# Procurement Information

<table>
<thead>
<tr>
<th>Question</th>
<th>Response</th>
<th>URL (if applicable)</th>
</tr>
</thead>
<tbody>
<tr>
<td>2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>3. Does the Authority allow for exceptions to the procurement guidelines?</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>4. Does the Authority assign credit cards to employees for travel and/or business purchases?</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>5. Does the Authority require prospective bidders to sign a non-collusion agreement?</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, &quot;The Procurement Lobbying Act&quot;?</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>8a If Yes, was a record made of this impermissible contact?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?</td>
<td>Yes</td>
<td></td>
</tr>
</tbody>
</table>
### Procurement Transactions Listing:

<table>
<thead>
<tr>
<th></th>
<th>Vendor Name:</th>
<th>Procurement Description:</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Fitch Inc</td>
<td>Financial Services</td>
</tr>
<tr>
<td>2.</td>
<td>Marks Paneth &amp; Shron LLP</td>
<td>Other Professional Services</td>
</tr>
</tbody>
</table>

**Type of Procurement:**
- Authority Contract - Competitive Bid
- Authority Contract - Competitive Bid

**Award Process:**
- 08/27/2013
- 06/30/2012

**Award Date:**
- 06/30/2015
- 06/30/2015

**End Date:**
- 06/30/2015
- 06/30/2015

**Amount:**
- $10,000
- $23,000

**Amount Expended for Fiscal Year:**
- $10,000
- $23,000

**Address Line1:**
- 1 State Street
- 622 Third Ave.

**City:**
- NEW YORK
- NEW YORK

**State:**
- NY
- NY

**Postal Code:**
- 10004
- 10017

**Plus 4:**
- USA
- USA

**Procurement Description:**
- Rating Agency
- Auditor
<table>
<thead>
<tr>
<th>Vendor Name:</th>
<th>The Bank Of New York</th>
<th>Wells Fargo</th>
</tr>
</thead>
<tbody>
<tr>
<td>Type of Procurement:</td>
<td>Financial Services</td>
<td>Other Professional Services</td>
</tr>
<tr>
<td>Award Process:</td>
<td>Authority Contract - Competitive Bid</td>
<td>Authority Contract - Competitive Bid</td>
</tr>
<tr>
<td>Award Date:</td>
<td>08/27/2013</td>
<td>05/25/2014</td>
</tr>
<tr>
<td>End Date:</td>
<td>08/27/2015</td>
<td>05/24/2015</td>
</tr>
<tr>
<td>Amount:</td>
<td>$5,026</td>
<td>$185,399</td>
</tr>
<tr>
<td>Amount Expended for Fiscal Year:</td>
<td>$5,026</td>
<td>$185,399</td>
</tr>
</tbody>
</table>

**Procurement Transactions Listing:**

3. **Vendor Name:** The Bank Of New York  
   **Type of Procurement:** Financial Services  
   **Award Process:** Authority Contract - Competitive Bid  
   **Award Date:** 08/27/2013  
   **End Date:** 08/27/2015  
   **Amount:** $5,026  
   **Amount Expended for Fiscal Year:** $5,026  
   **Address:** 1 Wall Street, NEW YORK, NY 10005  
   **Address:** 330 Madison Ave, NEW YORK, NY 10017  
   **Country:** USA  
   **Country:** USA  
   **Procurement Description:** Financial Services

4. **Vendor Name:** Wells Fargo  
   **Type of Procurement:** Other Professional Services  
   **Award Process:** Authority Contract - Competitive Bid  
   **Award Date:** 05/25/2014  
   **End Date:** 05/24/2015  
   **Amount:** $185,399  
   **Amount Expended for Fiscal Year:** $185,399  
   **Address:** 330 Madison Ave, NEW YORK, NY 10017  
   **Address:** 330 Madison Ave, NEW YORK, NY 10017  
   **Country:** USA  
   **Country:** USA  
   **Procurement Description:** D & O Insurance

**Explanation:**

3. **Fair market value:**  
   **Explain why the fair market value is less than the amount:**

4. **Fair market value:**  
   **Explain why the fair market value is less than the amount:**
Procurement Report for TSASC, Inc.

Fiscal Year Ending: 06/30/2015

Procurement Transactions Listing:

Additional Comments: