

# Consolidated Plan

2016  
Executive Summary



**Bill de Blasio**  
Mayor, City of New York

**Carl Weisbrod**  
Director, Department of City Planning

**NYC**PLANNING  
DEPARTMENT OF CITY PLANNING CITY OF NEW YORK



*Effective as of November 15, 2016*

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[nyc.gov/planning](http://nyc.gov/planning)

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# The City of New York

## 2016 CONSOLIDATED PLAN One-Year Action Plan

November 15, 2016

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## Executive Summary

*The amended 2016 Consolidated Plan One-Year Action Plan constitutes a substantial amendment because it reflects revisions to New York City's Housing Opportunities for Persons with AIDS (HOPWA) program. The revisions were necessary since the City was evaluating how to minimize the impact of the 2016 HOPWA funding reduction across the City's service portfolio at the time the Proposed 2016 Action Plan was submitted in April 2016. The amended 2016 Consolidated Plan One-Year Action Plan now offers the public a more detailed list of the 2016 project sponsors and respective funding allocations as outlined in previous years' Plans. In addition, the amended Action Plan contains the addition and deletion of certain Community Development Block Grant-funded programs (city tax levy funds are now paying for the deleted programs). These changes were approved by the City Council as part of the City Fiscal Year 2017 Executive Budget and Adoption process.*

*Lastly, New York City's 2016 HOME Investment Partnerships (HOME) Program was substantially amended to include the creation of a new program: HOME Tenant-Based Rental Assistance Program (HOME TBRA). The new program will be funded with monies reallocated from the City's HOME-funded Supportive Housing Program (SHP). The amendment also revises the proposed accomplishments for the existing SHP, reflective of the reallocation of funds.*

### **AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)**

#### **1. Introduction**

The 2016 Consolidated Plan One-Year Action Plan is the City of New York's revised annual application to the United States Department of Housing and Urban Development (HUD) for the four Office of Community Planning and Development entitlement programs: Community Development Block Grant (CDBG), HOME Investment Partnership (HOME), Emergency Solutions Grant (ESG) and Housing Opportunities for Persons with AIDS (HOPWA).

In addition, the Action Plan serves not only as the City's application for the entitlement funds, but also as the HOPWA grant application for the New York HOPWA Eligible Metropolitan Statistical Area (HOPWA EMSA). The EMSA is comprised of the five boroughs of the City of New York plus three upstate New York jurisdictions, the counties of Westchester, Rockland and Orange, as well as three counties in central New Jersey, Middlesex, Monmouth and Ocean.

The 2016 Action Plan represents the second year of a five-year strategy for New York City's Consolidated Plan years 2015 through 2019. The five-year strategy was articulated in Volume 3 of the amended 2015 Consolidated Plan.

For the 2016 Program Year, the City expects to receive approximately \$263,039,200 from the four HUD formula grant programs; \$151,460,389 for CDBG, \$54,173,941 for HOME, \$43,778,924 for HOPWA, and \$13,625,900 for ESG.

This represents an approximate \$2.1 million overall decrease in entitlement grant funds received from the City's 2015 Consolidated Plan Program Year grant awards. The decrease in the total received amount is the result of decreases to two of the four formula entitlement grant programs' award amount,

particularly the decrease in the amount for the HOPWA program (a \$3.2 million reduction (approximately 7 percent) based on the program funds actually received in 2015) which offset an approximately \$2 million increase in the amount received for New York City's HOME and ESG programs.

For HOPWA, the \$3.2 million reduction in the FFY16 allocation significantly compromises the City's ability to provide safe and affordable housing to low-income persons with HIV/AIDS in the New York City EMSA. Since 2011, the HOPWA program has had to do more with less—with cuts over the last five year period (FFY11-FFY16) totaling \$12 million dollars. Furthermore, with the signage of the Housing Opportunity through Modernization Act (H.R 3700) into law, effective July 29th, 2016, the New York City EMSA stands to lose an additional \$8.7 million in HOPWA dollars by FFY21 due to the formula changes from cumulative AIDS cases to confirmed living with HIV/AIDS cases. These imminent funding cuts combined with the FFY16 reduction will negatively impact the City's ability to meet its estimated five-year goals as outlined in the 2015 Consolidate Plan's Five Year Strategic Plan.

In early 2016, HUD informed localities that they would be required to amend their Action Plan if they received a subgrant from their respective state's federal 2016 Housing Trust Fund (HTF) allocation. However, New York City did not receive a HTF subgrant from New York State. Therefore, the City's amended Action Plan does not include any HTF-funded activities.

## **2. Summarize the objectives and outcomes identified in the Plan**

Both the current and previous mayoral administrations recognize that safe and affordable housing as a priority in maintaining the City's vitality. In May 2014, Mayor de Blasio released Housing New York: A Five-Borough, Ten-Year Plan, a comprehensive plan to build and preserve 200,000 affordable units over the coming decade. The housing related activities within the Proposed 2016 Consolidated Plan One-Year Action Plan are part of the Mayor's broader housing strategy.

Although safe affordable housing is a crucial component to improving the lives of New Yorkers, the City allocates a large share of HUD entitlement funds to community redevelopment programs as part of a holistic approach to enhancing the living environment found within the City.

For the 2016 Consolidated Plan Program Year New York City has identified:

- Seven formula entitlement-funded projects will receive a cumulative total of \$62,364,547 and aim to increase or improve *Accessibility to Decent Affordable Housing* which will result in: 246,388 persons assisted with new/improved access to services (cumulative); five rental or owner-occupied units made accessible to persons with disabilities; 326 rental units constructed; 350 households assisted with tenant-based rental assistance; and, 3,450 homeless persons assisted with overnight shelter.
- Fourteen formula entitlement-funded projects will receive a cumulative total of \$63,098,870 and aim to provide or increase *Decent Affordable Housing* which will result in over approximately: 2,592 housing units rehabilitated and returned to private ownership; 2,722 persons living with AIDS provided with tenant-based rental assistance, supportive services, and/or supportive housing; and, 200 first-time homebuyers assisted with downpayment assistance.
- Six formula entitlement-funded projects will receive a cumulative total of \$97,333,551 and aim to *Sustain Decent Housing* which will result in: 60,251 rental units rehabilitated; 885,000

households assisted housing units brought up to code via housing code enforcement/foreclosed property care (cumulative); and, 12,000 persons assisted with homelessness prevention.

- Seven formula entitlement-funded projects will receive a cumulative total of \$27,085,356 and aim to increase the *Availability/Accessibility to a Suitable Living Environment* which will result in: 17,058,493 persons assisted with new/improved access to services (cumulative); 25,147 homeless persons given overnight shelter (cumulative); and, 18,152 persons provided new/improved access to a facility.
- Three formula entitlement-funded projects that will receive a cumulative total of \$15,788,000 and aim to increase *Affordability of a Suitable Living Environment* which will result in: 278,737 persons assisted with a new/improved access to services; 206 households assisted with day care services; and, the improvement of public facility infrastructure for the provision of breakfast to schoolchildren in 75,403 low-/moderate-income households.
- Eight formula entitlement-funded projects will receive a cumulative total of \$29,904,000 and aim to increase or improve the *Sustainability of a Suitable Living Environment* through the: home repairs for 1,900 elderly homeowners; the façade renovation for 3 owner-occupied historic homes; 147,295 persons provided new/improved access to a facility; 35 demolitions to remove slum or blighted conditions as part of geographically targeted revitalization effort; 4 cultural organizations assisted; 2,986 vacant lots cleaned; and, 225,000 persons provided with public service activities within the Bronx River and the adjacent areas.
- Three formula entitlement-funded projects will receive a cumulative total of \$4,344,000 and aim to increase the *Availability/Accessibility to Economic Opportunity* which will result in: 1,730 persons assisted with new/improved access to literacy, educational or vocational services; and, 6,128 new and/or existing businesses assisted.
- Three formula entitlement-funded projects for which a Performance Indicator is Not Applicable (N/A).

Please note that the proposed outcomes which are indicated as *cumulative* represent the aggregate from multiple programs that may provide different types of benefits that are accessed by the same set of persons and/or households. Therefore, the reader is advised to interpret the data with caution.

### **3. Evaluation of past performance**

Regarding New York City's past performance in its use of formula entitlement funds, please refer to the City's *2015 Consolidated Plan Annual Performance and Evaluation Report (CAPER)*, the most recent Performance Report available. The 2015 Performance Report can be accessed on the New York City Department of City Planning's website at: <http://www1.nyc.gov/site/planning/about/consolidated-plan-apr.page>.

The Proposed 2015 Consolidated Plan Annual Performance and Evaluation Report was submitted to HUD in June 2016.

### **4. Summary of Citizen Participation Process and consultation process**

#### In the Consolidated Plan Formulation Process

The City of New York conducted a public hearing to solicit comments on the formulation of the Proposed 2016 Consolidated Plan One-Year Action Plan, on April 17, 2015.

New Yorkers were invited to attend and participate in the formulation and development of the Consolidated Plan in several ways. Over 1,900 notification letters were sent to New York City residents, organizations and public officials inviting participation in the public hearing. In addition, notices of the previously mentioned activity were published in three local newspapers, one English-language, a Spanish-language, and a Chinese-language daily, each with citywide circulation. Furthermore, a notice was placed as a public service message on the New York City-operated local cable television access channel. The respective notices included relevant Plan-related information so that informed comments are facilitated.

#### In the Public Comment Review Period and Public Hearing

In order to notify the public of the release of the Proposed Action Plan for public review and of the federally-required public hearing on the contents of the document, the City utilized the same notification methods as it did to announce the public hearing for the formulation of the Proposed Plan. Furthermore, the respective notices included relevant Plan-related information so that informed comments are facilitated. Lastly, copies of the Proposed 2016 Consolidated Plan One-Year Action Plan are mailed to both the Chairperson and District Manager of each of the City's 59 Community Boards.

To provide public access to the document, copies of the Proposed 2016 Consolidated Plan One-Year Action Plan could be obtained at the City Planning Bookstore, 120 Broadway, 31st Floor, New York, New York 10271, Phone: 212-720-3667, (Monday: 10 am until 12 noon with walk-ins from 10 am until 11 am, and Wednesday: 1 pm until 3 pm with walk-ins from 2 pm until 3 pm) or any of the New York City Department of City Planning borough offices.

In addition, copies of the Proposed Action Plan were made available for reference in the City's Municipal Reference & Research Center (the City Hall Library), and the main public library in each of the five boroughs.

Furthermore, the Department of City Planning posted the Proposed 2016 Plan on the Department's website in Adobe Acrobat format for review by the public. The Internet-based version may be accessed at: <http://www.nyc.gov/planning>.

The public comment period began March 14, 2016 and extended for 30 days ending April 12, 2016. The public was instructed to submit their written comments on the 2016 Proposed Consolidated Plan One-Year Plan by close of business, April 12, 2016 to: Charles V. Sorrentino, New York City Consolidated Plan Coordinator, Department of City Planning, 120 Broadway 31st Floor, New York, New York 10271, email: Con-PlanNYC@planning.nyc.gov.

The public hearing on Proposed 2016 Consolidated Plan One-Year Action Plan was conducted as scheduled on April 7, 2016 at 2:00 p.m., in Spector Hall, 22 Reade Street, Manhattan. A question and answer session with City agency representatives in attendance was to follow. However, no questions were asked.

#### In the Substantial Amendment Review Period

In order to notify the public of the release of the substantially amended Action Plan for public review and comment the document, the City utilized the same notification methods as it did to announce the public hearing for the formulation of the Proposed Plan. Furthermore, the respective notices included relevant Plan-related information so that informed comments are facilitated.

To provide public access to the document, copies of the amended 2016 Consolidated Plan One-Year Action Plan can be obtained at the City Planning Bookstore, 120 Broadway, 31st Floor, New York, New York 10271, Phone: 212-720-3667, (Monday: 10 am until 12 noon with walk-ins from 10 am until 11 am, and Wednesday: 1 pm until 3 pm with walk-ins from 2 pm until 3 pm) or any of the New York City Department of City Planning borough offices.

In addition, copies of the amended Action Plan are made available for reference in the City's Municipal Reference & Research Center (the City Hall Library), and the main public library in each of the five boroughs.

Furthermore, the Department of City Planning posted the amended 2016 Plan on the Department's website in Adobe Acrobat format for review by the public. The Internet-based version may be accessed at: <http://www.nyc.gov/planning>

There were two (2) separate public comment periods conducted for the 2016 Amended Consolidated Plan One-Year Plan. One for the HOPWA program (August 30 to September 28), the second for the HOME program (October 12 to November 10), respectively. In both instances the public was instructed to submit their written comments on the amended program by close of business the last day of the specific comment period to Charles V. Sorrentino, New York City Consolidated Plan Coordinator, Department of City Planning, 120 Broadway 31st Floor, New York, New York 10271, email: [Con-PlanNYC@planning.nyc.gov](mailto:Con-PlanNYC@planning.nyc.gov). Any comments received were to be summarized and agencies' responses incorporated into the version submitted to HUD.

## **5. Summary of public comments**

### Comments from the Public Hearing on the Formulation of the Proposed 2016 One-Year Action Plan

The City received comments from LiveOn NY, a non-profit membership organization with approximately 100 members whose mission is to make New York a better place to age. LiveOn's comments noted growing financial insecurity among New York's aging population. High levels of rent burden among senior citizens was a noted concern. LiveOn states that the 3,600 seniors produced under the City's New Housing Marketplace plan were insufficient to meet needs and urges to the City to increase the production of housing for seniors.

### Comments from the Public Hearing on the Proposed 2016 One-Year Action Plan

The hearing began with opening remarks and the floor was then opened to testimony to those in attendance. However, no member of the public gave testimony. The hearing was concluded after the Consolidated Plan Committee member agencies' representatives waited a sufficient period of time to permit persons who may have been en route to the hearing the opportunity arrive and provide their testimony.

### Comments from the public comment period(s) on the substantial amendment(s) to the 2016 One-Year Action Plan

No comments were received during the comment period for the HOPWA substantial amendment, or during the HOME Investment Partnerships Program's (HOME) amendment comment period, respectively.

## **6. Summary of comments or views not accepted and the reasons for not accepting them**

The City shares LiveOn's concern about the need for affordable senior housing. Congress has not provided sufficient funding for the HUD Section 202 – Housing for the Elderly program to continue providing resources for the City to use to produce senior housing. The City also notes the shortage of federal Section 8 rental assistance funds to address the senior housing crisis. The City has advocated for additional funds for both programs with federal elected officials.

In response to the growing need for affordable senior housing, HPD recently created the Senior Affordable Rental Apartments (SARA) program. SARA primarily uses City Capital funds to provide gap financing in the form of low interest loans to support the construction and renovation of affordable housing for senior, 62+ years in age, with low incomes. The program also contemplates the usage of federal Section 8 vouchers to provide rental assistance and may use federal HOME dollars to subsidize construction costs depending on the financing needs of the specific project.

The City has also proposed changes to the local Zoning Resolution to facilitate the production of senior housing by reducing parking requirements for senior projects developed close to public transit hubs.

# The (Formulation) Process

## PR-05 Lead & Responsible Agencies – 91.200(b)

### 1. Agency/entity responsible for preparing/administering the Consolidated Plan

Table 1 – Responsible Agencies

Agency Role	Name	Department/Agency
	NEW YORK CITY	
CDBG Administrator		Office of Management and Budget
HOPWA Administrator		Dept. of Health and Mental Hygiene
HOME Administrator		Dept. of Housing Preservation and Development
ESG Administrator		Dept. of Homeless Services

### Narrative

The New York City Department of City Planning is the lead agency in the City's Consolidated Plan application process and is responsible for the formulation, preparation and development of each year's proposed Plan in conjunction with the Consolidated Plan Committee member agencies and the federal government.

The four entitlement programs (Community Development Block Grant (CDBG), HOME Investment Partnership (HOME), Housing Opportunities for Persons with AIDS (HOPWA) and Emergency Solutions Grant (ESG)), are administered by the: Office of Management and Budget (OMB), the Department of Housing Preservation and Development (HPD), the Department of Health and Mental Hygiene (DOHMH) – Division of Disease Control, and the Department of Homeless Services (DHS), respectively.

The New York City Consolidated Plan also serves as the HOPWA grant application for six (6) surrounding counties within the New York Eligible Metropolitan Statistical Area (EMSA): The New York State counties of Orange; Rockland and Westchester and the New Jersey Counties of Middlesex, Monmouth, and Ocean, respectively.

In addition, the New York City Housing Authority (NYCHA), using primarily Public Housing Capital funds, administers public housing new construction, rehabilitation and modernization activities, and home ownership opportunity programs, along with a Section 8 rental certificate and voucher program.

Furthermore, the City of New York's Continuum of Care for the Homeless and Other Special Needs Populations is administered by various City Agencies, each according to their respective area of expertise. The supportive housing programs and services are funded primarily with City (capital and/or expense) and/or State funds.

The Human Resources Administration (HRA) and the Department of Homeless Services (DHS) operate under an integrated management structure, newly announced in April 2016. The two agencies coordinate services to prevent and alleviate homelessness for families with children and individuals and households without children. HRA is primarily responsible for homelessness prevention and rehousing

services, including the administration of rental assistance to move homeless families and adults into permanent housing. DHS is responsible for shelter operations and services to street homeless individuals. Programs for runaway and homeless youth and children aging out of foster care are administered by the Department of Youth and Community Development (DYCD), and Administration for Children's Services (ACS), respectively.

HRA also provides a range of public benefits and social services which assist in homeless prevention and/or diversion. Through HRA's HIV/AIDS Administration (HASA), HRA provides emergency and supported housing assistance and services for persons with HIV-related illness or AIDS. The City's Department of Health and Mental Hygiene, along with the State's Offices of Mental Health (OMH), the Office for People With Developmental Disabilities (OPWDD), and Office of Alcoholism and Substance Abuse Services (OASAS); plans, contracts for and monitors services for these disability areas and provides planning support to OASAS in the field of substance abuse services. The Bureau of Mental Health within the DOHMH, through contracted agencies, provides an array of mental health recovery oriented services and supportive housing programs to prevent homelessness and works collaboratively with Federal, City, and State agencies to assure continuity of services. Several other City agencies such as the Department of the Aging (DFTA), the Mayor's Office for People with Disabilities (MOPD) , and the Mayor's Office to Combat Domestic Violence (MOCDV) address the concerns of targeted groups of citizens by providing housing information and supportive housing services assistance.

### **Consolidated Plan Public Contact Information**

Any questions or comments concerning New York City's Consolidated Plan and the formula entitlement grant funded activities may be directed to:

Charles V. Sorrentino, New York City Consolidated Plan Coordinator, Department of City Planning, 120 Broadway, 31st Floor, New York, New York 10271, Phone (212) 720-3337 email:

[Con-PlanNYC@planning.nyc.gov](mailto:Con-PlanNYC@planning.nyc.gov).

CDBG: John Leonard, Director of Community Development, Office of Management and Budget (212) 788-6177

HOME: Jordan Press, Director, State and Federal Affairs, Department of Housing Preservation and Development (212) 863-8968

ESG: Martha Kenton, Director of Federal Policy and Planning, Department of Homeless Services, (212) 361-0606

HOPWA: John Rojas, Assistant Commissioner, Department of Health and Mental Hygiene/Division of Disease Control (347) 396-7428

## **AP-10 Consultation – 91.100, 91.200(b), 91.215(l)**

### **1. Introduction**

New York City's Consolidated Plan citizen participation and formulation process is part of the City's larger Charter mandated budget process.

This process, specified in the City Charter, provides for citizen participation on all programs, projects and services funded by the City's Expense, Capital and Community Development Block Grant (CDBG) budgets.

As stated previously, the Consolidated Plan is the City's application for the four HUD Office of Community Planning and Development Entitlement Programs: CDBG, HOME, ESG, and HOPWA. The allocation of these funds will be for housing, homeless, supportive housing and community development programs and are determined during the City's Budget Process. The Consolidated Plan focuses on the money expected to be received from HUD and the matching funds that the City uses primarily from City Tax Levy; however, funds from the State, the private sector, and nonprofit organizations are also described.

The City's budget is required to be adopted on or before the start of its fiscal year (July 1st to June 30th). Citizens are encouraged to get involved in this decision-making process. The City's Budget Process, which is voted on by the City Council, is subject to extensive public review and participation. The City has an established citizen participation process that is divided into three phases: 1. needs assessment and budget preparation (May to November); 2. Preliminary Budget (November to April); and 3. Executive and Adopted Budget (April to July). The Budget Process solicits citizen comments at several stages before the final budget is adopted. For opportunities for individuals, community boards, and other organizations to participate in the planning and budgeting process, please refer to the schedule on OMB's website at <http://www.nyc.gov/html/omb/html/about/cycle.shtml>.

Citizen participation in developing the budget is mandated by the City Charter. Through months of consultations with the 59 community boards, expense and capital budgets for operating agencies are established. Additionally, public hearings may be held by individual agencies to assist in the development and enhancement of their respective programs and operations. This provides agencies with a significant understanding of community priorities for capital project and service delivery improvements.

This schedule emphasizes the participation of the community boards at the local level because, by City Charter mandate, the boards are charged with monitoring City service delivery, proposing budget priorities, and reviewing development and land use proposals at the community level. The 59 local community boards are the primary mechanism for citizen participation in the budget process in New York City. Others seeking input into the City's budgetary decisions find it appropriate and useful to obtain a community board's endorsement of their proposals. Each board is composed of up to 50 people who live or work in the community district.

Citizens have an opportunity to participate in the above process in several ways including participating locally with the community board and organizations represented on community board committees and

attending and testifying at local hearings held by community boards and those held by the City Council. Hearings held in the fall facilitate the community boards' development of their Statement of Community District Needs and Priorities and their Capital and Expense/CD Budget project/program requests. In February, citizens have an opportunity to testify at a community board public hearing on the Preliminary Budget in relation to community needs. Public hearings are held by the City Council in May on the Executive Budget and the Proposed Community Development Budget before final adoption of the budget.

**Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l))**

DHS, as the recipient of the ESG funds, works closely with public agencies and non-for-profit partners to reduce street homelessness, and to assist homeless families in the transition from temporary housing into permanent housing. DHS also works with HRA to collaborate with governmental and non-governmental human services entities in order to enhance the provision of prevention and homeless services. As of April 2016, HRA will oversee homeless prevention services as part of a new structure developed to provide more seamless and effective client services.

New York City is fully participating in the Medicaid Expansion under the ACA. As a result, CoC and 100% of project recipients and subrecipients participated in efforts to educate and facilitate healthcare enrollment among low income and homeless individuals and families. Outreach, in person assistors, certified application counselors, brokers, and navigators provided in person enrollment and assistance with the Marketplace. In addition to the CoC services and outreach, its partnership with city NYC Health Insurance Link, Health and Hospital Corporation, Medicaid Health Homes, ensures that chronically homeless are assessed and enrolled in healthcare services. In addition, DHS will be working closely with the SAMHSA SOAR State Team to secure Supplemental Security Income (SSI) or Social Security Disability Insurance (SSDI) benefits for the eligible individuals and families that we serve within our continuum.

**Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

DHS, the ESG recipient and collaborative applicant for the NYC CoC, coordinates extensively with CoC providers and the Consolidated Plan jurisdiction. Coordination takes place through monthly meetings between representatives from both groups in an effort to align priorities and share efforts toward the NYC's five year Con Plan. This collaboration has resulted in goals which are aligned and stated in the CoC's strategic plan as: Investing in proven strategies to reduce the number of homeless individuals on the streets; Preventing those families and individuals at-risk of homelessness from entering shelter; Ensuring that shelter is a short-term solution to a housing crisis by re-housing families and individuals as quickly as possible. The aim is to end homelessness, with an emphasis on chronic and veteran's homelessness. The alignment of the Con Plan goals and the CoC's strategic plan has led to coordinated efforts within the jurisdiction to address the needs of homeless persons and persons at risk of homelessness.

**Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

The Homebase prevention, street outreach, and emergency shelter providers are evaluated based on DHS scorecards and HUD system performance measure. DHS utilizes scorecards, specifically developed to measure provider performance, to evaluate ESG activities. DHS shared this framework with the Continuum of Care Steering Committee and will review periodically with the NYC CCoC Data Management Committee. As prevention services transition more fully to HRA, the two agencies will participate jointly in this process. DHS will utilize its HMIS to monitor system performance through the following indicators:

- Number of individuals/households served
- Number of successful placements of individuals/households into permanent housing
- Reduction in the percent of persons who return to homeless
- Reduction in the length of time persons remain homeless
- Reduction in the number of persons who become homeless for the first time

For reference, see Emergency Solutions Grant Written Standards in Appendix.

**2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction’s consultations with housing, social service agencies and other entities**

**Table 2 – Agencies, groups, organizations who participated**

1	<b>Agency/Group/Organization</b>	NEW YORK CITY DEPARTMENT OF HOMELESS SERVICES
	<b>Agency/Group/Organization Type</b>	Services-homeless Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Strategy Market Analysis Lead-based Paint Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	ESG recipient and CoC collaborative applicant. Provided insight into the City's homeless strategy and current trends in homelessness within the jurisdiction.
2	<b>Agency/Group/Organization</b>	NYS OTDA
	<b>Agency/Group/Organization Type</b>	Services-Persons with Disabilities Other government - State
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. NYS OTDA is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals.
3	<b>Agency/Group/Organization</b>	NEW YORK CITY DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT DYCD
	<b>Agency/Group/Organization Type</b>	Services-Children Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Needs - Unaccompanied youth Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. DYCD is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. DYCD also provides RHY services throughout the City.
4	<b>Agency/Group/Organization</b>	Corporation for Supportive Housing
	<b>Agency/Group/Organization Type</b>	Planning organization Nonprofit Org
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Needs - Veterans Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. CSH is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. CSH is also a member of the CoC's Veterans Task Force.
5	<b>Agency/Group/Organization</b>	NYS Office of Alcoholism and Substance Abuse Services (OASAS)
	<b>Agency/Group/Organization Type</b>	Other government - State

	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. OASAS is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals.
6	<b>Agency/Group/Organization</b>	NYS Office of Mental Health (OMH)
	<b>Agency/Group/Organization Type</b>	Other government - State
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. OASAS is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals.
7	<b>Agency/Group/Organization</b>	NYC Human Resources Administration (HRA)
	<b>Agency/Group/Organization Type</b>	Services-Victims of Domestic Violence Services-Employment Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Needs - Veterans Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. HRA is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. HRA is also a member of the CoC's Veterans Task Force.
8	<b>Agency/Group/Organization</b>	Homeless Services United (HSU)
	<b>Agency/Group/Organization Type</b>	Services-homeless Nonprofit Org.
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. HSU is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals.
9	<b>Agency/Group/Organization</b>	Supportive Housing Network of New York (SHNNY)
	<b>Agency/Group/Organization Type</b>	Planning organization Nonprofit Org.
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. SHNNY is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. SHNNY is also a member of the CoC's Veterans Task Force.
10	<b>Agency/Group/Organization</b>	New York City AIDS Housing Network (VOCAL)
	<b>Agency/Group/Organization Type</b>	Services-Persons with HIV/AIDS Planning organization Nonprofit Org.
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. New York City AIDS Housing Network is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. This coalition provides advocacy for homeless individuals with HIV/AIDS.
11	<b>Agency/Group/Organization</b>	Coalition of Behavioral Health Agencies

	<b>Agency/Group/Organization Type</b>	Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Health Planning organization Nonprofit Org.
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. Coalition of Behavioral Health Agencies is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. This coalition provides advocacy for vulnerable individuals who are homeless.
12	<b>Agency/Group/Organization</b>	Council of Senior Centers and Services of NYC, Inc.
	<b>Agency/Group/Organization Type</b>	Services-Elderly Persons Planning organization Nonprofit Org.
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. Council of Senior Centers and Services of NYC, Inc. is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. This coalition provides advocacy for homeless seniors.
13	<b>Agency/Group/Organization</b>	Housing Preservation and Development
	<b>Agency/Group/Organization Type</b>	Housing PHA Services - Housing Service-Fair Housing Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Needs - Veterans Homelessness Strategy

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. HPD is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. HPD is also a member of the CoC's Veterans Task Force.
14	<b>Agency/Group/Organization</b>	NYC DEPARTMENT OF HEALTH AND MENTAL HYGIENE
	<b>Agency/Group/Organization Type</b>	Services-Persons with HIV/AIDS Services-Health Health Agency Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. NYC DOHMH is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals.
15	<b>Agency/Group/Organization</b>	NYC Coalition of Domestic Violence Providers
	<b>Agency/Group/Organization Type</b>	Services-Victims of Domestic Violence Nonprofit Org.
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. NYC Coalition of Domestic Violence Providers is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. This coalition provides advocacy for homeless individuals who have experienced domestic violence.
16	<b>Agency/Group/Organization</b>	Association for Runaway, Homeless & Street Involved Youth Organizations

	<b>Agency/Group/Organization Type</b>	Services-Children Services-homeless Planning organization Nonprofit Org.
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. The New York Association of Homeless and Street Involved Youth Organizations is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. This coalition provides advocacy for homeless youth needs.
17	<b>Agency/Group/Organization</b>	Association for Community Living New York City (ACL-NYC)
	<b>Agency/Group/Organization Type</b>	Services - Housing Services-Persons with Disabilities Planning organization Nonprofit Org.
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. ACL-NYC is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. This coalition provides advocacy for homeless individuals with disabilities.
18	<b>Agency/Group/Organization</b>	ENTERPRICE COMMUNITY PARTNERS
	<b>Agency/Group/Organization Type</b>	Nonprofit Org Community Development Financial Institution
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. Enterprise Community Partners is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals.
19	<b>Agency/Group/Organization</b>	US Department of Veterans Affairs
	<b>Agency/Group/Organization Type</b>	Other government - Federal
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Needs - Veterans Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. The VA is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. The VA is also a member of the CoC's Veteran Task Force.

**Other local/regional/state/federal planning efforts considered when preparing the Plan**

**Table 3 – Other local / regional / federal planning efforts**

<b>Name of Plan</b>	<b>Lead Organization</b>	<b>How do the goals of your Strategic Plan overlap with the goals of each plan?</b>
Continuum of Care	NYC Department of Homeless Services	The goals of the Strategic Plan are closely aligned with the goals of the NYC Coalition on the Continuum of Care. DHS is the CoC's Collaborative Applicant and Steering Committee member. DHS is also the ESG recipient for New York City.

## **AP-12 Participation – 91.105, 91.200(c)**

### **1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting**

#### The Consolidated Plan Formulation Process

The City of New York conducted a public hearing to solicit comments on the formulation of the Proposed 2016 Consolidated Plan One-Year Action Plan, on April 17, 2015.

New Yorkers were invited to attend and participate in the formulation and development of the Consolidated Plan. Over 1,800 notification letters were sent to New York City residents, organizations and public officials inviting participation in the public hearing. In addition, notices regarding the public hearing were published in three local newspapers: an English-, a Spanish, and a Chinese-language daily, each with citywide circulation. Furthermore, the notice was posted on DCP's website. Lastly, a notice was placed as a public service message on the City-operated local cable television access channel. The respective notices included relevant Plan-related information so that informed comments are facilitated. Testimony could be given orally at the hearing or submitted by mail or email in lieu of attendance.

#### The Public Comment Review Period and Public Hearing

The City used the same notice methods as to announce the public hearing for formulation of the Proposed Plan to notify the public of the Proposed 2016 Action Plan's release for public review and the federally-required public hearing on the document. Copies of the Proposed 2016 Action Plan were mailed to both the Chairperson and District Manager of each of the City's 59 Community Boards. *(See, Module PR-10., Consultation, for the Community Board's role as the primary mechanism of citizen participation in the City's budget process.)*

Lastly, copies of the Proposed 2016 Action Plan were obtainable by the public at the City Planning Bookstore, 120 Broadway, 31st Floor, New York, NY 10271, Phone: 212-720-3667, (Monday: 10am-12 noon with walk-ins from 10-11am, and Wednesday:1-3pm with walk-ins from 2-3pm) or any of the DCP borough offices. In addition, copies of the Proposed Action Plan are referenceable in the City's Municipal Reference & Research Center (City Hall Library), and the main public library in each of the five boroughs. Furthermore, an Adobe Acrobat version of the Proposed Action Plan was posted on DCP's website (<http://www.nyc.gov/planning>) for public review.

The public comment period began March 14, 2016 extending for 30 days ending April 12, 2016. The public was instructed to submit written comments on the Proposed 2016 Action Plan by close of business, April 12, 2016.

The public hearing on the Proposed 2016 Consolidated Plan One-Year Action Plan was conducted as scheduled on April 7, 2016 at 2pm, in Spector Hall, 22 Reade St., Manhattan, Spector Hall is wheelchair accessible and near accessible public transportation. Any comments received were summarized and agencies' responses incorporated into the version submitted to HUD.

#### The Substantial Amendment Review Period

The City announced the release of two (2) separate substantial 2016 Action Plan amendments Plan for public review and comment using the outreach methods described above. Copies of the amended Plan were made available in the City Planning Bookstore and placed for reference in the City Hall Library and main public library in each of the five boroughs.

In both instances the public was instructed to submit their written comments by close of business the last day of the specific comment period to: Charles V. Sorrentino, New York City Consolidated Plan Coordinator, Department of City Planning, 120 Broadway 31st Floor, New York, NY 1027, or [Con-PlanNYC@planning.nyc.gov](mailto:Con-PlanNYC@planning.nyc.gov). Any comments received were to be summarized and agencies' responses incorporated into the version submitted to HUD.

## Citizen Participation Outreach

**Table 4 – Citizen Participation Outreach**

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
1	Newspaper Ad	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish, Chinese</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	No one attended announced formulation public hearing. Therefore, no oral response was required.	No one provided oral comments.	N/A	
2	Notice Mailing	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish, Chinese</p> <p>Persons with disabilities</p>	No one attended announced formulation public hearing. Therefore, no oral response was required. One not-for-profit on mailing list provided written comments.	The City received comments from LiveOn NY, a non-profit membership organization with approximately 100 members whose mission is to make New York a better place to age.	The City shares LiveOn's concern about the need for affordable senior housing. Congress has not provided sufficient funding for the HUD Section 202 - Housing for the Elderly program to continue providing	

		<p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>		<p>LiveOn's comments noted growing financial insecurity among New York's aging population. High levels of rent burden among senior citizens was a noted concern. LiveOn states that the 3,600 seniors produced under the City's New Housing Marketplace plan were insufficient to meet needs and urges to the City to increase the production of housing for seniors.</p>	<p>resources for the City to use to produce senior housing. The City also notes the shortage of federal Section 8 rental assistance funds to address the senior housing crisis. The City has advocated for additional funds for both programs with federal elected officials. In response to the growing need for affordable senior housing, HPD recently created the Senior Affordable Rental Apartments (SARA) program. SARA primarily uses City Capital funds to provide gap financing in the form of low interest loans to support the construction and renovation of affordable housing for senior, 62+ years in age, with low incomes. The program also</p>	
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					contemplates the usage of federal Section 8 vouchers to provide rental assistance and may use federal HOME dollars to subsidize construction costs depending on the financing needs of the specific project.	
3	Internet Outreach	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish, Chinese</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	No one attended announced formulation public hearing. Therefore, no oral response was required.	No one provided oral comments.	N/A	
4	Public Hearing	<p>Minorities</p> <p>Non-English Speaking - Specify other language:</p>	No one attended announced formulation public hearing. Therefore,	No one provided oral comments.	N/A	

		Spanish, Chinese Persons with disabilities Residents of Public and Assisted Housing	no oral response was required.			
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