

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	http://www.nyc.gov/html/tfa/html/other_documents.html
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	No	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	Yes	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	

Procurement Transactions Listing:

1. Vendor Name: Acacia Financial Group

2. Vendor Name: Academy Securities Inc.

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 05/05/2016
 End Date:
 Amount: \$225,319.25
 Amount Expended for Fiscal Year: \$225,319.25
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 7 Times Square
 Address Line2: #20
 City: NEW YORK
 State: NY
 Postal Code: 10036
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Financial Advisor

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 05/05/2016
 End Date:
 Amount: \$97,284.6
 Amount Expended for Fiscal Year: \$97,284.6
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 277 Park Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10172
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

Procurement Transactions Listing:

3. Vendor Name: BNY Mellon Capital Markets LLC

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 12/20/2012
 End Date:
 Amount: \$195,650.28
 Amount Expended for Fiscal Year: \$195,650.28
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1 Wall St
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10005
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

4. Vendor Name: Bank of America NA

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 11/30/2009
 End Date: 09/28/2018
 Amount: \$1,336,559.87
 Amount Expended for Fiscal Year: \$1,336,559.87
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 150 N. Wacker Dr.
 Address Line2:
 City: CHICAGO
 State: IL
 Postal Code: 60606
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

5. Vendor Name: Bank of New York Mellon

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 10/01/2002
End Date: 10/01/2018
Amount: \$296,821.45
Amount Expended for Fiscal Year: \$296,821.45
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: PO Box 660576
Address Line2:
City: DALLAS
State: TX
Postal Code: 75266
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

6. Vendor Name: Bank of New York Mellon

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: \$266,181
Amount Expended for Fiscal Year: \$266,181
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 101 Barclay
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10286
Plus 4:
Province Region:
Country: USA
Procurement Description: Trustee

Procurement Transactions Listing:

7. Vendor Name: Barclay's Capital Inc.

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 09/16/2008
 End Date:
 Amount: \$711,582.4
 Amount Expended for Fiscal Year: \$711,582.4
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 745 7th Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10019
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

8. Vendor Name: Barclays Bank PLC

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 06/04/2010
 End Date: 03/29/2019
 Amount: \$1,316,127.5
 Amount Expended for Fiscal Year: \$1,316,127.5
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: One Liberty Plaza
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10006
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

9. Vendor Name: Blaylock Beal Van LLC

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 05/05/2016
End Date:
Amount: \$28,444.38
Amount Expended for Fiscal Year: \$28,444.38
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 600 Lexington Ave
Address Line2: # 300
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

10. Vendor Name: Citigroup Global Markets

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: \$575,023.84
Amount Expended for Fiscal Year: \$575,023.84
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 388 Greenwich Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10013
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

11. Vendor Name: Command Financial Press

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 06/02/2008
End Date:
Amount: \$8,101.4
Amount Expended for Fiscal Year: \$8,101.4
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 75 Varick Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10013
Plus 4:
Province Region:
Country: USA
Procurement Description: Printing

12. Vendor Name: Dexia Credit Local

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/10/2002
End Date: 11/01/2022
Amount: \$533,257.19
Amount Expended for Fiscal Year: \$533,257.19
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 445 Park Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

Procurement Transactions Listing:

13. Vendor Name: Drexel Hamilton LLC

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 05/05/2016
 End Date:
 Amount: \$442,427.6
 Amount Expended for Fiscal Year: \$442,427.6
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 77 Water Street
 Address Line2: 201
 City: NEW YORK
 State: NY
 Postal Code: 10005
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

14. Vendor Name: FTN Financial Capital Markets

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 05/05/2016
 End Date:
 Amount: \$52,126.86
 Amount Expended for Fiscal Year: \$52,126.86
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 444 Madison Avenue
 Address Line2: #4E
 City: NEW YORK
 State: NY
 Postal Code: 10022
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

Procurement Transactions Listing:

15. Vendor Name: Fidelity Capital Markets

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/17/2009
End Date:
Amount: \$132,522.4
Amount Expended for Fiscal Year: \$132,522.4
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 401 E 60th St
Address Line2: #36B
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

16. Vendor Name: Goldman Sachs & Co.

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: \$749,682.32
Amount Expended for Fiscal Year: \$749,682.32
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 666 5th Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10103
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

<p>17. Vendor Name: Hilltop Securities</p> <p>Type of Procurement: Other Professional Services Award Process: Authority Contract - Competitive Bid Award Date: 05/05/2016 End Date: Amount: \$53,178.28 Amount Expended for Fiscal Year: \$53,178.28 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 100 Broadway Address Line2: City: NEW YORK State: NY Postal Code: 10005 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Underwriters</p>	<p>18. Vendor Name: Ivan Freaner</p> <p>Type of Procurement: Other Professional Services Award Process: Authority Contract - Competitive Bid Award Date: 09/29/2016 End Date: Amount: \$10,000 Amount Expended for Fiscal Year: \$10,000 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 216 South 3rd Street Address Line2: City: BROOKLYN State: NY Postal Code: 11211 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: CAFR Designer</p>
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Procurement Transactions Listing:

19. Vendor Name: J.P. Morgan SecuritiesLLC

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 05/05/2016
 End Date:
 Amount: \$2,989,644.51
 Amount Expended for Fiscal Year: \$2,989,644.51
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 277 Park Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10172
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

20. Vendor Name: JPMorgan Chase Bank N.A.

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 11/24/1998
 End Date: 04/23/2019
 Amount: \$3,588,095.37
 Amount Expended for Fiscal Year: \$3,588,095.37
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1111 Fannin
 Address Line2:
 City: HOUSTON
 State: TX
 Postal Code: 77002
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

21. Vendor Name: Janney Montgomery Scott LLC

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 05/05/2016
End Date:
Amount: \$130,016.43
Amount Expended for Fiscal Year: \$130,016.43
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 575 Lexington Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

22. Vendor Name: Jefferies & Co.

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: \$374,885.74
Amount Expended for Fiscal Year: \$374,885.74
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 23928 Network Pl
Address Line2:
City: CHICAGO
State: IL
Postal Code: 60673
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

23. Vendor Name: Landesbank Hessen-Thuringen

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 07/11/2002
 End Date: 12/14/2020
 Amount: \$763,827.84
 Amount Expended for Fiscal Year: \$763,827.84
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 420 5th Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10018
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

24. Vendor Name: Loop Capital Markets LLC

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$2,329,281.97
 Amount Expended for Fiscal Year: \$2,329,281.97
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 88 Pine Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10005
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

Procurement Transactions Listing:

<p>25. Vendor Name: Marks Paneth LLP</p> <p>Type of Procurement: Other Professional Services Award Process: Authority Contract - Competitive Bid Award Date: 04/28/2016 End Date: 06/30/2019 Amount: \$28,000 Amount Expended for Fiscal Year: \$28,000 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 685 3rd Ave Address Line2: City: NEW YORK State: NY Postal Code: 10017 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Auditors</p>	<p>26. Vendor Name: Merrill Lynch PF&S Inc.</p> <p>Type of Procurement: Other Professional Services Award Process: Authority Contract - Competitive Bid Award Date: 02/02/2008 End Date: Amount: \$1,036,710.74 Amount Expended for Fiscal Year: \$1,036,710.74 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 250 Vesey St Address Line2: City: NEW YORK State: NY Postal Code: 10080 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Underwriters</p>
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Procurement Transactions Listing:

<p>27. Vendor Name: Mizuho</p> <p>Type of Procurement: Other Professional Services Award Process: Authority Contract - Competitive Bid Award Date: 05/05/2016 End Date: Amount: \$112.5 Amount Expended for Fiscal Year: \$112.5 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 1251 6th Ave Address Line2: City: NEW YORK State: NY Postal Code: 10020 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Underwriters</p>	<p>28. Vendor Name: Mizuho Bank Ltd.</p> <p>Type of Procurement: Other Professional Services Award Process: Authority Contract - Competitive Bid Award Date: 04/29/2014 End Date: 08/01/2017 Amount: \$2,136,873.88 Amount Expended for Fiscal Year: \$2,136,873.88 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 1251 Avenue of the Americas Address Line2: City: NEW YORK State: NY Postal Code: 10020 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Liquidity Provider</p>
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Procurement Transactions Listing:

<p>29. Vendor Name: Morgan Stanley & Co. Inc.</p> <p>Type of Procurement: Other Professional Services Award Process: Authority Contract - Competitive Bid Award Date: 02/02/2008 End Date: Amount: \$1,446,453.4 Amount Expended for Fiscal Year: \$1,446,453.4 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: One NY Plaza Address Line2: City: NEW YORK State: NY Postal Code: 10004 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Underwriters</p>	<p>30. Vendor Name: Morgan Stanley & Co. Inc.</p> <p>Type of Procurement: Other Professional Services Award Process: Authority Contract - Competitive Bid Award Date: 05/01/2011 End Date: 08/02/2017 Amount: \$335,089.34 Amount Expended for Fiscal Year: \$335,089.34 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: One NY Plaza Address Line2: City: NEW YORK State: NY Postal Code: 10004 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Liquidity Provider</p>
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Procurement Transactions Listing:

31. Vendor Name: Norton Rose Fulbright US LLP

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 05/05/2016
End Date:
Amount: \$230,000
Amount Expended for Fiscal Year: \$230,000
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 1301 Ave of the Americas
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10019
Plus 4:
Province Region:
Country: USA
Procurement Description: Bond Counsel

32. Vendor Name: Oppenheimer & Co. Inc.

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/17/2009
End Date:
Amount: \$77,596.65
Amount Expended for Fiscal Year: \$77,596.65
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 85 Broad Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10004
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

33. Vendor Name: PNC BANK NA

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 04/01/2014
 End Date: 04/07/2017
 Amount: \$379,379.07
 Amount Expended for Fiscal Year: \$379,379.07
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 340 Madison Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10017
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

34. Vendor Name: PNC Capital Markets LLC

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 05/05/2016
 End Date:
 Amount: \$130,938.85
 Amount Expended for Fiscal Year: \$130,938.85
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 340 Madison Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10017
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

Procurement Transactions Listing:

35. Vendor Name: Piper Jaffrey

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 05/05/2016
 End Date:
 Amount: \$79,195.43
 Amount Expended for Fiscal Year: \$79,195.43
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 345 Park Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10154
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

36. Vendor Name: Public Resources Advisory Group

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 11/04/2011
 End Date: 06/30/2016
 Amount: \$276,075
 Amount Expended for Fiscal Year: \$276,075
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 40 Rector St
 Address Line2: #1600
 City: NEW YORK
 State: NY
 Postal Code: 10006
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Financial Advisor

Procurement Transactions Listing:

37. Vendor Name: RBC Capital Markets

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$2,294,905.37
 Amount Expended for Fiscal Year: \$2,294,905.37
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: One Liberty Plaza
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10006
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

38. Vendor Name: Ramirez & Co. Inc.

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$2,089,858.61
 Amount Expended for Fiscal Year: \$2,089,858.61
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 61 Broadway New York NY ?
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10006
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

Procurement Transactions Listing:

39. Vendor Name: Raymond James & Associates Inc.

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: \$122,936.12
Amount Expended for Fiscal Year: \$122,936.12
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 1 Pennsylvania Plaza
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10019
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

40. Vendor Name: Rice Financial Products

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/17/2009
End Date:
Amount: \$101,513.66
Amount Expended for Fiscal Year: \$101,513.66
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 17 State Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10004
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

41. Vendor Name: Roosevelt and Cross Incorporated

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$79,944.55
 Amount Expended for Fiscal Year: \$79,944.55
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1 Exchange Plaza
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10006
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

42. Vendor Name: Royal Bank of Canada

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 07/15/2005
 End Date: 09/28/2018
 Amount: \$777,469.71
 Amount Expended for Fiscal Year: \$777,469.71
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: One Liberty Plaza
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10006
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

43. Vendor Name: Siebert Brandford Shank & Co. LLC

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$2,369,116.61
 Amount Expended for Fiscal Year: \$2,369,116.61
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 100 Wall Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10005
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

44. Vendor Name: State Street Bank & Trust Company N.A.

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/28/2012
 End Date: 08/19/2020
 Amount: \$1,738,486.28
 Amount Expended for Fiscal Year: \$1,738,486.28
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 33 Maiden Lane
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10038
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

45. Vendor Name: State Street Bank & Trust Company N.A.

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 01/01/2007
 End Date:
 Amount: \$9,919
 Amount Expended for Fiscal Year: \$9,919
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 33 Maiden Lane
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10038
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Investments

46. Vendor Name: Sterne Agee

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 05/05/2016
 End Date:
 Amount: \$14,047.5
 Amount Expended for Fiscal Year: \$14,047.5
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 140 East 45th Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10017
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

Procurement Transactions Listing:

47. Vendor Name: Stifel Nicolaus & Co. Inc.

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: \$151,164.14
Amount Expended for Fiscal Year: \$151,164.14
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 237 Park Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

48. Vendor Name: Sumitomo Mitsui Banking Corp.

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 03/03/2010
End Date: 02/26/2021
Amount: \$2,061,187.55
Amount Expended for Fiscal Year: \$2,061,187.55
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 277 Park Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10172
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

Procurement Transactions Listing:

49. Vendor Name: TD Bank NA

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 11/01/2011
 End Date: 10/15/2019
 Amount: \$1,099,193.06
 Amount Expended for Fiscal Year: \$1,099,193.06
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 469 7th Avenue
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10018
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

50. Vendor Name: TD Securities (USA) LLC

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 12/20/2012
 End Date:
 Amount: \$291,251.99
 Amount Expended for Fiscal Year: \$291,251.99
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 31 W 52nd St
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10019
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

Procurement Transactions Listing:

51. Vendor Name: The Bank of Tokyo-Mitsubishi UFJ Ltd.

52. Vendor Name: The Northern Trust Company

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 11/01/2011
 End Date: 10/30/2017
 Amount: \$1,227,166.64
 Amount Expended for Fiscal Year: \$1,227,166.64
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1251 6th Avenue
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10022
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/28/2012
 End Date: 08/28/2018
 Amount: \$291,451.7
 Amount Expended for Fiscal Year: \$291,451.7
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 65 E 55th S
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10022
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

53. Vendor Name: The Williams Capital Group LP

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 12/20/2012
 End Date:
 Amount: \$15,046.87
 Amount Expended for Fiscal Year: \$15,046.87
 Fair market value:
 Explain why the fair market value is less than the amount:

Address Line1: 650 Fifth Ave
 Address Line2: 11th Floor
 City: NEW YORK
 State: NY
 Postal Code: 10019
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

54. Vendor Name: US BANK

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/28/2012
 End Date: 08/28/2018
 Amount: \$281,225.31
 Amount Expended for Fiscal Year: \$281,225.31
 Fair market value:
 Explain why the fair market value is less than the amount:

Address Line1: 461 5th Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10017
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

55. Vendor Name: US BANK

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 05/05/2016
End Date:
Amount: \$207,769.14
Amount Expended for Fiscal Year: \$207,769.14
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 461 5th Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

56. Vendor Name: Wells Fargo Bank NA

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/17/2009
End Date:
Amount: \$369,859.91
Amount Expended for Fiscal Year: \$369,859.91
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 463 Broadway
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10013
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

57. Vendor Name: Wells Fargo Bank NA

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 11/13/2013
End Date: 11/13/2016
Amount: \$302,589.05
Amount Expended for Fiscal Year: \$302,589.05
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 463 Broadway
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10013
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

Procurement Transactions Listing:

Additional Comments: