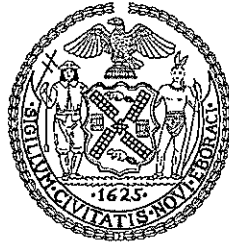


Financial Plan Statements
for
New York City
October 2009



The City of New York



This report contains Financial Plan Statements for October 2009 which have been prepared in accordance with the New York State Financial Emergency Act for the City of New York.

The fiscal year plan reflects the Financial Plan as submitted to the Financial Control Board on November 16, 2009.

The forecast of revenues and expenditures reflects actual revenue and expenditure performance to date and expected activity for the remainder of the fiscal year.

The actuals and projections in the forecasts are based on the best information available to the City at the date of preparation and certain assumptions and methods of estimation, which are considered reasonable and appropriate for purposes of the report as of such date.

THE CITY OF NEW YORK
BY


Stuart Klein
First Deputy Director
Office of Management and Budget



Marcia J. Van Wagner
Deputy Comptroller Budget
Office of the Comptroller

TABLE OF CONTENTS

<u>REPORT NO.</u>	<u>INTRODUCTION</u>	<u>PAGE</u>
	Notes to Financial Plan Statements	1-4
1	Financial Plan Summary	5
1A	Month-By-Month Revenue and Obligation Forecast	6
2	Analysis of Change in Fiscal Year Plan	7-10
3	Revenue Activity By Major Area	11-12
4	Obligation Analysis	13
4A/4B	Personnel Control Reports	14-21
5	Capital Commitments	22-31
5A	Capital Cash Flow	32-33
6/6A	Month-By-Month Cash Flow Forecast	34-36
7	Covered Organizations	37-46

NOTES TO FINANCIAL PLAN STATEMENTS

I. Summary of Significant Financial Policies, Procedures and Development

A. Financial Plan Statements

The City's Financial Plan Statements represent the accounts of the General Fund and certain transactions of the Capital Projects Fund of the City, including the Department of Education and the City University of New York. They do not include the total operations of the New York City Health and Hospitals Corporation (HHC) but do include the City's subsidy to HHC and the City's share of payments to the Corporation in connection with its role as a Medicaid provider.

Covered Organization Financial Plans are issued quarterly.

The City's Financial Plan Statements incorporate the policies and procedures discussed in Note B. Such data are unaudited. Prior years' balances for cash, accounts receivable and outstanding obligations are consistent with the FY 2009 audited Comprehensive Annual Financial Report (CAFR). Whenever appropriate, adjustments have been incorporated into the Financial Plan Statements as they relate to the audit of the Comprehensive Annual Financial Report (CAFR). The Financial Plan on which these statements are based was prepared in accordance with generally accepted accounting principles, except for the application of GASB 49.

B. Basis of Accounting

1. Revenues

Real estate tax revenue is recorded on the modified accrual basis of accounting, which recognizes as revenue payments received against the current year levy and late payments on prior year levies received within the first two months of the following year, reduced by estimated tax refunds to be made in the period.

Taxpayer assessed revenues (e.g. sales, income and certain excise taxes), net of estimated refunds, are recorded on a modified accrual basis. Revenues are susceptible to accrual if they are both measurable and available to be used to finance governmental operations during the year.

All other revenues are recorded when received in cash.

Federal categorical grants except as noted below are recognized as revenue as claims are filed during the year and adjusted at year-end for revenues earned but not claimed. State grants are recognized in the same manner. Advances provided to the City in anticipation of filing of claims by the City for federal and state reimbursement of Medicaid and welfare expenditures are recognized as revenue when received.

2. Expenditures

(a) Debt Service

Debt Service expenditures on general obligation issuances are recorded when City real estate tax collections are deposited into the Debt Service fund in advance of the actual debt service payment.

(b) Fixed Assets

Acquisitions of fixed assets having a useful life of more than five years and costing more than \$15,000 are treated as capital expenditures. All other acquisitions of fixed assets are treated as operating expenditures.

(c) Encumbrances

Encumbrances entered during FY 2010 for OTPS purchase orders and contracts expected to be received by June 30, 2010 are treated as expenditures.

(d) Self-Insurance

The City is self-insured with respect to most risks, including, but not limited to, property damage, personal injury, and workers' compensation. Settlements reached or judgments entered during FY 2010 are recorded when paid and adjusted at year-end for any additional unpaid settlements reached or judgments entered during FY 2010.

(e) Vacation and Sick Leave

The annual costs of actual vacation and sick leave are recorded on a cash basis.

(f) Materials and Supplies

Purchases of materials and supplies are treated as expenditures when encumbered. No inventory accounts are included in the financial statements.

(g) General Reserve

The General Reserve provides for shortfalls in revenues and overruns in uncontrollable expenditures.

3. Capital Commitments

The basis of reporting actual capital commitments, as well as sources and uses of capital expenditures, has been changed. Commitments and expenditures are now reported based upon the accounting period of the transaction. In prior Financial Plan Statements, these actuals were based upon dates transactions were entered in the City's Financial Management System.

C. Pension Plans

The City sponsors or participates in pension plans covering all eligible employees. Most plans require employee contributions. The plans provide pension benefits based on salary and length of service. In the event of disability during employment, participants may receive retirement allowances based on satisfaction of certain service requirements and other plan provisions. The City's main pension systems are the five major actuarial systems - The New York City Employees' Retirement System, The Teachers Retirement System, The Board of Education Retirement System, The New York City Police Pension Fund, Article 2 and the Fire Department Pension Fund Article 1-B.

The City also contributed to three other actuarial systems and sponsors six non-actuarial retirements' systems for certain employees, retirees and beneficiaries not covered by any of the five major actuarial systems. In addition, the City provides cost-of-living and other supplemental pensions to certain retirees of the actuarial and non-actuarial systems.

Report No. 1

Financial Plan Summary

**NEW YORK CITY
FINANCIAL PLAN SUMMARY
REPORT NO. 1
(MILLIONS OF DOLLARS)**

**MONTH: OCTOBER
FISCAL YEAR 2010**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2010		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
REVENUES:									
TAXES									
GENERAL PROPERTY TAXES	\$ 702	\$ 702	\$ -	\$ 8,769	\$ 8,769	\$ -	\$ 16,064	\$ 16,064	\$ -
OTHER TAXES*	1,347	1,347	-	5,635	5,635	-	19,929	19,929	-
MISCELLANEOUS REVENUES	343	343	-	1,478	1,478	-	6,135	6,135	-
UNRESTRICTED INTGOVT. AID	-	-	-	-	-	-	340	340	-
LESS: INTRA-CITY REVENUES	(71)	(71)	-	(93)	(93)	-	(1,768)	(1,768)	-
DISALLOWANCES	-	-	-	-	-	-	(15)	(15)	-
SUBTOTAL	2,321	2,321	-	15,789	15,789	-	40,685	40,685	-
OTHER CATEGORICAL GRANTS	48	48	-	343	343	-	1,163	1,163	-
CAPITAL INTER-FUND TRANSFERS	35	35	-	74	74	-	486	486	-
FEDERAL GRANTS	430	430	-	620	620	-	7,256	7,256	-
STATE GRANTS	200	200	-	1,861	1,861	-	11,518	11,518	-
TOTAL REVENUES	\$ 3,034	\$ 3,034	\$ -	\$ 18,687	\$ 18,687	\$ -	\$ 61,108	\$ 61,108	\$ -
EXPENDITURES:									
PS	\$ 2,932	\$ 2,702	\$ (230)	\$ 9,532	\$ 9,302	\$ (230)	\$ 36,409	\$ 36,409	\$ -
OTPS	1,204	1,777	573	12,832	12,503	(329)	25,066	25,066	-
DEBT SERVICE*	(7)	18	25	15	68	53	1,101	1,101	-
GENERAL RESERVE	-	-	-	-	-	-	300	300	-
SUBTOTAL	4,129	4,497	368	22,379	21,873	(506)	62,876	62,876	-
LESS: INTRA-CITY EXPENSES	(71)	(71)	-	(93)	(93)	-	(1,768)	(1,768)	-
TOTAL EXPENDITURES	\$ 4,058	\$ 4,426	\$ 368	\$ 22,286	\$ 21,780	\$ (506)	\$ 61,108	\$ 61,108	\$ -
SURPLUS/(DEFICIT)	\$ (1,024)	\$ (1,392)	\$ 368	\$ (3,599)	\$ (3,093)	\$ (506)	\$ -	\$ -	\$ -

* See explanation of TFA Retention on page 8.

Report No. 1A

Month-by-Month Revenue and Obligation Forecast

NEW YORK CITY
MONTH - BY - MONTH - REVENUE AND OBLIGATION FORECAST
REPORT NO. 1A
(MILLIONS OF DOLLARS)

MONTH: OCTOBER
FISCAL YEAR 2010

	ACTUAL				FORECAST									
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	POST JUNE	TOTAL YEAR
REVENUES:														
TAXES														
GENERAL PROPERTY TAXES	\$ 7,382	\$ 37	\$ 648	\$ 702	\$ 59	\$ 2,774	\$ 3,249	\$ 47	\$ 806	\$ 333	\$ 7	\$ 43	\$ (23)	\$ 16,064
OTHER TAXES	922	863	2,503	1,347	911	2,364	2,270	881	2,188	1,581	877	2,904	318	19,929
MISCELLANEOUS REVENUES	588	292	255	343	388	359	510	359	619	501	678	845	398	6,135
UNRESTRICTED INTGOVT. AID	-	-	-	-	-	-	-	-	-	-	-	340	-	340
LESS: INTRA-CITY REVENUES	(3)	(2)	(17)	(71)	(99)	(121)	(214)	(106)	(158)	(185)	(121)	(273)	(398)	(1,768)
DISALLOWANCES	-	-	-	-	-	-	-	-	-	-	(5)	(10)	-	(15)
SUBTOTAL	8,889	1,190	3,389	2,321	1,259	5,376	5,815	1,181	3,455	2,230	1,436	3,849	295	40,685
OTHER CATEGORICAL GRANTS	108	163	24	48	90	43	111	47	49	65	92	323	-	1,163
CAPITAL INTER-FUND TRANSFERS	-	11	28	35	34	26	97	32	26	24	23	27	123	486
FEDERAL GRANTS	9	22	159	430	394	400	697	739	628	625	659	639	1,855	7,256
STATE GRANTS	65	25	1,571	200	1,115	945	928	1,070	1,079	1,157	1,012	989	1,362	11,518
TOTAL REVENUES:	\$ 9,071	\$ 1,411	\$ 5,171	\$ 3,034	\$ 2,892	\$ 6,790	\$ 7,648	\$ 3,069	\$ 5,237	\$ 4,101	\$ 3,222	\$ 5,827	\$ 3,635	\$ 61,108
EXPENDITURES:														
PS	\$ 1,462	\$ 2,468	\$ 2,670	\$ 2,932	\$ 2,732	\$ 2,780	\$ 3,423	\$ 2,708	\$ 2,706	\$ 2,744	\$ 2,714	\$ 4,906	\$ 2,164	\$ 36,409
OTPS	7,721	2,230	1,677	1,204	1,402	1,392	1,717	1,543	1,393	1,216	1,255	1,549	767	25,066
DEBT SERVICE	45	(12)	(11)	(7)	16	18	34	37	16	180	82	703	-	1,101
GENERAL RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	300	300
SUBTOTAL	9,228	4,686	4,336	4,129	4,150	4,190	5,174	4,288	4,115	4,140	4,051	7,158	3,231	62,876
LESS: INTRA-CITY EXPENSES	(3)	(2)	(17)	(71)	(99)	(121)	(214)	(106)	(158)	(185)	(121)	(273)	(398)	(1,768)
TOTAL EXPENDITURES	\$ 9,225	\$ 4,684	\$ 4,319	\$ 4,058	\$ 4,051	\$ 4,069	\$ 4,960	\$ 4,182	\$ 3,957	\$ 3,955	\$ 3,930	\$ 6,885	\$ 2,833	\$ 61,108
SURPLUS/(DEFICIT)	\$ (154)	\$ (3,273)	\$ 852	\$ (1,024)	\$ (1,159)	\$ 2,721	\$ 2,688	\$ (1,113)	\$ 1,280	\$ 146	\$ (708)	\$ (1,058)	\$ 802	\$ -

Report No. 2

Analysis of Change in Fiscal Year Plan

**NEW YORK CITY
ANALYSIS OF CHANGE IN FISCAL YEAR FORECAST
REPORT NO. 2
(MILLIONS OF DOLLARS)**

**MONTH: OCTOBER
FISCAL YEAR 2010**

DESCRIPTION	INITIAL PLAN 6/23/2009	CHANGES FROM INITIAL PLAN	CHANGES FROM PREVIOUS FORECAST
REVENUES:			
TAXES			
GENERAL PROPERTY TAXES	\$ 16,072	\$ (8)	\$ (8)
OTHER TAXES	19,128	801	801
MISCELLANEOUS REVENUES	5,973	162	162
UNRESTRICTED INTERGOVERNMENTAL AID	340	-	-
LESS:INTRA-CITY REVENUES	(1,669)	(99)	(99)
DISALLOWANCES	(15)	-	-
SUBTOTAL	39,829	856	856
OTHER CATEGORICAL GRANTS	1,053	110	110
CAPITAL INTERFUND TRANSFERS	486	-	-
FEDERAL GRANTS	6,600	656	656
STATE GRANTS	11,512	6	6
TOTAL REVENUES	\$ 59,480	\$ 1,628	\$ 1,628
EXPENDITURES:			
PERSONAL SERVICE	\$ 35,949	\$ 460	\$ 460
OTHER THAN PERSONAL SERVICE	24,423	643	643
DEBT SERVICE	477	624	624
GENERAL RESERVE	300	-	-
SUBTOTAL	61,149	1,727	1,727
LESS:INTRA-CITY EXPENDITURES	(1,669)	(99)	(99)
TOTAL EXPENDITURES	\$ 59,480	\$ 1,628	\$ 1,628

NOTES TO REPORT #2

REVENUE:

Other Taxes:

The forecast for the other taxes increases by \$801 million from previous level to reflect the latest economic outlook and latest trends in collections. The increases in forecast took place in personal income tax (\$292 million), banking corporation tax (\$150 million), unincorporated business tax (\$100 million), commercial rent tax (\$20 million), hotel tax (\$20 million), all other taxes (\$41 million), STAR aid payment (\$8 million) and tax audit revenue (\$150 million), offset by declines in real property transfer tax (\$47 million), mortgage recording tax (\$27 million) and utility tax (\$16 million).

The increase of other taxes after restatement of TFA Retention is \$691 million (please see NYC Transitional Finance Authority explanation on the next page).

Miscellaneous Revenue:

The increase of \$162 million is due to increases in Charges for Services of \$63 million in CUNY Tuition and Fees and Intra-City Revenues of \$99 million.

Federal and State Grants:

The increases of \$656 million for Federal Grants and \$6 million for State Grants is due to funding adjustments reflected in the expenditure forecast and categorical modifications processed from July 1, 2009 to October 31, 2009.

Other Categorical Grants:

The increase of \$110 million for other Categorical Grants is due to funding adjustments reflected in the expenditure forecast and categorical modifications processed from July 1, 2009 to October 31, 2009.

EXPENDITURES:

The decrease of \$1,628 million in total expenditures from the previous forecast is summarized in the following table on the next page.

NEW YORK CITY TRANSITIONAL FINANCE AUTHORITY:

In July 2009, the State amended the New York City Transitional Finance Authority Act to expand the borrowing capacity of the TFA by providing that it may have outstanding \$13.5 billion of Future Tax Secured Bonds (excluding such bonds issued for costs relating to the terrorist attack on the World Trade Center (“Recovery Bonds”)) and may issue additional Future Tax Secured Bonds provided that the amount of such additional bonds, together with the amount of indebtedness contracted by the City, does not exceed the debt limit of the City. As a result of this change, the City currently expects to finance through the TFA approximately half of the capital program that was previously expected to be financed with general obligation debt. Consequently, in order to more accurately reflect the debt service costs of the capital program, the Financial Plan includes as a debt service expense the funding requirements associated with TFA Future Tax Secured Bonds. In addition, the Financial Plan includes as revenues the personal income tax revenues retained by the TFA. This presentation change has no net impact on the City’s Financial Plan with both personal income tax revenues and debt service expenses increasing by \$110 million in fiscal year 2010.

Total Funds in Millions

Agency	6/23/09 Forecast	New Needs/ PRS	Collective Bargaining	Budget Stabilization Account/ Prepayments	All Other Adjustments	PEGs	11/16/09 Plan
Uniform Forces							
Police Department	\$ 4,131	\$ 120	\$ -	\$ -	\$ 116	-	\$ 4,367
Fire Department	1,606	-	-	-	113	-	1,719
Department of Correction	1,002	7	-	-	7	-	1,016
Department of Sanitation	1,301	-	-	-	-	-	1,301
Health and Welfare							
Child Services	2,669	-	-	-	2	-	2,671
Social Services	7,887	-	-	-	125	-	8,012
Homeless Services	665	-	-	-	54	-	719
Health & Mental Hygiene	1,642	-	-	-	77	-	1,719
Other Mayoral							
HPD	582	-	-	-	42	-	624
Environmental Protection	1,024	-	-	-	18	-	1,042
Finance	225	-	-	-	-	-	225
Transportation	733	-	-	-	95	-	828
Parks	294	-	-	-	9	-	303
Dept. of Administrative Services	377	-	-	-	6	-	383
All Other Mayoral	2,273	-	-	-	111	-	2,384
Education							
Department of Education	18,372	-	-	-	70	-	18,442
CUNY	684	-	-	-	65	-	749
Covered Organization							
HHC	11	-	-	-	-	-	11
Other							
Pensions	6,575	-	-	-	-	-	6,575
Miscellaneous	6,151	-	-	-	(41)	-	6,110
Debt Service	477	-	-	539	85	-	1,101
General Reserve	300	-	-	-	-	-	300
Energy Adjustment	-	-	-	-	-	-	-
Prior Payable Adjustment	-	-	-	-	-	-	-
Elected Officials							
Mayoralty	82	-	-	-	2	-	84
All Other Elected	417	-	-	-	6	-	423
Total	\$ 59,480	\$ 127	\$ -	\$ 539	\$ 962	\$ -	\$ 61,108

Report No. 3

Revenue Activity by Major Area

**NEW YORK CITY
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3
(MILLIONS OF DOLLARS)**

**MONTH: OCTOBER
FISCAL YEAR 2010**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2010		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
TAXES:									
GENERAL PROPERTY TAXES	\$ 702	\$ 702	\$ -	\$ 8,769	\$ 8,769	\$ -	\$ 16,064	\$ 16,064	\$ -
PERSONAL INCOME TAX	592	592	-	2,049	2,049	-	6,278	6,278	-
GENERAL CORPORATION TAX	(23)	(23)	-	412	412	-	2,156	2,156	-
BANKING CORPORATION TAX	41	41	-	178	178	-	674	674	-
UNINCORPORATED BUSINESS TAX	32	32	-	334	334	-	1,534	1,534	-
GENERAL SALES TAX	397	397	-	1,462	1,462	-	4,789	4,789	-
REAL PROPERTY TRANSFER TAX	44	44	-	189	189	-	566	566	-
MORTGAGE RECORDING TAX	29	29	-	133	133	-	448	448	-
COMMERCIAL RENT TAX	7	7	-	147	147	-	563	563	-
UTILITY TAX	27	27	-	85	85	-	376	376	-
OTHER TAXES	27	27	-	199	199	-	889	889	-
TAX AUDIT REVENUES *	174	174	-	226	226	-	746	746	-
TAX PROGRAM	-	-	-	-	-	-	-	-	-
STAR PROGRAM	-	-	-	221	221	-	910	910	-
TOTAL TAXES	\$ 2,049	\$ 2,049	\$ -	\$ 14,404	\$ 14,404	\$ -	\$ 35,993	\$ 35,993	\$ -
MISCELLANEOUS REVENUES:									
LICENSES/FRANCHISES/ETC.	21	21	-	130	130	-	479	479	-
INTEREST INCOME	2	2	-	5	5	-	30	30	-
CHARGES FOR SERVICES	48	48	-	168	168	-	737	737	-
WATER AND SEWER CHARGES	69	69	-	632	632	-	1,369	1,369	-
RENTAL INCOME	36	36	-	85	85	-	220	220	-
FINES AND FORFEITURES	68	68	-	272	272	-	899	899	-
MISCELLANEOUS	28	28	-	93	93	-	633	633	-
INTRA-CITY REVENUE	71	71	-	93	93	-	1,768	1,768	-
TOTAL MISCELLANEOUS	\$ 343	\$ 343	\$ -	\$ 1,478	\$ 1,478	\$ -	\$ 6,135	\$ 6,135	\$ -

* The financial plan as submitted on November 16, 2009 reflects \$746 million in Tax Audit Revenues, anticipated to be collected as follows:

	CURRENT MONTH ACTUAL	YEAR-TO-DATE ACTUAL	FISCAL YEAR 2010 PLAN
SALES TAX	\$ 1	\$ 3	\$ 20
PERSONAL INCOME TAX	-	2	25
GENERAL CORPORATION TAX	73	114	411
COMMERCIAL RENT TAX	1	2	15
FINANCIAL CORPORATION TAX	94	95	201
UTILITY TAX	1	2	8
UNINCORPORATED BUSINESS TAX	3	7	49
REAL PROPERTY TRANSFER	-	-	7
OTHER TAXES	1	1	10
TOTAL	\$ 174	\$ 226	\$ 746

**REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3 (CONT.)
(MILLIONS OF DOLLARS)**

**MONTH: OCTOBER
FISCAL YEAR 2010**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2010		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNRESTRICTED INTGOVT. AID									
FEDERAL REVENUE SHARING	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
NY STATE REVENUE SHARING	-	-	-	-	-	-	327	327	-
OTHER INTGOVT. AID	-	-	-	-	-	-	13	13	-
TOTAL UNRESTRICTED INTG.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 340	\$ 340	\$ -
OTHER CATEGORICAL GRANTS	48	48	-	343	343	-	1,163	1,163	-
CAPITAL INTER-FUND TRANSFERS	35	35	-	74	74	-	486	486	-
LESS: INTRA-CITY REVENUES	(71)	(71)	-	(93)	(93)	-	(1,768)	(1,768)	-
LESS: DISALLOWANCES	-	-	-	-	-	-	(15)	(15)	-
FEDERAL GRANTS									
COMMUNITY DEVELOPMENT	16	16	-	54	54	-	307	307	-
WELFARE	326	326	-	430	430	-	2,715	2,715	-
EDUCATION	9	9	-	27	27	-	2,773	2,773	-
OTHER	79	79	-	109	109	-	1,461	1,461	-
TOTAL FEDERAL GRANTS	\$ 430	\$ 430	\$ -	\$ 620	\$ 620	\$ -	\$ 7,256	\$ 7,256	\$ -
STATE GRANTS									
WELFARE	152	152	-	234	234	-	1,978	1,978	-
EDUCATION	31	31	-	1,587	1,587	-	8,186	8,186	-
HIGHER EDUCATION	-	-	-	-	-	-	198	198	-
HEALTH AND MENTAL HYGIENE	1	1	-	1	1	-	490	490	-
OTHER	16	16	-	39	39	-	666	666	-
TOTAL STATE GRANTS	\$ 200	\$ 200	\$ -	\$ 1,861	\$ 1,861	\$ -	\$ 11,518	\$ 11,518	\$ -
TOTAL REVENUES	\$ 3,034	\$ 3,034	\$ -	\$ 18,687	\$ 18,687	\$ -	\$ 61,108	\$ 61,108	\$ -

Report No. 4

Obligation Analysis

**NEW YORK CITY
OBLIGATION ANALYSIS
REPORT NO. 4
(MILLIONS OF DOLLARS)**

**MONTH: OCTOBER
FISCAL YEAR 2010**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2010		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES									
POLICE DEPT.	\$ 351	\$ 332	\$ (19)	\$ 1,480	\$ 1,399	\$ (81)	\$ 4,596	\$ 4,596	\$ -
FIRE DEPT.	117	122	5	547	545	(2)	1,730	1,730	-
DEPT. OF CORRECTION	74	74	-	329	320	(9)	1,016	1,016	-
SANITATION DEPT.	89	72	(17)	625	513	(112)	1,304	1,304	-
HEALTH & WELFARE									
ADMIN. FOR CHILD SERVICES	105	111	6	1,597	1,446	(151)	2,687	2,687	-
DEPT. OF SOCIAL SERVICES	536	736	200	3,192	3,026	(166)	8,017	8,017	-
DEPT. OF HOMELESS SERVICES	52	31	(21)	608	533	(75)	854	854	-
HEALTH & MENTAL HYGIENE	40	394	354	1,139	1,151	12	1,726	1,726	-
OTHER AGENCIES									
HOUSING PRESERVATION & DEV.	83	54	(29)	328	219	(109)	626	626	-
ENVIRONMENTAL PROTECTION	59	59	-	395	427	32	1,043	1,043	-
TRANSPORTATION DEPT.	50	46	(4)	365	326	(39)	829	829	-
PARKS & RECREATION DEPT.	26	25	(1)	151	140	(11)	359	359	-
DEPT. OF CITYWIDE ADMIN. SERVICES	20	26	6	995	903	(92)	1,190	1,190	-
ALL OTHER	261	243	(18)	1,594	1,673	79	3,281	3,281	-
COVERED ORGANIZATIONS									
DEPT. OF EDUCATION	1,250	1,274	24	5,075	5,422	347	18,455	18,455	-
HIGHER EDUCATION	7	41	34	164	196	32	779	779	-
HEALTH & HOSPITALS CORP.	14	13	(1)	16	18	2	110	110	-
OTHER									
MISCELLANEOUS BUDGET:									
FRINGE BENEFITS	373	173	(200)	1,059	797	(262)	3,615	3,615	-
TRANSIT SUBSIDIES	1	1	-	41	177	136	349	349	-
JUDGMENTS & CLAIMS	40	35	(5)	219	97	(122)	663	663	-
OTHER	35	64	29	235	266	31	1,546	1,546	-
PENSION CONTRIBUTIONS	553	553	-	2,210	2,211	1	6,700	6,700	-
DEBT SERVICE	(7)	18	25	15	68	53	1,101	1,101	-
PRIOR YEAR ADJUSTMENTS	-	-	-	-	-	-	-	-	-
SUB-TOTAL	\$ 4,129	\$ 4,497	\$ 368	\$ 22,379	\$ 21,873	\$ (506)	\$ 62,576	\$ 62,576	\$ -
PLUS GENERAL RESERVE	-	-	-	-	-	-	300	300	-
LESS INTRA-CITY EXPENSES	(71)	(71)	-	(93)	(93)	-	(1,768)	(1,768)	-
TOTAL EXPENDITURES	\$ 4,058	\$ 4,426	\$ 368	\$ 22,286	\$ 21,780	\$ (506)	\$ 61,108	\$ 61,108	\$ -

Report No. 4A & 4B

Personnel Control Reports

**NEW YORK CITY
PERSONNEL CONTROL REPORT
REPORT NO. 4A
(MILLIONS OF DOLLARS)**

**MONTH: OCTOBER
FISCAL YEAR 2010**

	FT & FTE POSITIONS		PERSONAL SERVICE COSTS						FT & FTE POSITIONS		PERSONAL SERVICE COSTS			
	CURRENT MONTH		CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2010 PROJECTIONS		FISCAL YEAR 2010 PROJECTIONS			
	ACTUAL	PLAN *	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES														
POLICE DEPT.	52,003	51,990	\$ 337	\$ 306	\$ (31)	\$ 1,308	\$ 1,263	\$ (45)	49,218	49,218	-	\$ 4,224	\$ 4,224	\$ -
FIRE DEPT.	16,265	16,398	112	113	1	459	462	3	15,998	15,998	-	1,540	1,540	-
DEPT. OF CORRECTION	10,607	10,586	66	66	-	270	266	(4)	10,510	10,510	-	884	884	-
SANITATION DEPT.	9,580	9,685	65	65	-	236	242	6	9,585	9,585	-	777	777	-
HEALTH & WELFARE														
ADMIN. FOR CHILD SERVICES	6,231	6,322	30	29	(1)	128	121	(7)	6,262	6,262	-	379	379	-
DEPT. OF SOCIAL SERVICES	14,081	14,585	56	55	(1)	230	230	-	14,596	14,596	-	723	723	-
DEPT. OF HOMELESS SERVICES	1,964	1,885	10	9	(1)	39	36	(3)	1,886	1,886	-	115	115	-
HEALTH & MENTAL HYGIENE	6,489	6,915	32	33	1	124	128	4	7,017	7,017	-	427	427	-
OTHER AGENCIES														
ENVIRONMENTAL PROTECTION	6,009	6,120	34	33	(1)	133	133	-	6,315	6,315	-	418	418	-
TRANSPORTATION DEPT.	4,887	4,422	31	26	(5)	122	103	(19)	5,034	5,034	-	378	378	-
PARKS & RECREATION DEPT.	6,816	6,585	22	21	(1)	109	98	(11)	6,809	6,809	-	279	279	-
CITYWIDE ADMIN. SERVICES	2,474	2,405	13	12	(1)	50	48	(2)	2,505	2,505	-	152	152	-
ALL OTHER	31,040	29,495	168	143	(25)	624	613	(11)	29,800	29,800	-	1,999	1,999	-
COVERED ORGANIZATIONS														
DEPT. OF EDUCATION	136,749	139,899	1,030	1,017	(13)	2,431	2,503	72	138,822	138,822	-	13,053	13,053	-
OTHER														
MISCELLANEOUS BUDGET	-	-	373	221	(152)	1,059	845	(214)	-	-	-	4,361	4,361	-
PENSION CONTRIBUTIONS	-	-	553	553	-	2,210	2,211	1	-	-	-	6,700	6,700	-
TOTAL	305,195	307,292	\$ 2,932	\$ 2,702	\$ (230)	\$ 9,532	\$ 9,302	\$ (230)	304,357	304,357	-	\$ 36,409	\$ 36,409	\$ -

* Includes planned full-time headcount and estimates of planned FTEs.

**NEW YORK CITY
PERSONNEL CONTROL REPORT
REPORT NO. 4B**

**MONTH: OCTOBER
FISCAL YEAR 2010**

	FULL-TIME POSITIONS CURRENT MONTH			FULL-TIME POSITIONS FISCAL YEAR 2010		
	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES						
POLICE DEPT.	50,468	50,073	(395)	47,491	47,491	-
FIRE DEPT.	16,185	16,339	154	15,929	15,929	-
DEPT. OF CORRECTION	10,559	10,536	(23)	10,457	10,457	-
SANITATION DEPT.	9,489	9,556	67	9,450	9,450	-
HEALTH & WELFARE						
ADMIN. FOR CHILD SERVICES	6,179	6,264	85	6,200	6,200	-
DEPT. OF SOCIAL SERVICES	14,055	14,582	527	14,582	14,582	-
DEPT. OF HOMELESS SERVICES	1,963	1,884	(79)	1,884	1,884	-
HEALTH & MENTAL HYGIENE	5,199	5,194	(5)	5,625	5,625	-
OTHER AGENCIES						
ENVIRONMENTAL PROTECTION	5,836	5,962	126	6,155	6,155	-
TRANSPORTATION DEPT.	4,434	4,154	(280)	4,819	4,819	-
PARKS & RECREATION DEPT.	3,725	3,521	(204)	3,417	3,417	-
CITYWIDE ADMIN. SERVICES	2,144	2,038	(106)	2,132	2,132	-
ALL OTHER	25,964	25,837	(127)	26,059	26,059	-
COVERED ORGANIZATIONS						
DEPT. OF EDUCATION	121,653	122,929	1,276	121,852	121,852	-
TOTAL	277,853	278,869	1,016	276,052	276,052	-

NOTES TO REPORTS NO. 4, 4A AND 4B

The current month and year-to-date plan data in Reports No. 4, 4A & 4B reflect the Financial Plan submitted to the Financial Control Board on June 23, 2009. The fiscal year plan and forecast data in Reports No. 4, 4A & 4B reflect the Financial Plan submitted to the Financial Control Board on November 16, 2009.

There are 305,195 filled positions as of October of which 277,853 are full-time positions and 27,342 are full-time equivalent positions. Of the 305,195 filled positions, 265,902 are estimated to be wholly or partially city funded. For the fiscal year (June 30, 2010) 276,052 of the 304,357 positions are full-time and 260,419 of the 304,357 positions are estimated to be city funded positions.

In some instances prior year charges are reflected in FY 2010 year-to-date expenses. These will be journaled back to prior years at a later date.

Police Department: The \$(81) million year-to-date variance is primarily due to:

- \$(63) million in accelerated encumbrances, including \$(24) million for special expense, \$(7) million for motor vehicle fuel, \$(5) million for data processing equipment, \$(4) million for general equipment, \$(4) million for professional computer services and \$(3) million for other professional services.
- \$27 million in delayed encumbrances, including \$10 million for motor vehicles, \$4 million for general contractual services and \$3 million for heat, light and power.
- \$(45) million in personal services, including \$(55) million in overtime and \$(7) million for backpay that will be journaled back to prior years, offset by \$14 million in full-time normal gross.

Department of Sanitation: The \$(112) million year-to-date variance is primarily due to:

- \$(131) million in accelerated encumbrances, including \$(96) million in municipal waste export, \$(16) million in general contractual services, \$(7) million in motor vehicle fuel and \$(7) million in general supplies and

materials.

- \$13 million in delayed encumbrances, primarily for heat, light and power and automotive supplies and materials.
- \$6 million in personal services.

Administration for Children's Services: The \$(151) million year-to-date variance is primarily due to:

- \$(193) million in accelerated encumbrances, including \$(66) million for day-care of children, \$(40) million for children charitable institutions, \$(26) million for special educational facilities for the institutionalized and foster care, \$(20) million for direct foster care of children, \$(19) million for homemaking services and \$(7) million in child welfare services.
- \$49 million in delayed encumbrances, including \$18 million for general fixed charges, \$6 million for Head Start, \$6 million for subsidized adoption and \$4 million for rental of land, buildings and structures.
- \$(7) million in personal services, primarily for full-time normal gross.

Department of Social Services: The \$(166) million year-to-date variance is primarily due to:

- \$(214) million in accelerated encumbrances, including \$(85) million for aid to dependent children, \$(71) million for medical assistance, \$(11) million for AIDS services, \$(9) million for payments for home relief, \$(9) million for non-grant charges, \$(8) million for employment services, \$(6) million for adult protective services and \$(4) million for homeless family services.
- \$48 million in delayed encumbrances, including \$21 million for home care services, \$8 million for contractual AIDS services, \$4 million for data processing equipment, \$3 million for security services and \$3 million for rentals of land, buildings and structures.

Department of Homeless Services: The \$(75) million year-to-date variance is primarily due to:

- \$(89) million in accelerated encumbrances, primarily for homeless family and individual services.
- \$17 million in delayed encumbrances, primarily for general contractual services.
- \$(3) million in personal services.

Department of Health and Mental Hygiene: The \$12 million year-to-date variance is primarily due to:

- \$65 million in delayed encumbrances, including \$35 million for AIDS services, \$6 million for other professional services, \$5 million for hospitals contracts and \$4 million for general supplies and materials.
- \$(57) million in accelerated encumbrances, \$(25) million for general contractual services, \$(6) million general social services, \$(6) million for HHC mental health services and \$(3) million for medical, surgical and lab supplies.
- \$4 million in personal services.

Department of Housing Preservation and Development: The \$(109) million year-to-date variance is primarily due to:

- \$(116) million in accelerated encumbrances, including \$(59) million for Federal Section 8 Rent Subsidy, \$(44) million for general contractual services and \$(6) million for general maintenance and repair.
- \$8 million in delayed encumbrances, primarily for other general expense and heat, light and power.
- \$(1) million in personal services.

Department of Environmental Protection: The \$32 million year-to-date variance is primarily due to:

- \$81 million in delayed encumbrances, including \$47 million for other general expenses, \$17 million for heat, light and power, \$7 million for taxes and licenses and \$3 million for security services.
- \$(49) million in accelerated encumbrances, including \$(17) million for general contractual services, \$(13) million for rental of land, buildings and structures, \$(6) million for general supplies and materials and \$(4) million for fuel oil.

Transportation Department: The \$(39) million year-to-date variance is primarily due to:

- \$(67) million in accelerated encumbrances, including \$(27) million for maintenance and operation of infrastructure, \$(7) million for other professional services, \$(6) million for motor vehicle fuel, \$(5) million for rentals of miscellaneous equipment, \$(4) million for engineering and architectural services and \$(3) million for general supplies and materials.
- \$47 million in delayed encumbrances, including \$14 million for general contractual services, \$14 million for heat, light and power, \$10 million for general maintenance and repairs, \$3 million for security services and \$3 million for general property and equipment.
- \$(19) million in personal services, primarily for full-time normal gross and overtime.

Department of Parks and Recreation: The \$(11) million year-to-date variance is primarily due to:

- \$(14) million in accelerated encumbrances, primarily for rentals of miscellaneous equipment and maintenance and repair of motor vehicle equipment.
- \$14 million in delayed encumbrances, primarily for general supplies and materials and heat, light and power.

- \$(11) million in personal services primarily in full-time normal gross and seasonal positions.

Department of Citywide Administrative Services: The \$(92) million year-to-date variance is primarily due to:

- \$(108) million in accelerated encumbrances, including \$(88) million for heat, light and power, \$(5) million for general supplies and materials and \$(3) million for general maintenance and repairs.
- \$18 million in delayed encumbrances, primarily for rentals of land, buildings and structures and general contractual services.
- \$(2) million in personal services.

Department of Education: The \$347 million year-to-date variance is primarily due to:

- \$275 million in OTPS, primarily due to delayed encumbrances of \$466 million for transportation of pupils, \$128 million for general supplies and materials, \$59 million for general contractual services, \$41 million for heat, light and power, \$20 million for books, \$19 million for taxes and licenses, \$12 million for rentals of land, buildings and structures, \$8 million for telecommunications maintenance, \$7 million for payments for surety bonds and insurance premiums, \$5 million for library books, \$4 million for telephone and other communications, \$3 million for printing contracts and \$3 million for non overnight travel expenditures offset by accelerated encumbrances of \$(362) million for payments to contract schools and corporate schools, \$(40) million for direct education services to students, \$(36) million for maintenance and operation of infrastructure, \$(32) million for other professional services, \$(19) million for curriculum and professional development, \$(12) million for fuel oil, \$(8) million for data processing equipment, \$(3) million for training program for city employees and \$(3) million for MTA Payroll Tax.
- \$72 million in personal services, of which \$(60) million represents backpay that will be journaled to prior years and \$132 million represents the current year spending variance.

Higher Education: The \$32 million year-to-date variance is primarily due to:

- \$64 million in delayed encumbrances, primarily for advance to State of New York for CUNY senior college expenditures and heat, light and power.
- \$(37) million in accelerated encumbrances, primarily for general contractual services.
- \$5 million in personal services.

Miscellaneous: The \$(217) million year-to-date variance is primarily due to:

- \$(262) million in fringe benefits reflecting accelerated encumbrances primarily due to prior year charges and an unplanned collectively bargained welfare fund lump sum payment.
- \$136 million in transit subsidies for delayed encumbrances.
- \$(122) million in judgments and claims reflecting accelerated encumbrances primarily due to prior year charges.
- \$31 million in other.

Debt Service: The \$53 million year-to-date variance is primarily due to:

- \$58 million in delayed encumbrances primarily for general interest on bonds, payments to counterparties and blended component units.
- \$(5) million in accelerated encumbrances primarily for costs associated with financing.

Report No. 5

Capital Commitments

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: OCTOBER		FISCAL YEAR: 2010		
	CURRENT MONTH		YEAR-TO-DATE		FISCAL YEAR
	ACTUAL	PLAN	ACTUAL	PLAN	PLAN
TRANSIT	\$0.0 (C)	\$0.0 (C)	\$35.0 (C)	\$0.0 (C)	\$144.0 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	32.2 (N)
HIGHWAY AND STREETS	104.0 (C)	4.8 (C)	113.7 (C)	57.3 (C)	562.7 (C)
	1.9 (N)	0.0 (N)	2.3 (N)	24.0 (N)	188.2 (N)
HIGHWAY BRIDGES	19.2 (C)	0.0 (C)	130.5 (C)	24.6 (C)	411.9 (C)
	0.0 (N)	0.0 (N)	264.9 (N)	0.0 (N)	340.2 (N)
WATERWAY BRIDGES	0.5 (C)	0.0 (C)	9.9 (C)	14.2 (C)	312.4 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	344.8 (N)
WATER SUPPLY	1.7 (C)	0.0 (C)	41.8 (C)	0.0 (C)	162.3 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)
WATER MAINS, SOURCES & TREATMENT	41.9 (C)	0.9 (C)	148.8 (C)	6.8 (C)	781.1 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	(5.9) (N)	(4.3) (N)
SEWERS	5.2 (C)	0.5 (C)	10.5 (C)	7.1 (C)	303.7 (C)
	0.0 (N)	0.0 (N)	(0.0) (N)	0.0 (N)	0.0 (N)
WATER POLLUTION CONTROL	412.7 (C)	4.4 (C)	860.3 (C)	140.9 (C)	1,365.4 (C)
	(0.5) (N)	0.0 (N)	7.5 (N)	0.0 (N)	225.5 (N)
ECONOMIC DEVELOPMENT	20.8 (C)	0.0 (C)	121.0 (C)	9.7 (C)	1,213.6 (C)
	0.0 (N)	0.0 (N)	28.5 (N)	0.0 (N)	252.0 (N)
EDUCATION	10.1 (C)	80.8 (C)	274.4 (C)	425.1 (C)	1,326.7 (C)
	50.0 (N)	75.2 (N)	513.3 (N)	608.5 (N)	1,180.2 (N)

SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: OCTOBER		FISCAL YEAR: 2010		FISCAL YEAR PLAN
	CURRENT MONTH ACTUAL	PLAN	YEAR-TO-DATE ACTUAL	PLAN	
CORRECTION	8.1 (C) 0.0 (N)	0.2 (C) 0.0 (N)	12.0 (C) 0.0 (N)	225.5 (C) 3.8 (N)	295.9 (C) 3.8 (N)
SANITATION	19.7 (C) 0.0 (N)	1.3 (C) 0.0 (N)	219.0 (C) 0.0 (N)	347.0 (C) 0.0 (N)	953.6 (C) 4.7 (N)
POLICE	4.4 (C) 0.0 (N)	16.1 (C) 0.0 (N)	40.3 (C) 0.0 (N)	77.4 (C) 0.0 (N)	1,080.7 (C) 0.0 (N)
FIRE	1.6 (C) 0.0 (N)	0.0 (C) 0.0 (N)	21.8 (C) 2.5 (N)	0.0 (C) 2.3 (N)	209.9 (C) 23.7 (N)
HOUSING	17.8 (C) 14.1 (N)	0.0 (C) 0.0 (N)	18.9 (C) 5.3 (N)	2.6 (C) (0.2) (N)	710.7 (C) 194.0 (N)
HOSPITALS	26.0 (C) 0.0 (N)	14.2 (C) 0.0 (N)	33.0 (C) 0.0 (N)	16.4 (C) 0.0 (N)	251.9 (C) 0.0 (N)
PUBLIC BUILDINGS	7.5 (C) 0.0 (N)	0.3 (C) 0.0 (N)	28.9 (C) 0.0 (N)	13.1 (C) 0.0 (N)	428.3 (C) (0.1) (N)
PARKS	31.4 (C) 3.9 (N)	1.0 (C) 0.0 (N)	142.4 (C) 6.0 (N)	17.5 (C) 0.0 (N)	1,131.7 (C) 189.4 (N)
ALL OTHER DEPARTMENTS	662.4 (C) 10.2 (N)	13.1 (C) 0.3 (N)	923.5 (C) 145.3 (N)	23.5 (C) 5.9 (N)	4,038.9 (C) 382.1 (N)
TOTAL	\$1,394.8 (C) \$79.6 (N)	\$137.7 (C) \$75.5 (N)	\$3,185.7 (C) \$975.4 (N)	\$1,408.7 (C) \$638.3 (N)	\$15,685.2 (C) \$3,356.2 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**NEW YORK CITY
CAPITAL COMMITMENTS
REPORT NO. 5
(MILLIONS IN DOLLARS)**

Month: October

Fiscal Year: 2010

City Funds:

Total Authorized Commitment Plan	\$15,685
Less: Reserve for Unattained Commitments Commitment Plan	<u>(4,542)</u>
	<u>\$11,143</u>

Non-City Funds:

Total Authorized Commitment Plan	\$3,356
Less: Reserve for Unattained Commitments Commitment Plan	<u>0</u>
	<u>\$3,356</u>

Month and year-to-date variances for City funds are reported against the authorized FY 2010 September Capital Commitment Plan of \$15,685 million rather than the Financial Plan level of \$11,143 million. The additional \$4,542 million of commitments is included to help the City meet its overall Financial Plan target. Aggregate commitments are not expected to exceed the approved plan level as it may be modified from time to time.

NOTES TO REPORT #5

1. Capital Commitments: Commitments are defined as awarded contracts registered with the City Comptroller except for Waterway and Highway Bridge projects performed jointly with the State. Certain non-City commitments for Bridge projects are not registered with the City Comptroller. These commitments are reported when the State advertises the work. Beginning in Fiscal Year 2000, reported education commitments have been changed to represent master contract registrations with the Comptroller. Previously, reported education commitments represented Construction Authority contract registrations.

2. Variances in year-to-date commitments of City funds through October are primarily due to timing differences.

- | | | |
|-------------------------|---|--|
| Correction | - | Adolescent Reception Detention Center, Riker's Island, totaling \$6.0 million, slipped from July and August 2009 to November 2009. Correction facilities, construction, reconstruction and improvements, City-wide, totaling \$75.7 million, slipped from July and August 2009 to November 2009. Purchase of equipment for other use by the Department of Correction, totaling \$2.5 million, slipped from July 2009 to November 2009. Acquisition and construction of the supplementary housing program and support facilities, totaling \$68.0 million, slipped from July 2009 to November 2009. Purchase of computer equipment, totaling \$17.1 million, slipped from July thru September 2009 to November 2009. Riker's Island Infrastructure, totaling \$43.2 million, slipped from July thru October 2009 to November 2009. Various slippages and advances account for the remaining variance. |
| Education | - | Construction contracts for the Department of Education, totaling \$10.9 million, slipped from July and October 2009 to November 2009. Construction and equipment purchase in addition to the Five Year Plan, totaling \$6.4 million, slipped from October 2009 to November 2009. Five-Year Educational Capital Plan, totaling \$133.4 million, slipped from September and October 2009 to November 2009. Various slippages and advances account for the remaining variance. |
| Economic
Development | - | Acquisition and site development for commercial redevelopment, City-wide, totaling \$84.9 million, advanced from June 2010 to July thru October 2009. Economic development for industrial, waterfront and commercial purposes, totaling \$14.9 million, advanced from June 2010 to September 2009. Modernization and reconstruction of piers, City-wide, totaling \$8.5 million, advanced from June 2010 to August and October 2009. Various slippages and advances account for the remaining variance. |

- Fire

 - Fire alarm communication systems, totaling \$2.3 million, advanced from June 2010 to July 2009. Acquisition of vehicles for the Fire Department, totaling \$7.5 million, advanced from June 2010 to July thru October 2009. Fire Department facility improvements, City-wide, totaling \$7.3 million, advanced from June 2010 to July thru October 2009. Management information and Control System, totaling \$3.6 million, advanced from June 2010 to July thru October 2009. Various slippages and advances account for the remaining variance.

- Highway Bridges

 - Reconstruction of highway bridges and structures, City-wide, totaling \$7.6 million, slipped from July and August 2009 to November 2009. Design cost for bridge facilities, City-wide, totaling \$14.6 million, advanced from June 2010 to September and October 2009. Reconstruction of Bruckner Expressway Bridge, totaling \$3.4 million, advanced from June 2010 to October 2009. Reconstruction of the Belt Parkway, totaling \$94.7 million, Brooklyn, advanced from April thru June 2010 to September 2009. Reconstruction of Wards Island Pedestrian Bridge, Manhattan, totaling \$2.4 million, advanced from June 2010 to August 2009. Various slippages and advances account for the remaining variance.

- Highways

 - Construction and reconstruction of highways, totaling \$20.8 million, slipped from July thru October 2009 to November 2009. Repaving and resurfacing of streets, City-wide, totaling \$97.8 million, advanced from June 2010 to October 2009. Sidewalk reconstruction, totaling \$6.7 million, slipped from September 2009 to November 2009. Reconstruction of Paulding Avenue, totaling \$2.7 million, slipped from September 2009 to November 2009. Reconstruction of Columbia Street, totaling \$2.6 million, slipped from September and October 2009 to November 2009. Reconstruction of City-owned retaining walls, City-wide, totaling \$4.4 million, slipped from September and October 2009 to November 2009. Various slippages and advances account for the remaining variance.

- Housing

 - City capital subsidizes Housing Authority projects, totaling \$5.5 million, advanced from June 2010 to October 2009. Tenant interim lease program, totaling \$3.8 million, advanced from June 2010 to July 2009. Queens's west program, totaling \$4.0 million, advanced from May 2010 to October 2009. Various slippages and advances account for the remaining variance.

- Parks
- Reconstruction of Park Building, Marine Park, totaling \$4.6 million, advanced from June 2010 to September 2009. Construction and reconstruction of playgrounds and recreation facilities, totaling \$9.6 million, advanced from June 2010 to August thru October 2009. Construction and reconstruction related to PLANYC, totaling \$21.1 million, advanced from May and June 2010 to September and October 2009. Construction and reconstruction of Ferry Point Park, totaling \$53.9 million, advanced from June 2010 to September 2009. Street and park tree planting, City-wide, totaling \$15.0 million, advanced from June 2010 to August thru October 2009. Purchase of equipment for the use by the Department of Parks and Recreation, totaling \$2.1 million, advanced from March 2010 to July thru September 2009. Improvements to Downing Stadium, totaling \$14.1 million, advanced from June 2010 to August and September 2009. Infrastructure improvements in the area of the new Yankee Stadium, totaling \$4.2 million, advanced from June 2010 to August thru October 2009. Various slippages and advances account for the remaining variance.
- Police
- Purchase of Ultra high frequency radio telephone equipment, totaling \$19.8 million, slipped from September and October 2009 to November 2009. Improvements to Police Department property, City-wide, totaling \$7.7 million, advanced from December 2009 and January 2010 to August thru October 2009. Marine launches for Harbor Unit, totaling \$2.8 million, slipped from September 2009 to November 2009. Acquisition and installation of computer equipment, City-wide, totaling \$2.0 million, slipped from October 2009 to November 2009. Construction of a new police training facility, totaling \$7.4 million, slipped from July and September 2009 to November 2009. New public answering center, totaling \$7.1 million, slipped from September 2009 to November 2009. Various slippages and advances account for the remaining variance.
- Public Buildings
- Reconstruction of Police Plaza, totaling \$2.5 million, advanced from June 2010 to August 2009. Deregistration of contracts for installation of fuel facility vapor control systems, totaling \$2.4 million, occurred in August and September 2009. Reconstruction and improvements at 253 Broadway, Manhattan, totaling \$7.8 million, advanced from May and June 2010 to September 2009. Construction and reconstruction of public buildings, City-wide, totaling \$5.2 million, advanced from April thru June 2010 to July thru October 2009. Various slippages and advances account for the remaining variance

- Sanitation - Purchase of collection trucks and equipment, totaling \$8.8 million, advanced from January 2010 to September and October 2009. Improvements to garages and other facilities, totaling \$8.9 million, slipped from July thru September 2009 to November 2009. Construction of salt storage sheds, City-wide, totaling \$5.7 million, slipped from July thru October 2009 to November 2009. Construction of Marine Transfer Station, totaling \$116.3 million, slipped from July 2009 to November 2009. Purchase of electronic data processing equipment for the Department of Sanitation, totaling \$2.5 million, slipped from September 2009 to November 2009. Various slippages and advances account for the remaining variance.
- Transit - Miscellaneous reconstruction of lines under operation, totaling \$35.0 million, advanced from June 2010 to September 2009. Various slippages and advances account for the remaining variance.
- Water Supply - Additional water supply emergency and permanent, totaling \$30.5 million, advanced from June 2010 to July 2009. City tunnel number 3, stage 1, totaling \$4.4 million, advanced from June 2010 to September and October 2009. Contract for City tunnel number 3, stage 2, totaling \$7.0 million, advanced from June 2010 to July thru October 2009. Various slippages and advances account for the remaining variance.
- Water Mains - Construction of the Croton Filtration Plant, totaling \$42.0 million, advanced from April thru June 2010 to July thru October 2009. Improvements to structures on watersheds outside the City, totaling \$101.1 million, advanced from June 2010 to July thru October 2009. Various slippages and advances account for the remaining variance.
- Water Pollution Control - Ward's Island Water Pollution Control Plant, totaling \$19.7 million, advanced from June 2010 to July thru October 2009. Reconstruction of North River water pollution control plant, totaling \$4.9 million, advanced from June 2010 to July thru September 2009. Reconstruction of Water Pollution Control Plant Projects, totaling \$25.0 million, advanced from December 2009 and June 2010 to August thru October 2009. Construction of combined sewer overflow abatement, totaling \$2.3 million, advanced from June 2010 to September and October 2009. Twenty Sixth Ward Water Pollution Control Plant, totaling \$3.5 million, advanced from June 2010 to July thru September 2009. Upgrade of Bowery Bay Ward Water Pollution Control, totaling \$3.4 million, advanced from June 2010 to July, August and October 2009. Construction and reconstruction of pumping stations, City-wide, totaling \$62.2 million, advanced from June 2010 to July thru September 2009. Engineering, architecture and administrative cost associated with construction at

the Department of Environmental Protection, totaling \$8.1 million, advanced from June 2010 to July thru October 2009. Upgrade of Jamaica Water Pollution Control Plant, totaling \$53.0 million, advanced from June 2010 to September and October 2009. Upgrade of Tallmans Island Ward Water Pollution Control Plant, totaling \$156.3 million, advanced from June 2010 to July thru October 2009. Upgrade of the Newtown Creek Water Pollution Control Plant, totaling \$375.5 million, advanced from June 2010 to July thru October 2009. Hunts Point Water Pollution Control Plant, totaling \$2.3 million, advanced from June 2010 to August thru October 2009. Various slippages and advances account for the remaining variance.

- Purchase of EDP equipment, totaling \$18.4 million, advanced from June 2010 to July thru October 2009. Emergency communication system and facilities, totaling \$600.3 million, advanced from June 2010 to July thru October 2009.
- Purchase of equipment for the use of The Department of Environmental Protection, totaling \$2.2 million, advanced from June 2010 to July thru September 2009. Remedial action at closed landfills, totaling \$150.7 million, advanced from June 2010 to August thru September 2009.
- Construction and reconstruction for the Department of Homeless, totaling \$4.4 million, advanced from June 2010 to July thru October 2009.
- Improvements to health facilities, City-wide, totaling \$7.8 million, advanced from January and June 2010 to July thru October 2009.
- City University improvements, City-wide, totaling \$2.2 million, advanced from June 2010 to July thru October 2009. Equipment purchase for Manhattan CUNY schools, totaling \$3.0 million, advanced from June 2010 to October 2009. Improvements to CUNY Community College, totaling \$13.1 million, advanced from June 2010 to August and October 2009.
- Reconstruction and renovations of the New York State Theatre, totaling \$13.4 million, advanced from June 2010 to October 2009.

- Purchase of electronic data processing equipment, totaling \$86.4 million, advanced from June 2010 to July thru October 2009. Purchase of electronic data processing equipment for FISA, totaling \$9.0 million, advanced from June 2010 to July thru October 2009. Judgments and settlements in connection with capital projects, totaling \$4.4 million, slipped from August 2009 to November 2009.
- Computer equipment for the Department of Transportation, totaling \$9.4 million, slipped from August 2009 to December 2009.
- Street lighting draw down, City-wide, totaling \$4.4 million, advanced from June 2010 to September and October 2009.

3. Variances in year-to-date commitments of non-City funds through October occurred in the Department of Education, Department of Business Services, the Department of Environmental Protection, the Department of Housing Preservation and Development, the Department of Parks and Recreation, the Department of Transportation and others.

- | | | |
|----------------------|---|---|
| Education | - | Funding for education in addition to the Five-Year Plan, totaling \$10.0 million, slipped from October 2009 to December 2009. Five-Year Educational Capital Plan, totaling \$85.1 million, slipped from September and October 2009 to November 2009. Various slippages and advances account for the remaining variance. |
| Economic Development | - | Acquisition, site development, construction and reconstruction related to Economic development, totaling \$28.4 million, advanced from February and June 2010 to August and September 2009. Various slippages and advances account for the remaining variance. |
| Housing | - | Assisted living and Senior housing, City-wide, totaling \$4.8 million, advanced from June 2010 to October 2009. Low income rental program, totaling \$2.4 million, advanced from June 2010 to October 2009. Various slippages and advances account for the remaining variance. |
| Parks | - | Miscellaneous parks, and playgrounds, City-wide, totaling \$3.1 million, advanced from June 2010 to August thru October 2009. Park improvements, City-wide, totaling \$2.7 million, advanced from June 2010 to October 2009. |

- Highway Bridges - Reconstruction of the Belt Parkway, Brooklyn, totaling \$264.9 million, advanced from June 2010 to September 2009. Various slippages and advances account for the remaining variance.
- Highways - Construction and reconstruction of highways, City-wide, totaling \$6.6 million, slipped from August and September 2009 to November 2009. Private portion for highway projects, City-wide, totaling \$8.6 million, slipped from August thru October 2009 to November 2009. Hazard elimination program, City-wide, totaling \$5.6 million, slipped from July 2009 to November 2009. Various slippages and advances account for the remaining variance.
- Water Mains - Planned deregistration of contracts for Water Supply Improvements, City-wide, totaling \$5.9 million, slipped from July 2009 to November 2009. Various slippages and advances account for the remaining variance.
- Water Pollution Control - Upgrade of Tallmans Water Pollution Control Plant, totaling \$7.5 million, advanced from June 2010 to August 2009. Various slippages and advances account for the remaining variance.
- Others - Remedial action at closed landfills, totaling \$100.0 million, advanced from June 2010 to September 2009.
- Renovation of Lincoln Center, totaling \$18.6 million, advanced from June 2010 to September 2009.
- Installation of traffic signals, City-wide, totaling \$20.7 million, advanced from June 2010 to July thru October 2009.

Report No. 5A

Capital Cash Flow

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: OCTOBER	FISCAL YEAR: 2010	
	CURRENT MONTH ACTUAL	YEAR-TO-DATE ACTUAL	FISCAL YEAR PLAN
TRANSIT	\$0.0 (C) 0.0 (N)	\$0.0 (C) 0.0 (N)	\$38.5 (C) 7.6 (N)
HIGHWAY AND STREETS	14.5 (C) 4.4 (N)	98.0 (C) 13.3 (N)	296.8 (C) 65.8 (N)
HIGHWAY BRIDGES	12.0 (C) 6.0 (N)	50.0 (C) 13.1 (N)	281.0 (C) 129.4 (N)
WATERWAY BRIDGES	10.3 (C) 8.8 (N)	44.2 (C) 38.0 (N)	209.2 (C) 162.3 (N)
WATER SUPPLY	9.5 (C) 0.0 (N)	22.7 (C) 0.0 (N)	164.8 (C) 0.0 (N)
WATER MAINS, SOURCES & TREATMENT	97.4 (C) 0.0 (N)	447.0 (C) 0.0 (N)	957.6 (C) (0.9) (N)
SEWERS	17.3 (C) 0.0 (N)	59.2 (C) 0.1 (N)	130.0 (C) 0.2 (N)
WATER POLLUTION CONTROL	53.4 (C) 0.6 (N)	351.7 (C) 2.9 (N)	884.1 (C) 63.6 (N)
ECONOMIC DEVELOPMENT	11.3 (C) 9.6 (N)	104.3 (C) 18.9 (N)	274.5 (C) 80.3 (N)
EDUCATION	395.5 (C) 4.5 (N)	1,022.2 (C) 178.0 (N)	2,333.2 (C) 236.3 (N)

SYMBOLS:

(C) CITY FUNDS

(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: OCTOBER	FISCAL YEAR: 2010	FISCAL YEAR
	CURRENT MONTH ACTUAL	YEAR-TO-DATE ACTUAL	PLAN
CORRECTION	3.1 (C)	19.0 (C)	78.6 (C)
	0.0 (N)	0.0 (N)	0.9 (N)
SANITATION	16.5 (C)	57.4 (C)	260.2 (C)
	0.0 (N)	0.0 (N)	1.9 (N)
POLICE	3.4 (C)	24.4 (C)	235.7 (C)
	0.0 (N)	0.0 (N)	0.0 (N)
FIRE	7.5 (C)	27.6 (C)	97.4 (C)
	0.1 (N)	3.3 (N)	7.2 (N)
HOUSING	23.5 (C)	78.4 (C)	229.8 (C)
	9.5 (N)	20.8 (N)	79.4 (N)
HOSPITALS	16.4 (C)	68.6 (C)	87.6 (C)
	0.0 (N)	0.0 (N)	0.0 (N)
PUBLIC BUILDINGS	9.3 (C)	44.7 (C)	159.6 (C)
	0.0 (N)	0.1 (N)	0.2 (N)
PARKS	38.9 (C)	156.8 (C)	495.9 (C)
	1.9 (N)	10.1 (N)	59.2 (N)
ALL OTHER DEPARTMENTS	161.5 (C)	520.1 (C)	1,498.0 (C)
	7.9 (N)	24.1 (N)	123.9 (N)
TOTAL	\$901.2 (C)	\$3,196.4 (C)	\$8,712.6 (C)
	\$53.2 (N)	\$322.7 (N)	\$1,017.3 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

Report No. 6 & 6A

Month-by-Month Cash Flow Forecast

NEW YORK CITY
MONTH - BY - MONTH CASH FLOW FORECAST
REPORT NO. 6
(MILLIONS OF DOLLARS)

MONTH: OCTOBER
FISCAL YEAR 2010

	ACTUAL				FORECAST								12 Months	ADJUSTMENTS	TOTAL
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN			
CASH INFLOWS															
CURRENT															
GENERAL PROPERTY TAX	\$2,754	\$37	\$648	\$702	\$59	\$2,774	\$3,249	\$47	\$806	\$333	\$7	\$2,843	\$14,259	\$1,805	\$16,064
OTHER TAXES	495	855	2,486	1,329	935	2,378	2,205	975	2,029	1,711	872	3,041	19,311	618	19,929
FEDERAL GRANTS	272	102	64	273	312	479	594	647	677	613	620	727	5,380	1,876	7,256
STATE GRANTS	208	309	639	509	386	1,047	199	220	3,120	576	1,506	1,081	9,800	1,718	11,518
OTHER CATEGORICAL	152	208	25	78	98	32	82	55	36	53	73	54	946	217	1,163
UNRESTRICTED (NET OF DISALL.)	-	-	-	-	-	-	-	-	-	-	(5)	(10)	(15)	340	325
MISCELLANEOUS REVENUES	585	290	238	272	289	238	296	253	461	316	557	572	4,367	-	4,367
CAPITAL INTER-FUND TRANSFERS	-	11	28	35	34	26	97	32	26	24	23	27	363	123	486
SUBTOTAL	4,466	1,812	4,128	3,198	2,113	6,974	6,722	2,229	7,155	3,626	3,653	8,335	54,411	6,697	61,108
PRIOR															
OTHER TAXES	627	268	15	-	-	-	-	-	-	-	-	-	910	-	910
FEDERAL GRANTS	255	244	368	131	155	66	59	50	56	26	14	40	1,464	766	2,230
STATE GRANTS	326	234	709	319	198	155	16	57	156	39	59	78	2,346	895	3,241
OTHER CATEGORICAL	15	24	(28)	101	17	18	17	15	15	14	13	18	239	314	553
UNRESTRICTED	-	-	63	-	-	264	-	-	-	-	-	-	327	-	327
MISC. REVENUE/CAPITAL IFA	87	-	-	-	-	-	-	-	-	-	-	-	87	(87)	-
SUBTOTAL	1,310	770	1,127	551	370	503	92	122	227	79	86	136	5,373	1,888	7,261
CAPITAL															
CAPITAL TRANSFERS	363	962	427	1,044	1,026	854	894	844	777	773	860	926	9,750	(1,037)	8,713
FEDERAL AND STATE	205	20	9	75	140	77	101	78	70	54	62	126	1,017	-	1,017
OTHER															
SENIOR COLLEGES	747	1	-	11	154	282	3	243	511	1	191	530	2,674	(747)	1,927
HOLDING ACCT. & OTHER ADJ.	21	6	(7)	12	(32)	-	-	-	-	-	-	-	-	-	-
OTHER SOURCES	-	186	-	373	-	-	-	-	-	-	-	-	559	-	559
TOTAL INFLOWS	\$7,112	\$3,757	\$5,684	\$5,264	\$3,771	\$8,690	\$7,812	\$3,516	\$8,740	\$4,533	\$4,852	\$10,053	\$73,784	\$6,801	80,585
CASH OUTFLOWS															
CURRENT															
PS	\$1,451	\$1,908	\$2,598	\$3,469	\$2,763	\$2,811	\$2,872	\$2,739	\$2,737	\$3,295	\$2,745	\$4,188	\$33,576	\$2,833	\$36,409
OTPS	1,146	1,865	1,935	1,894	1,562	1,913	1,842	1,826	1,936	1,874	1,710	2,458	21,961	1,637	23,598
DEBT SERVICE	13	1	-	1	2	4	20	24	51	201	77	707	1,101	-	1,101
SUBTOTAL	2,610	3,774	4,533	5,364	4,327	4,728	4,734	4,589	4,724	5,370	4,532	7,353	56,638	4,470	61,108
PRIOR															
PS	1,369	834	38	41	18	30	35	35	35	35	35	115	2,620	-	2,620
OTPS	853	393	29	3	100	428	75	75	400	100	25	19	2,500	-	2,500
OTHER TAXES	91	137	-	-	-	-	-	-	-	-	-	-	228	-	228
DISALLOWANCE RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	-	1,113	1,113
SUBTOTAL	2,313	1,364	67	44	118	458	110	110	435	135	60	134	5,348	1,113	6,461
CAPITAL															
CITY DISBURSEMENTS	722	569	1,004	901	656	586	909	489	851	606	799	621	8,713	-	8,713
FEDERAL AND STATE	198	39	32	53	137	133	98	75	67	51	59	75	1,017	-	1,017
OTHER															
SENIOR COLLEGES	145	120	104	157	151	287	148	148	148	222	148	149	1,927	-	1,927
OTHER USES	94	-	74	-	299	-	-	-	-	-	-	92	559	-	559
TOTAL OUTFLOWS	\$6,082	\$5,866	\$5,814	\$6,519	\$5,688	\$6,192	\$5,999	\$5,411	\$6,225	\$6,384	\$5,598	\$8,424	\$74,202	\$5,583	\$79,785
NET CASH FLOW	\$1,030	(\$2,109)	(\$130)	(\$1,255)	(\$1,917)	\$2,498	\$1,813	(\$1,895)	\$2,515	(\$1,851)	(\$746)	\$1,629	(\$418)	\$1,218	\$800
BEGINNING BALANCE	\$5,805	\$6,835	\$4,726	\$4,596	\$3,341	\$1,424	\$3,922	\$5,735	\$3,840	\$6,355	\$4,504	\$3,758	\$5,805		
ENDING BALANCE	\$6,835	\$4,726	\$4,596	\$3,341	\$1,424	\$3,922	\$5,735	\$3,840	\$6,355	\$4,504	\$3,758	\$5,387	\$5,387		

NEW YORK CITY
MONTH - BY - MONTH CASH FLOW FORECAST
REPORT NO. 6A
(MILLIONS OF DOLLARS)

MONTH: OCTOBER
FISCAL YEAR 2010

	ACTUAL				FORECAST								12 Months	ADJUST- MENTS	TOTAL
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN			
SENIOR COLLEGES															
SENIOR COLLEGES COST (OUTFLOW)	(145)	(120)	(104)	(157)	(151)	(287)	(148)	(148)	(148)	(222)	(148)	(149)	(1,927)	-	(1,927)
SENIOR COLLEGES INFLOW - CURRENT	-	-	-	-	-	100	3	243	511	1	191	530	1,579	348	1,927
SENIOR COLLEGES INFLOW - PRIOR	747	1	-	11	154	182	-	-	-	-	-	-	1,095	(1,095)	-
NET SENIOR COLLEGES	602	(119)	(104)	(146)	3	(5)	(145)	95	363	(221)	43	381	747	(747)	-
CAPITAL															
<u>CURRENT CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	900	200	100	1,356	166	1,075	694	800	1,200	-	800	927	8,218	-	8,218
(INC)/DEC RESTRICTED CASH	(780)	642	207	(472)	733	(311)	120	(26)	(423)	773	60	(1)	522	(1,037)	(515)
SUBTOTAL	120	842	307	884	899	764	814	774	777	773	860	926	8,740	(1,037)	7,703
<u>PRIOR CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	-	-	-	389	334	-	-	-	-	-	-	-	723	-	723
(INC)/DEC RESTRICTED CASH	243	120	120	(229)	(207)	90	80	70	-	-	-	-	287	-	287
SUBTOTAL	243	120	120	160	127	90	80	70	-	-	-	-	1,010	-	1,010
TOTAL CITY CAPITAL TRANSFERS	363	962	427	1,044	1,026	854	894	844	777	773	860	926	9,750	(1,037)	8,713
<u>FEDERAL AND STATE - INFLOWS:</u>															
CURRENT	17	20	9	75	140	77	101	78	70	54	62	126	829	188	1,017
PRIOR	188	-	-	-	-	-	-	-	-	-	-	-	188	(188)	-
TOTAL FEDERAL AND STATE INFLOWS	205	20	9	75	140	77	101	78	70	54	62	126	1,017	-	1,017
<u>CAPITAL OUTFLOWS:</u>															
CITY DISBURSEMENTS	(722)	(569)	(1,004)	(901)	(656)	(586)	(909)	(489)	(851)	(606)	(799)	(621)	(8,713)	-	(8,713)
FEDERAL AND STATE	(198)	(39)	(32)	(53)	(137)	(133)	(98)	(75)	(67)	(51)	(59)	(75)	(1,017)	-	(1,017)
TOTAL OUTFLOWS	(920)	(608)	(1,036)	(954)	(793)	(719)	(1,007)	(564)	(918)	(657)	(858)	(696)	(9,730)	-	(9,730)
<u>NET CAPITAL:</u>															
NET CITY CAPITAL	(359)	393	(577)	143	370	268	(15)	355	(74)	167	61	305	1,037	(1,037)	-
NET NON-CITY CAPITAL	7	(19)	(23)	22	3	(56)	3	3	3	3	3	51	-	-	-
NET TOTAL CAPITAL	(352)	374	(600)	165	373	212	(12)	358	(71)	170	64	356	1,037	(1,037)	-

NOTES TO REPORT #6/6A

1. **Beginning Balance**

The July 2009 beginning balance is consistent with the FY 2009 audited Comprehensive Annual Financial Report (CAFR).

2. **Ending Balances**

The actual monthly ending cash balances are subject to restatement after the completion of bank reconciliations. The June 2010 ending balance includes deferred revenue from FY 2011 prepaid Real Estate Taxes.

3. **Long Term Borrowings**

Long Term Borrowings are comprised of proceeds of City general obligation bonds and NYC TFA debt and Water Authority revenue bonds, exclusive of bonds issued for refunding.

4. **Restricted Cash Bond Proceeds**

In certain instances a portion of the proceeds from each bond issuance is for capital expenditures to be made in succeeding months. Restricted cash bond proceeds accounts have been set up for this purpose. Balances in these accounts are excluded from the cash balances that are reflected in Report #6.

Report No. 7

Covered Organizations Financial Plan Summary

Financial Plan Summary (1)
Agency: Health & Hospitals Corporation
(\$ in millions)

Month: September
FISCAL YEAR: 2010

DESCRIPTION	CURRENT MONTH(1)			YTD SEPT (1)			FISCAL YEAR 2010		
	ACTUAL(1)	PLAN (3)	BETTER/ (WORSE)	ACTUAL (1)	PLAN (3)	BETTER/ (WORSE)	FORECAST	PLAN (3)	BETTER/ (WORSE)
REVENUE									
MEDICAID FEE FOR SERVICE	126.386	131.800	(5.414)	379.158	395.400	(16.242)	1,441.600	1,441.600	-
UPL	-	-	-	162.892	162.892	-	542.300	542.300	-
MEDICARE	47.249	48.125	(0.876)	141.746	144.375	(2.629)	618.400	618.400	-
OTHER (THIRD PARTY & SELFPAY)	75.781	76.905	(1.123)	227.344	230.714	(3.370)	1,032.400	1,032.400	-
POOLS	38.659	37.585	1.074	115.977	112.756	3.221	438.200	438.200	-
DISPROPORTIONATE SHARE PAYMENT	-	-	-	157.500	157.500	-	930.000	930.000	-
FUNDS APPROPRIATED BY CITY OF NEW YORK	(4.460)	(4.460)	-	(13.381)	(13.381)	-	(66.100)	(66.100)	-
GRANTS	14.942	15.387	(0.445)	44.825	46.160	(1.335)	234.500	234.500	-
OTHER REVENUE	4.316	4.316	-	12.947	12.947	-	40.500	40.500	-
METROPLUS PREMIUM REVENUE	88.380	88.116	0.264	265.140	264.349	0.791	1,097.000	1,097.000	-
TOTAL REVENUE	391.252	397.773	(6.521)	1,494.148	1,513.712	(19.564)	6,308.800	6,308.800	-
EXPENDITURES									
PERSONAL SERVICES	219.065	219.055	(0.011)	657.196	657.164	(0.032)	2,616.100	2,616.100	-
(2) FRINGE BENEFITS	47.201	47.217	0.017	141.602	141.652	0.050	1,065.600	1,065.600	-
OTHER THAN PERSONAL SERVICES	154.016	153.169	(0.847)	462.048	459.506	(2.542)	1,877.400	1,877.400	-
AFFILIATION CONTRACTS	66.543	65.882	(0.661)	199.630	197.646	(1.984)	800.800	800.800	-
DEPRECIATION	20.707	20.312	(0.395)	62.122	60.936	(1.186)	250.000	250.000	-
POSTEMPLOYMENT BENEFITS (OTHER THAN PENSIONS)	32.583	32.583	-	97.750	97.750	-	364.100	364.100	-
TOTAL EXPENDITURES	540.116	538.218	(1.898)	1,620.348	1,614.655	(5.693)	6,974.000	6,974.000	-
SURPLUS/(DEFICIT)	(148.864)	(140.445)	(8.419)	(126.200)	(100.943)	(25.257)	(665.200)	(665.200)	-
NON-OPERATING INCOME/(EXPENSE)	(8.464)	(8.827)	0.363	(25.393)	(26.481)	1.088	(95.000)	(95.000)	-
CASH BALANCE BEGINNING PERIOD							247.100	247.100	-
CORRECTIVE ACTIONS							361.000	361.000	-
ACCRUAL TO CASH ADJUSTMENT							1,068.000	1,068.000	-
CASH BALANCE END OF PERIOD							915.900	915.900	-

Notes:

(1) All actual data for this analysis are preliminary.

(2) Fringe Benefits number has been adjusted to exclude postemployment benefits other than pensions. This is a non-cash liability estimated to be \$97.75m in the first quarter and \$391m for the fiscal year.

(3) Plan numbers are based on Adoption 2009 Financial Plan.

FINANCIAL PLAN SUMMARY
NEW YORK CITY TRANSIT AUTHORITY
REPORT NO. 7 -- September 2009
(Millions of Dollars)

DESCRIPTION	CURRENT MONTH			YEAR-TO-DATE			FINANCIAL PLAN VARIANCES		
	ACTUAL	BUDGET	OVER/ (UNDER)	ACTUAL	BUDGET	OVER/ (UNDER)	Final Proposed BUDGET	Feb. 2009 Adopted BUDGET	OVER/ (UNDER)
REVENUE:									
Subway Farebox Revenue ⁽¹⁾	197.0	179.3	17.7	1,645.1	1,602.1	43.0	2,248.3	2,154.2	94.1
Bus Farebox Revenue	72.2	67.9	4.3	609.5	596.9	12.6	823.0	795.6	27.4
Paratransit Farebox Revenue	1.3	1.1	0.2	10.3	9.7	0.6	14.6	13.3	1.3
Fair Media Liability	5.0	3.9	1.1	43.0	35.1	7.9	53.1	47.1	6.0
Fare Increase 6/1/09 ⁽¹⁾	0.0	55.9	(55.9)	0.0	225.6	(225.6)	0.0	395.7	(395.7)
Additional Actions for Budget Balance:									
Revenue Impact ⁽²⁾	0.0	0.5	(0.5)	0.0	1.9	(1.9)	0.0	3.2	(3.2)
Vehicle Toll Revenue	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Fare Reimbursement	5.6	7.7	(2.1)	61.5	72.5	(11.0)	84.0	103.8	(19.8)
Paratransit Reimbursement	5.6	7.9	(2.3)	53.3	71.1	(17.8)	71.8	94.8	(23.0)
Other	8.7	8.9	(0.2)	84.3	84.0	0.3	104.8	110.8	(6.0)
Capital and Other Reimbursements	<u>78.8</u>	<u>72.0</u>	<u>6.8</u>	<u>706.8</u>	<u>686.2</u>	<u>20.6</u>	<u>936.8</u>	<u>910.7</u>	<u>26.1</u>
TOTAL	374.2	405.1	(30.9)	3,213.8	3,385.1	(171.3)	4,336.4	4,629.2	(292.8)
EXPENDITURES (Non-Reimbursable):									
Payroll	228.9	233.5	(4.6)	2,095.6	2,103.7	(8.1)	2,815.6	2,826.8	(11.2)
Overtime	20.3	21.1	(0.8)	181.9	186.2	(4.3)	242.0	248.9	(6.9)
Health & Welfare	41.8	41.9	(0.1)	355.8	368.1	(12.3)	478.8	496.1	(17.3)
OPEB Current Payment	25.7	22.1	3.6	186.7	194.3	(7.6)	248.8	261.9	(13.1)
Pensions	15.7	15.0	0.7	692.1	657.2	34.9	754.7	702.2	52.5
Other Fringe Benefits	17.6	21.9	(4.3)	167.0	192.7	(25.7)	231.3	257.0	(25.7)
Total Reimbursable Overhead	(19.3)	(15.5)	(3.8)	(160.6)	(151.3)	(9.3)	(210.1)	(202.2)	(7.9)
									0.0
Traction & Propulsion Power	15.9	16.8	(0.9)	138.6	144.8	(6.2)	185.6	192.8	(7.2)
Fuel for Buses & Trains	10.8	12.9	(2.1)	90.8	131.3	(40.5)	120.7	174.3	(53.6)
Insurance	4.1	4.2	(0.1)	41.5	36.0	5.5	55.0	48.9	6.1
Claims	6.4	6.4	0.0	57.5	57.6	(0.1)	76.5	76.5	0.0
Paratransit Service Contracts	32.1	29.1	3.0	272.1	267.5	4.6	375.2	366.7	8.5
Misc. & Other Operating Contracts	16.4	18.9	(2.5)	166.4	180.7	(14.3)	226.8	234.2	(7.4)
Professional Service Contracts	8.4	9.3	(0.9)	71.4	71.8	(0.4)	103.7	98.2	5.5
Materials & Supplies	23.3	28.3	(5.0)	232.6	244.2	(11.6)	315.0	325.6	(10.6)
Other Business Expenses	5.1	3.6	1.5	40.4	33.2	7.2	55.3	44.8	10.5
Other Expense Adjustments	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Additional Actions for Budget Balance:									
Expense Impact	0.0	(17.2)	17.2	0.0	(92.6)	92.6	0.0	(151.1)	151.1
Contribution to Capital Program	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Capital and Other Reimbursements	<u>78.8</u>	<u>72.0</u>	<u>6.8</u>	<u>706.8</u>	<u>686.2</u>	<u>20.6</u>	<u>936.8</u>	<u>910.7</u>	<u>26.1</u>
TOTAL	532.0	524.3	7.7	5,336.6	5,311.6	25.0	7,011.7	6,912.3	99.4
Depreciation Expense	103.9	100.5	3.4	915.9	876.3	39.6	1,250.0	1,185.2	64.8
OPEB Account	267.2	263.9	3.3	806.9	791.7	15.2	1,055.4	1,055.4	0.0
Environmental Remediation	0.0	0.0	0.0	1.8	0.0	1.8	0.0	0.0	0.0
OPERATING SURPLUS (DEFICIT)	(528.9)	(483.6)	(45.3)	(3,847.4)	(3,594.5)	(252.9)	(4,980.7)	(4,523.7)	(457.0)
SUBSIDY REVENUE:⁽²⁾									
City Operating Assistance	0.0	0.0	0.0	123.3	123.2	0.1	158.1	158.2	(0.1)
State Operating Assistance	0.0	0.0	0.0	79.0	79.0	0.0	158.2	158.2	0.0
Federal Aid	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Bridges & Tunnels Surplus Transfer	11.3	19.1	(7.8)	65.9	87.0	(21.1)	82.9	130.5	(47.6)
MMTOA Tax Revenue	49.5	94.6	(45.1)	346.7	547.3	(200.6)	900.8	910.7	(9.9)
Petroleum Business Tax Revenue	48.9	44.7	4.2	407.9	397.2	10.7	539.0	531.6	7.4
Urban Account Tax Revenue	19.3	41.7	(22.4)	123.0	375.5	(252.5)	147.8	500.7	(352.9)
Mortgage Recording Tax Transfer	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Additional Assistance	0.0	0.0	0.0	0.0	0.0	0.0	233.6	32.9	200.7
New State Taxes and Fees									
Payroll Mobility Tax	0.0	0.0	0.0	0.0	898.5	(898.5)	898.5	0.0	898.5
License Fees	0.0	0.0	0.0	0.0	3.2	(3.2)	3.2	0.0	3.2
Vehicle Registration Fees	0.0	0.0	0.0	0.0	22.7	(22.7)	22.7	0.0	22.7
Taxi Fee	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Auto Rental Fee	0.0	0.0	0.0	0.0	8.8	(8.8)	8.8	0.0	8.8
TOTAL	129.0	200.1	(71.1)	1,145.8	2,542.4	(1,396.6)	3,153.6	2,422.8	730.8

FINANCIAL PLAN SUMMARY NEW YORK CITY TRANSIT AUTHORITY REPORT NO. 7 -- September 2009 (Millions of Dollars)									
DESCRIPTION	CURRENT MONTH			YEAR-TO-DATE			FINANCIAL PLAN VARIANCES		
	ACTUAL	BUDGET	OVER/ (UNDER)	ACTUAL	BUDGET	OVER/ (UNDER)	Final Proposed BUDGET	Feb. 2009 Adopted BUDGET	OVER/ (UNDER)
NET OPERATING SURPLUS (DEFICIT) AFTER SUBSIDIES	(399.9)	(283.5)	(116.4)	(2,701.6)	(1,052.1)	(1,649.5)	(1,827.1)	(2,101.0)	273.8
Baseline Debt Service Expense	44.1	54.2	(10.1)	433.0	488.0	(55.0)	621.5	650.6	(29.2)
Cash Defeasance	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Planned Long-term Refinancing of \$50 million note due 11/15/2009	0.0	(2.9)	2.9	(26.3)	(26.3)	0.0	35.0	(35.0)	70.0
Investment Income	(0.1)	(0.1)	0.0	(1.1)	(1.1)	0.0	0.2	(1.4)	1.6
2010-2014 Capital Program	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
SURPLUS (DEFICIT)	(443.9)	(334.7)	(109.2)	(3,107.3)	(1,512.8)	(1,594.5)	(2,483.8)	(2,715.2)	231.4
LOAN FROM (TO) MTA STABILIZATION FUND	0.0	0.0	0.0	0.0	0.0	0.0	0.0	134.5	(134.5)
2010 MTA Program to Eliminate GAP	0.0	0.0	0.0	0.0	0.0	0.0	16.2	0.0	16.2
OTHER ACTIONS AVAILABLE TO OFFSET									
OUTYEAR GAPS (including MTA loans from investment pool, increased ridership revenue, increased subsidies, use of cash reserve and expenditure reductions)	108.3	(29.1)	137.4	905.3	248.1	657.2	0.0	92.7	(92.7)
ACCRUAL TO CASH ADJUSTMENT	19.9	(0.6)	20.5	439.6	438.8	0.8	97.2	156.3	(59.1)
DEPRECIATION CASH ADJUSTMENT	103.9	100.5	3.4	917.9	876.3	41.6	1,250.0	1,185.2	64.8
OPEB ACCOUNT CASH ADJUSTMENT	267.2	263.9	3.3	806.9	791.7	15.2	1,055.4	1,055.4	0.0
ENVIRONMENTAL REMEDIATION CASH ADJUSTMENT	0.0	0.0	0.0	1.8	0.0	1.8	0.0	0.0	0.0
NET CHANGE IN CASH	55.4	(0.0)	55.4	(35.8)	(91.1)	55.3	(65.0)	(91.1)	26.1
OPENING CASH BALANCE	(0.0)	0.0	(0.0)	91.1	91.1	0.0	91.1	91.1	0.0
CLOSING CASH BALANCE	55.4	0.0	55.4	55.3	0.0	55.3	26.1	0.0	26.1
CITY AID & FARE REIMBURSEMENT									
City Operating Assistance	0.0	0.0	0.0	123.3	123.2	0.1	158.2	158.2	0.0
Student Fare Reimbursement (City Portion)	2.4	3.3	(0.9)	26.7	31.5	(4.8)	45.0	45.0	0.0
Elderly Fare Reimbursement	0.7	1.0	(0.3)	8.2	9.6	(1.5)	13.8	13.8	0.0
Paratransit Subsidy (City)	4.3	5.1	(0.8)	45.1	46.1	(1.0)	53.1	61.5	8.4
Paratransit Urban Account Tax Revenue	<u>1.3</u>	<u>2.8</u>	<u>(1.5)</u>	<u>8.2</u>	<u>25.0</u>	<u>(16.8)</u>	<u>42.3</u>	<u>33.3</u>	<u>(9.0)</u>
TOTAL	8.8	12.3	(3.5)	211.5	235.4	(23.9)	312.4	311.8	(0.5)

* The Fiscal Year used by MTA-New York City Transit is the Calendar Year (January to December).

** Monthly and YTD revenue and expense data are from the November 2009 MTA Report to the Finance Committee. FY09 data are from the MTA-2009 Final Proposed Budget November Financial Plan 2010-2013, dated November 2009 and MTA-2009 Adopted Budget February Financial Plan 2009-2012, dated February 2009.

(1) This fare increase includes the 23% increase stated in the February Financial Plan. Due to State imposed taxes and fees to aid the MTA, the fare hike was only increased by 10%.

(2) The Subsidy Revenue is recorded on a cash basis and some revenues have not yet been received by the MTA. They anticipate receiving these funds in the near future.

FINANCIAL PLAN SUMMARY									
STATEN ISLAND RAILWAY (SIRTOA)									
REPORT NO. 7 -- September 2009									
(Millions of Dollars)									
DESCRIPTION	CURRENT MONTH			YEAR-TO-DATE			MTA FISCAL YEAR 2009*		
	ACTUAL	BUDGET	OVER/ (UNDER)	ACTUAL	BUDGET	OVER/ (UNDER)	Nov. 2009 Final Proposed BUDGET	Feb. 2009 Adopted BUDGET	OVER/ (UNDER)
REVENUE:									
Subway Farebox Revenue	0.399	0.431	(0.032)	3.221	3.427	(0.206)	4.422	4.707	0.285
Fare Increase 6/1/09	0.000	0.092	(0.092)	0.000	0.374	(0.374)	0.000	0.644	0.644
Vehicle Toll Revenue	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Other	0.187	0.220	(0.033)	1.396	1.470	(0.074)	2.071	2.071	0.000
Capital and Other Reimbursements	0.000	0.126	(0.126)	0.427	1.118	(0.691)	1.487	1.487	0.000
TOTAL REVENUE	0.586	0.869	(0.283)	5.044	6.389	(1.345)	7.980	8.909	(0.929)
EXPENDITURES (Non-Reimbursable):									
Payroll	1.197	1.326	(0.129)	10.873	11.697	(0.824)	15.537	15.677	0.140
Overtime	0.082	0.055	0.027	0.989	0.684	0.306	0.762	0.762	0.000
Health & Welfare	0.244	0.238	0.006	2.008	2.380	(0.372)	2.825	3.129	0.304
OPEB Current Portion	0.043	0.043	0.000	0.777	0.379	0.398	0.508	0.508	0.000
Pensions	0.443	0.440	0.003	3.739	4.075	(0.336)	5.434	5.465	0.031
Other Fringe Benefits	0.147	0.105	0.042	0.871	0.963	(0.092)	1.240	1.227	(0.013)
Total Reimbursable Overhead	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Traction & Propulsion Power	0.226	0.250	(0.024)	2.061	2.118	(0.057)	3.128	2.987	(0.141)
Fuel for Buses & Trains	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Insurance	0.023	0.023	0.000	0.201	0.201	0.000	0.269	0.269	0.000
Claims	0.020	0.022	(0.002)	0.196	0.204	(0.008)	0.265	0.273	0.008
Paratransit Service Contracts	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Mtce. & Other Operating Contracts	1.035	0.890	0.145	7.772	8.010	(0.238)	11.202	10.674	(0.528)
Professional Service Contracts	0.035	0.030	0.005	0.288	0.268	0.020	0.401	0.356	(0.045)
Materials & Supplies	0.084	0.086	(0.002)	0.802	0.771	0.031	1.014	1.029	0.015
Other Business Expenses	0.000	0.001	(0.001)	0.000	0.006	(0.006)	0.005	0.005	0.000
Additional Actions for Budget Bal: Expense Impact	0.000	(0.017)	0.017	0.000	(0.147)	0.147	0.000	(0.197)	(0.197)
TOTAL	3.579	3.492	(0.039)	31.004	31.608	(1.722)	42.590	42.164	(0.426)
2009 Program to Eliminate the Gap (PEGs)							0.090	0.000	(0.090)
Capital and Other Reimbursements	0.000	0.126	(0.126)	0.427	1.118	(0.691)	1.487	1.487	0.000
Depreciation Expense	0.674	0.860	(0.186)	5.312	7.740	(2.428)	7.700	10.337	2.637
OPEB Account	0.225	0.225	0.000	2.025	2.025	0.000	2.700	2.700	0.000
Environmental Remediation	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
TOTAL EXPENSE	0.000	4.703	0.000	0.000	42.491	0.000	54.567	56.688	2.211
OPERATING SURPLUS (DEFICIT)	(3.892)	(3.708)	(0.058)	(33.297)	(34.984)	2.805	(46.587)	(46.292)	0.295
SUBSIDY REVENUE:									
City Aid & Fare Reimbursement	0.000	0.000	0.000	0.400	0.500	(0.100)	0.500	0.500	0.000
State Aid & Fare Reimbursement	0.000	0.000	0.000	0.200	0.200	0.000	0.500	0.500	0.000
Federal Aid	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Bridges & Tunnels Surplus Transfer	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
MMTOA	0.200	0.300	(0.100)	1.200	1.800	(0.600)	3.100	2.900	(0.200)
Petroleum Business Tax Revenue	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Urban Account Tax Revenue	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Mortgage Recording Tax Transfer	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
MTA Subsidy	3.300	3.100	0.200	23.000	23.700	(0.700)	34.042	29.620	(4.422)
TOTAL TAX & OPERATING ASSISTANCE	3.500	3.400	0.100	24.800	26.200	(1.400)	38.142	33.520	(4.622)
SURPLUS (DEFICIT)	(0.392)	(0.308)	0.042	(8.497)	(8.784)	1.405	(8.445)	(12.772)	(4.327)
LOAN FROM (TO) MTA STABILIZATION FUND	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
MTA Transactions (1)	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
OTHER ACTIONS AVAILABLE TO OFFSET									
OUTYEAR GAPS (including increased ridership revenue, increased state subsidies, use of cash reserve and expenditure reductions)	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
2009 Program to Eliminate the Gap (PEGs)	0.000	0.000	0.000	0.000	0.000	0.000	0.090	0.000	(0.090)
ACCRUAL TO CASH ADJUSTMENT	(0.553)	(0.622)	0.069	2.497	0.220	2.277	(2.045)	(0.265)	1.780
DEPRECIATION CASH ADJUSTMENT	0.674	0.860	(0.186)	5.312	7.740	(2.428)	7.700	10.337	2.637
OPEB ACCOUNT CASH ADJUSTMENT	0.225	0.225	0.000	2.025	2.025	0.000	2.700	2.700	0.000
NET CHANGE IN CASH	0.000	0.155	(0.075)	1.201	1.201	1.254	(0.000)	(0.000)	0.000
OPENING CASH BALANCE	1.308	0.054	1.254	0.000	0.000	0.000	0.000	0.000	0.000
CLOSING CASH BALANCE	1.262	0.209	1.179	1.337	1.201	1.254	(0.000)	(0.000)	0.000

* The Fiscal Year used by MTA-Staten Island Railway is the Calendar Year (January to December).
** All monthly and YTD financial data are from the November 2009 MTA Report to the Finance Committee Book.
FY09 data are from the MTA-2009 Final Proposed Budget November Financial Plan 2010-2013, dated November 2009.

FINANCIAL PLAN SUMMARY
NEW YORK CITY HOUSING AUTHORITY - ALL FUNDS
REPORT NO. 7
MILLIONS OF DOLLARS
JANUARY - SEPTEMBER (FISCAL YEAR 2009)

DESCRIPTION	CURRENT MONTH	YEAR-TO-DATE			PLAN*
	SEPT	ACTUAL	PLAN	BETTER / (WORSE)	
REVENUE:					
SUBSIDY	76.081	671.591	631.541	40.050	842.054
SECTION 8 PROGRAM	70.455	631.723	626.653	5.070	835.537
RENT	65.590	607.459	598.753	8.706	798.337
INTEREST & OTHER	4.093	22.563	49.664	(27.101)	66.219
CATEGORICAL GRANTS	0.365	9.497	2.909	6.588	3.878
CAPITAL INTERFUND	2.779	111.563	132.360	(20.797)	151.139
TOTAL REVENUE	219.363	2,054.396	2,041.879	12.517	2,697.164
EXPENDITURE:					
SALARY & FRINGE	85.193	776.484	817.008	40.524	1,089.344
SUPPLIES	3.195	23.249	8.754	(14.495)	11.672
EQUIPMENT	0.012	0.981	2.018	1.037	2.691
CONTRACTS	18.519	136.534	157.994	21.460	210.659
UTILITIES	37.034	381.565	398.181	16.616	530.908
SECTION 8 PAYMENTS	83.602	708.347	577.773	(130.574)	770.364
OTHER	10.009	87.930	95.010	7.080	126.680
TOTAL EXPENDITURE	237.564	2,115.090	2,056.739	58.351	2,742.318
SURPLUS / (DEFICIT)	(18.201)	(60.694)	(14.860)	(45.834)	(45.154)

Notes:

*The financial plan used in this report is the Adopted Budget for FY 2009.

Expenditures does not include OPEB expense of \$109.695 million.

Numbers may not add due to rounding.

New York City Housing Development Corporation
Report 7 Schedule of Revenues, Expenditures and Fund Balances
HDC Fiscal Year 2009
Accrual Basis, Dollars in Thousands
HDC Programs Only

Month: September

Reporting Categories	CURRENT MONTH			YEAR TO DATE			HDC FISCAL YEAR - 2009 PLAN
	ACTUAL	PLAN	Differences	ACTUAL	PLAN	Differences	
Operating Revenues							
Interest on Loans	13,178	18,173	(4,995)	143,555	199,901	(56,346)	218,073
Fees and Charges	2,297	2,140	157	24,102	23,544	558	25,684
Income on Loan Participation Interests	8,794	500	8,294	14,886	5,500	9,386	6,000
Other Operating Revenues	7	8	(1)	92	92	-	100
Subtotal, Operating Revenues	24,276	20,821	3,455	182,635	229,037	(46,402)	249,857
Operating Expenses							
Interest, Amortization of Bond Discount/Premium	11,378	20,027	(8,649)	135,329	220,302	(84,973)	240,329
Salaries and Related Expense	1,936	2,618	(682)	15,169	28,795	(13,626)	31,412
Trustee and Other Fees	171	332	(161)	3,044	3,651	(607)	3,983
Amortization of Debt Issuance Costs	265	431	(166)	4,312	4,737	(425)	5,167
Corporate Operating Expenses	376	442	(66)	4,434	4,859	(425)	5,300
Subtotal, Operating Expenses	14,126	23,850	(9,724)	162,288	262,344	(100,056)	286,191
Non-Operating Revenues (Expenses)							
Earnings on Investments	2,497	5,210	(2,713)	32,265	57,315	(25,050)	62,525
Non-Operating Revenues (Expenses), Net	314	500	(186)	4,174	5,550	(1,376)	6,000
Subtotal, Non-Operating Revenues	2,811	5,710	(2,899)	36,439	62,865	(26,426)	68,525
Transfers	30	17	13	792	183	609	200
Change in Net Assets	12,991	2,698	10,293	57,578	29,691	27,887	32,390
Net Assets, Beginning of Period*	1,150,458	1,116,670	33,788	1,105,873	1,105,873	-	1,105,873
Net Assets, End of Period*	1,163,449	1,119,368	44,081	1,163,451	1,119,368	44,083	1,138,263

* Net Assets of the Corporation consist principally of loan assets (notes and mortgages) and restricted and dedicated invested funds. The assets are reserved by bond covenant, contract and/or HDC policy.

**FINANCIAL PLAN SUMMARY
HOUSING ASSISTANCE CORPORATION
REPORT NO. 7
(Dollars in Thousands)**

Month: September

DESCRIPTION	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2009
	ACTUAL	PLAN	DIFFERENCES	ACTUAL	PLAN	DIFFERENCES	PLAN
REVENUE							
Investment Income	0	4	(4)	12	44	(32)	48
Investment Maturities	0	0	0	2,520	2,520	0	2,520
Mortgage Receipts	20	18	2	187	198	(11)	217
Transfer of funds from HDC	500	0	500	1,000	0	1,000	0
TOTAL	520	22	498	3,719	2,762	957	2,785
EXPENDITURES							
Program Disbursements:							
TAC Payments	145	129	(16)	1,595	1,418	(177)	1,548
Yorkville Subsidy	239	231	(8)	2,420	2,545	125	2,776
TOTAL	384	360	(24)	4,015	3,963	(52)	4,324
SURPLUS (DEFICIT)	136	(338)	475	(297)	(1,201)	904	(1,539)
CASH & INVESTMENT BALANCE **							
Beginning of Period	21,418	20,415	1,003	24,015	24,015	0	24,015
End of Period	21,554	20,054	1,500	21,554	20,054	1,500	19,694

NOTES:

** The Cash and Investment Balance consists of the HAC investment portfolio (at par) and cash and doesn't take into account cost or market value of investments. It fluctuates due to investment maturities, the TAC/Yorkville disbursements, earnings on investments, or new money coming into the portfolio and is not dictated by the surplus/deficit number. There are also several resources held for the City; these are primarily mortgage loans (SECOND MORTGAGE LOANS), the accrued interest on the mortgages and all investments held to fund the TAC payments; these resources, except for the investments, are not featured in this report, although they are a part of the overall fund and investment balance.

ASSUMPTIONS:

The 2009 Plan figures are based on October 2008 actual numbers for all categories, except investment income, due to current market conditions. The Cash/Investment Balance BOP & EOP are the actual cash & investment balances for November 1, 2008 (BOP) and December 31, 2008 (EOP).

FINANCIAL PLAN SUMMARY
AGENCY - NEW YORK CITY INDUSTRIAL DEVELOPMENT AGENCY
REPORT NO. 7
(MILLIONS OF DOLLARS)

MONTH : September 2009

DESCRIPTION	CURRENT MONTH			YEAR TO DATE			FISCAL YEAR		
	ACTUAL*	PLAN	BETTER / (WORSE)	ACTUAL*	PLAN	BETTER / (WORSE)	FORECAST	PLAN	BETTER / (WORSE)
REVENUE:									
Federal Aid									
State Aid									
City									
Other	0.101	0.781	(0.680)	1.453	2.343	(0.890)	9.378	9.378	0.000
TOTAL	0.101	0.781	(0.680)	1.453	2.343	(0.890)	9.378	9.378	0.000
EXPENDITURES:									
Other Than Personal Services	0.565	1.850	1.285	1.722	5.550	3.828	22.202	22.202	0.000
TOTAL	0.565	1.850	1.285	1.722	5.550	3.828	22.202	22.202	0.000
EXCESS (DEFICIT) OF REVENUE OVER EXPENDITURES	(0.464)	(1.069)	0.605	(0.269)	(3.207)	2.938	(12.824)	(12.824)	0.000
FUND BALANCE BEGINNING OF PERIOD	39.357	37.024	2.333	39.162	39.162	0.000	39.162	39.162	0.000
FUND BALANCE END OF PERIOD	38.893	35.955	2.938	38.893	35.955	2.938	26.338	26.338	0.000

* Current Month and Year To Date Actuals are drafts.

**FINANCIAL PLAN SUMMARY
CITY UNIVERSITY CONSTRUCTION FUND
REPORT #7
(\$ in millions)**

MONTH: September FISCAL YEAR: 2010

	CURRENT MONTH			YEAR TO DATE			FISCAL YEAR		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN ⁴	BETTER/ (WORSE)
REVENUE									
Federal	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
State	0.000	0.000	0.000	10.045	10.045	0.000	244.440	244.440	0.000
City	0.000	0.000	0.000	1.470	1.470	0.000	24.405	24.405	0.000
Other ¹	0.005	0.005	0.000	0.017	0.017	0.000	5.000	5.000	0.000
TOTAL	0.005	0.005	0.000	11.532	11.532	0.000	273.845	273.845	0.000
EXPENDITURE									
Personal Services ²	0.539	0.539	0.000	1.888	1.888	0.000	1.100	1.100	0.000
OTPS	0.084	0.084	0.000	0.270	0.270	0.000	0.500	0.500	0.000
Debt Service:									
(a) Dorm. Auth. Admin.	0.000	0.000	0.000	11.515	11.515	0.000	15.175	15.175	0.000
(b) Debt Service	0.000	0.000	0.000	0.000	0.000	0.000	253.670	253.670	0.000
TOTAL	0.623	0.623	0.000	13.673	13.673	0.000	270.445	270.445	0.000
NET CHANGE IN CASH	(0.618)	(0.618)	0.000	(2.141)	(2.141)	0.000	3.400	3.400	0.000
Cash Balance Beginning of Period ³	35.094	35.094	0.000	36.617	36.617	0.000	36.617	36.617	0.000
Cash Balance End of Period	34.476	34.476	0.000	34.476	34.476	0.000	40.017	40.017	0.000

NOTES:

¹ Other revenues are interest on short term investment of the City and State payments and tuition deposited with CUCF

² CUCF receives reimbursement from the State for its salary expense

³ Cash Balance Beginning of Period has not been audited

⁴ As of October 2009 Plan

FINANCIAL PLAN SUMMARY
NEW YORK CITY EDUCATIONAL CONSTRUCTION FUND

REPORT No. 7
(MILLIONS OF DOLLARS)

MONTH - SEPTEMBER / FISCAL YEAR - 2010

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
RECEIPTS:									
Non-School Rentals	0.169	0.186	(0.017)	2.840	8.900	(6.060)	16.524	16.524	0.000
Interest	0.220	0.200	0.020	0.304	0.500	(0.196)	2.500	2.500	0.000
Bond Proceeds	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Other	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Total	0.389	0.386	0.003	3.144	9.400	(6.256)	19.024	19.024	0.000
DISBURSEMENTS:									
Personal Services	0.034	0.046	0.012	0.114	0.134	(0.020)	0.383	0.383	0.000
OTPS ⁽¹⁾	0.102	0.045	(0.057)	0.144	0.125	0.019	0.405	0.405	0.000
Insurance	0.000	0.000	0.000	0.000	0.000	0.000	0.557	0.557	0.000
Early Redemption	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Bond Issuance Expenses	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Construction Costs ⁽²⁾	5.493	0.000	(5.493)	9.309	0.000	9.309	0.000	0.000	0.000
Debt Service									
Principal	0.000	0.000	0.000	0.000	0.000	0.000	6.135	6.135	0.000
Interest	0.000	0.000	0.000	0.000	0.000	0.000	4.616	4.616	0.000
Total	5.629	0.091	(5.538)	9.567	0.259	9.308	12.096	12.096	0.000
SURPLUS/(DEFICIT)	(5.240)	0.295	(5.535)	(6.423)	9.141	(15.564)	6.929	6.929	0.000
ADJUSTMENTS TO CASH	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
TRANSFERS TO BOE	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
NET CHANGE IN CASH	(5.240)	0.295	(5.535)	(6.423)	9.141	(15.564)	6.929	6.929	0.000
CASH BALANCE BEGIN	79.936	89.965	(10.029)	81.119	81.119	0.000	81.119	81.119	0.000
CASH BALANCE END	74.696	90.260	(15.564)	74.696	90.260	(15.564)	88.048	88.048	0.000

NOTES:

- 1) OTPS includes the following: Operations, Legal & Development Fees, Insurance and Related Fees.
- 2) During the month of August ECF incurred construction expenses for MS 114 of \$1,931,173 and \$19,687 for PS 59 and High School of Arts and Design project.