAL CAPITAL	(411)	439	(20)	470	(122)	(38)	(182)	558	(360)	100	128	944	1,506	(1,506)	-

NOTES TO REPORT #6/6A

1. **Beginning Balance**

The July 2010 beginning balance is consistent with the FY 2010 audited Comprehensive Annual Financial Report (CAFR).

2. **Ending Balances**

The actual monthly ending cash balances are subject to restatement after the completion of bank reconciliations. The June 2011 ending balance includes deferred revenue from FY 2012 prepaid Real Estate Taxes.

3. **Long Term Borrowings**

Long Term Borrowings are comprised of proceeds of City general obligation bonds, NYC TFA debt and Water Authority revenue bonds, exclusive of bonds issued for refunding.

4. **Restricted Cash Bond Proceeds**

In certain instances a portion of the proceeds from each bond issuance is for capital expenditures to be made in succeeding months. Restricted cash bond proceeds accounts have been set up for this purpose. Balances in these accounts are excluded from the cash balances that are reflected in Report #6.