



The City of New York
BUSINESS INTEGRITY COMMISSION
100 Church Street · 20th Floor
New York · New York 10007
Tel. (212) 676-6219 · Fax (212) 676-6204

FINANCIAL STATEMENTS

2009

LICENSEE

LICENSE NUMBER

FISCAL/CALENDAR YEAR ENDED: _____

COMPANY CONTACT:

NAME: _____

TITLE: _____

ADDRESS: _____

TELEPHONE: _____

FAX: _____

EMAIL: _____

DEFINITION OF GENERAL TERMS

AFFILIATED ENTITIES / COMPANIES -- (also known as related companies) is defined as all affiliates of the licensee including its management and their immediate families, its principals and their immediate families, its investments accounted for by the equity method, beneficial employee trusts that are managed by the licensee and any party that may, or does, deal with the licensee and has ownership of, control over, or can significantly influence the management or operating policies of the licensee to the extent that an arms length transaction may not be achieved.

REGULATED WASTE – means putrescent and non-putrescent garbage, recyclable materials, and rubbish collected from within the limits of New York City and subject to the maximum rates established by the Business Integrity Commission.

NON-REGULATED WASTE – means medical waste and sharp materials, construction and demolition debris, or waste collected within New York City that are not subject to the maximum rates, and refuse collected outside of the New York City limits.

RECYCLING CENTER – means any facility operated to facilitate the separation, collection, processing or marketing of recyclable materials for reuse or sale such as paper, textile, plastic and etc.

FISCAL YEAR END STATEMENTS ARE REQUIRED TO BE FILED FOR ALL PERIODS ENDING SUBSEQUENT TO JUNE 1, 2008 THROUGH MAY 31, 2009

LICENSEE NAME: _____

LICENSE NO.: _____

INDEPENDENT AUDITOR'S REPORT

LICENSEE NAME: _____
 LICENSE NO.: _____

PRINCIPALS

	PRINCIPAL # 1	PRINCIPAL # 2
Name (include maiden name where applicable)		
Home Address(es)		
Home Telephone No.		
Fax No.		
Cellular No.		
Date of Birth		
Social Security No.		
Business Address(es)		
Business Telephone No.		
Email address		
Title(s)		
From (date)		
To (date)		
% of Ownership		
Number of Shares		

LICENSEE NAME: _____
 LICENSE NO.: _____

PRINCIPALS

	PRINCIPAL # 3	PRINCIPAL # 4
Name (include maiden name where applicable)		
Home Address(es)		
Home Telephone No.		
Fax No.		
Cellular No.		
Date of Birth		
Social Security No.		
Business Address (es)		
Business Telephone No.		
Email address		
Title(s)		
From (date)		
To (date)		
% of Ownership		
Number of Shares		

LICENSEE NAME: _____

LICENSE NO.: _____

AFFILIATED ENTITIES				
	ENTITY 1	ENTITY 2	ENTITY 3	ENTITY 4
Name of Affiliated Entity				
Principal(s) of Affiliated Entity (ALL)				
Address(es)				
Telephone No.				
Fax No.				
Email Address of Affiliated Entity				
Licensee - % Ownership in Affiliated Entity				
Number of Shares Owned in Affiliated Entity				
Licensee Principal / Officer - % Ownership in Affiliated Entity				
Number of Shares Owned in Affiliated Entity				
Type of Business				

Please Explain How Each Entity is Affiliated with Licensee or Licensee's Principal:

LICENSEE NAME: _____

LICENSE NO.: _____

BALANCE SHEET			
ASSETS & OTHER DEBITS			
TITLES OF ACCOUNTS	2009	2008	Increase / (Decrease)
CURRENT ASSETS:			
Cash			
Accounts receivable - Net			
Other receivables			
Prepaid expenses			
Interest and dividends receivable			
Deferred tax			
Other current assets			
Total Currents Assets			
FIXED ASSETS:			
Fixed Assets - Net of Accumulated Depreciation			
Total Fixed Assets			
Goodwill			
Other Intangible Assets			
OTHER ASSETS:			
Long Term investments			
Notes/ Loans receivable: Affiliated Co.			
Notes/Loans receivable: Shareholder/Principal			
Notes/Loans receivable: Non-affiliated Co.			
Total Other Assets			
TOTAL ASSETS			

LICENSEE NAME: _____

LICENSE NO.: _____

BALANCE SHEET			
LIABILITIES & OTHER CREDITS			
TITLES OF ACCOUNTS	2009	2008	Increase / (Decrease)
CURRENT LIABILITIES:			
Trade accounts payable			
Accrued interest			
Current portion of Notes/Loans payable - Affiliated Co.			
Current portion of Notes/Loans payable - Shareholder/Principal			
Current portion of Notes/Loans payable - Other			
Income taxes			
Deferred taxes			
Other current liabilities			
Total Current Liabilities			
LONG TERM LIABILITIES:			
Notes/Loans payable – Affiliated Co.			
Notes/Loans payable - Shareholder/Principal			
Notes/Loans payable - Other			
Other long term liabilities			
Total Long Term Liabilities			
TOTAL LIABILITIES			
SHAREHOLDER'S EQUITY:			
Common Stock			
Preferred Stock			
Additional Paid in Capital			
Retained Earnings			
Treasury Stock			
TOTAL SHAREHOLDER'S EQUITY			
TOTAL LIABILITIES & SHAREHOLDER'S EQUITY			

LICENSEE NAME:

LICENSE NO.:

COMPARATIVE INCOME STATEMENT			
TITLES OF ACCOUNTS	2009	2008	Increase / (Decrease)
OPERATING REVENUE:			
Putrescible Waste - Cubic Yards			
Putrescible Waste - Tons			
Construction & Demolition Debris			
Regulated Medical & Sharp Material Waste			
Grease Trap Waste (Brown Grease)			
Used Cooking Oil (Yellow Grease)			
Paper Shredding			
Total Operating Revenue			
LESS: Operating Expenses			
GROSS PROFIT			
GENERAL & ADMINISTRATIVE EXPENSES:			
General Operating Expenses			
Administrative Expenses			
Depreciation Expense			
Amortization Expense			
Interest Expense			
Taxes Other than Income & Payroll			
Total General & Administrative Expenses			
INCOME FROM OPERATIONS			

LICENSEE NAME:

LICENSE NO.:

COMPARATIVE INCOME STATEMENT (CONTINUED)			
TITLES OF ACCOUNTS	2009	2008	Increase / (Decrease)
OTHER INCOME:			
Net Income from Recycling			
Net Income from Sale of Paper/Cardboard			
Net Income from Transfer Station			
Net Income from property leased to others			
Net Income from investments			
Sale of fixed assets			
Net Income from Oil Rendering			
Net Income from Bio-Diesel Fuel			
Net Income from Scrap Metal			
Net Misc. Income			
Total Other Income			
Net Income(Loss) before Income Taxes			
Income Taxes			
Net Income(Loss) Before Extraordinary Items			
Extraordinary items			
NET INCOME(LOSS)			

LICENSEE NAME: _____

LICENSE NO.: _____

COMPARATIVE STATEMENT OF CASH FLOWS		
TITLES OF ACCOUNTS	2009	2008
Cash Flows from Operating Activities:		
Net Income (Loss)		
Adjustments to reconcile net income to net cash provided(used) by operating activities:		
Depreciation		
Amortization		
Deferred income taxes		
Other (income) and expenses		
Gain on sale of business(es)		
Gain on sale of property, plant & equipment		
Other, net		
Changes in assets and liabilities:		
Decrease (Increase) in trade receivables		
Decrease (Increase) in other receivables		
Decrease (Increase) in prepaid expenses		
Decrease (Increase) in interest and dividend receivable		
Decrease (Increase) in miscellaneous current assets		
Increase (Decrease) in trade payables		
Increase (Decrease) in other assets		
Net Cash Provided (Used) by Operating Activities		

LICENSEE NAME: _____

LICENSE NO.: _____

COMPARATIVE STATEMENT OF CASH FLOWS (CONTINUED)		
TITLES OF ACCOUNTS	2009	2008
Cash Flows from Investing Activities:		
Net Income (Loss)		
Proceeds from sale of fixed assets		
Purchase of short-term investments		
Proceeds from maturity of short-term investments		
Purchases of long-term investments		
Other investing activities (specify)		
Net Cash Provided (Used) by Investing Activities		
Cash Flows from Financing Activities:		
Short term borrowings, net		
Long term borrowings, net		
Proceeds from line of credit		
Payment from line of credit		
Principal payments on long term debt		
Dividend payments		
Other financing activities		
Net Cash Provided (Used) by Financing Activities		
Net Increase (Decrease) in Cash and Cash Equivalents		
Cash and Cash Equivalents at Beginning of Year		
Cash and Cash Equivalents at End of Year		

LICENSEE NAME: _____

LICENSE NO.: _____

OPERATING EXPENSES			
TITLES OF ACCOUNTS	2009	2008	Increase / (Decrease)
Payroll Costs:			
Drivers and Helpers			
Union Benefits			
Mechanic's Salaries			
Dispatchers			
Garage Employees			
Payroll Taxes			
Workers' Compensation Insurance			
Disability Insurance			
Other Payroll Costs			
Total Payroll Costs			
Truck Expenses:			
Gas			
Tolls			
Depreciation			
Repairs & Maintenance			
Insurance			
License Fees			
Leasing Expense			
Other Truck Expenses			
Total Truck Expenses			

LICENSEE NAME: _____

LICENSE NO.: _____

OPERATING EXPENSES			
TITLES OF ACCOUNTS	2009	2008	Increase / (Decrease)
Other Operating Expenses:			
Garage Rental			
Repairs & Maintenance			
Insurance			
Utilities & Telephone			
Yard Expense			
Container Expense			
Drum Expense			
Service Vehicles – Expense			
Radio Equipment – Expense			
Disposal Expense – Putrescibles			
Disposal Expense – C&D			
Subcontracting Expense			
Outside Labor*			
Other			
Total Other Operating Expenses			
Total Operating Expenses			

*PLEASE ATTACH ANY AND ALL 1099's ISSUED DURING REPORTING PERIOD

LICENSEE NAME: _____

LICENSE NO.: _____

GENERAL OPERATING & ADMINISTRATIVE EXPENSES			
TITLES OF ACCOUNTS	2009	2008	Increase / (Decrease)
General Operating Expenses:			
Light, Heat, Power & Water			
Telephone Expenses			
Repairs, Structures & Improvements			
General Maintenance Expense			
Travel and Entertainment			
Professional Fees			
Consultant Fees			
Bad Debt Expense			
Franchise Income & Business Taxes			
Other Taxes			
Advertising and Promotion			
Other General Operating Expenses			
Total General Operating Expenses			

LICENSEE NAME: _____

LICENSE NO.: _____

GENERAL OPERATING & ADMINISTRATIVE EXPENSES			
TITLES OF ACCOUNTS	2009	2008	Increase / (Decrease)
Administrative Expenses:			
Salaries – Officers			
Salaries – Employees			
Postage & Supplies			
Other Regulatory Expenses			
Dues & Subscriptions			
Office Rental			
Rentals – Structures & Improvements			
Payroll Taxes and Fringe Benefits			
Office Insurance			
Charitable Contributions			
Fines and Penalties			
Other Administrative Expenses			
Total Administrative Expenses			
Total General Operating & Administrative Expenses			

LICENSEE NAME:

LICENSE NO.:

NOTES TO FINANCIAL STATEMENTS

LICENSEE NAME: _____

LICENSE NO.: _____

REVENUE ANALYSIS
OPERATING REVENUE

	Putrescibles - Cubic Yards	Putrescibles - Tons	Construction & Demolition Debris	Medical & Sharp Material	Used Cooking Oil (Yellow Grease)	Grease Trap Waste (Brown Grease)	Shredded Paper
Revenue (\$) - NYC							
Revenue (\$) - Other than NYC Revenue							
TOTAL OPERATING REVENUE							

Number of Customers - NYC							
Number of Customers - Other than NYC Customers							
Total Number of Customers							

LICENSEE NAME: _____

LICENSE NO.: _____

REVENUE ANALYSIS

OTHER INCOME

Other Income:	Gross Revenue	Related Expenses	Net Income
Income from Recycling			
Income from Sale of Paper/Cardboard			
Income from Transfer Station			
Income from property leased to others			
Income from investments			
Sale of fixed assets			
Income from Oil Rendering			
Income from Bio-Diesel Fuel			
Income from Scrap Metal			
Misc. Income			
TOTAL			

LICENSEE NAME:

LICENSE NO.:

FIXED ASSETS

TRUCKS

TRUCKS (USED IN THE COLLECTION OF REFUSE)	NUMBER OF COMPACTORS IN FLEET	NUMBER OF NON- COMPACTORS IN FLEET	TOTAL
Rear End Loaders			
Front End Loaders			
Side Loaders			
Roll-off Trucks			
Dump Trucks			
Box Trucks			
Vans			
Other (please specify)			
Total Number of Trucks			

OTHER VEHICLES:

Service Vehicles			
Company Cars			
Total Other Vehicles			

LICENSEE NAME: _____

LICENSE NO.: _____

FIXED ASSETS (CONTINUED)

CONTAINERS

NUMBER OF CONTAINERS OWNED _____

NUMBER OF CONTAINERS LEASED _____

CAPACITY	# OF CONTAINERS OWNED		# OF CONTAINERS LEASED	
	COMPACTED	NON-COMPACTED	COMPACTED	NON-COMPACTED
1/2 CUBIC YARD				
3/4 CUBIC YARD				
1 CUBIC YARD				
2 CUBIC YARDS				
3 CUBIC YARDS				
4 CUBIC YARDS				
5 CUBIC YARDS				
6 CUBIC YARDS				
7 CUBIC YARDS				
8 CUBIC YARDS				
9 CUBIC YARDS				
10 CUBIC YARDS				
20 CUBIC YARDS				
30 CUBIC YARDS				
45 CUBIC YARDS				
OTHER (please specify)				
OTHER (please specify)				
OTHER (please specify)				
OTHER (please specify)				
TOTAL # OF CONTAINERS				

LICENSEE NAME: _____

LICENSE NO.: _____

FIXED ASSETS (CONTINUED)

DRUMS

NUMBER OF DRUMS OWNED _____

NUMBER OF DRUMS LEASED _____

CAPACITY	NUMBER OF DRUMS OWNED	NUMBER OF DRUMS LEASED
PLEASE SPECIFY SIZE AND TYPE		
TOTAL NUMBER OF DRUMS		

LICENSEE NAME: _____

LICENSE NO.: _____

COLLECTION & DISPOSAL ANALYSIS

Amount of Waste Type Collected in Cubic Yards as per Billing Invoices (NO Estimates)

WASTE TYPE	NYC REGULATED (CUBIC YARDS)	OUTSIDE NYC (CUBIC YARDS)	NYC NON- REGULATED (CUBIC YARDS)	OUTSIDE NYC NON- REGULATED (CUBIC YARDS)	GRAND TOTAL (CUBIC YARDS)
Putrescibles (MSW)					
Restaurant Waste					
Construction & Demolition Debris					
Medical Waste & Sharp Materials					
Grease Trap Waste (Brown Grease)					
Used Cooking Oil (Yellow Grease)					
Meat Scraps					
Shredded Paper					
Compost					
Catalogs, Phone Books, Newspaper & Magazines					
High Grade Paper					
Mixed Paper					
Mixed Recycling					
Road Building					
Soot					
SSR					
Cardboard					
Metal Cans					
Metal Bulk					
Mixed Bulk (couches, mattresses)					
Wood					
Glass					
Textiles					
Plastic					
Aluminum					
Metal from C&D debris					
Concrete					
Fill/Soil					
Landscape Clippings (Grass, Leaves, Twigs)					
Gravel / Stone					
Tires					
(Asbestos) Hazardous Waste					
Other (Specify)					
TOTAL WASTE COLLECTED (CUBIC YARDS)					

NOTE: Type of waste collected is a duplicate list of information collected by NYC Department of Sanitation in "Solid Waste/Recycling Semi-Annual Report"

LICENSEE NAME: _____

LICENSE NO.: _____

COLLECTION & DISPOSAL ANALYSIS

Amount of Waste Type Collected in Tonnage as per Billing Invoices (NO Estimates)

WASTE TYPE	NYC REGULATED (TONS)	OUTSIDE NYC (TONS)	NYC NON-REGULATED (TONS)	OUTSIDE NYC NON-REGULATED (TONS)	GRAND TOTAL (TONS)
Putrescibles (MSW)					
Restaurant Waste					
Construction & Demolition Debris					
Medical Waste & Sharp Materials					
Grease Trap Waste (Brown Grease)					
Used Cooking Oil (Yellow Grease)					
Meat Scraps					
Shredded Paper					
Compost					
Catalogs, Phone Books, Newspaper & Magazines					
High Grade Paper					
Mixed Paper					
Mixed Recycling					
Road Building					
Soot					
SSR					
Cardboard					
Metal Cans					
Metal Bulk					
Mixed Bulk (couches, mattresses)					
Wood					
Glass					
Textiles					
Plastic					
Aluminum					
Metal from C&D debris					
Concrete					
Fill/Soil					
Landscape Clippings (Grass, Leaves, Twigs)					
Gravel / Stone					
Tires					
(Asbestos) Hazardous Waste					
Other (Specify)					
TOTAL WASTE COLLECTED (CUBIC YARDS)					

NOTE: Type of waste collected is a duplicate list of information collected by NYC Department of Sanitation in "Solid Waste/Recycling Semi-Annual Report"

LICENSEE NAME: _____

LICENSE NO.: _____

COLLECTION & DISPOSAL ANALYSIS (CONTINUED)

Amount Disposed in Tonnage as per Dumping Invoices (NO Estimates)

DISPOSAL FACILITY	Putrescible Waste	Construction & Demolition Debris	Medical & Sharp Material	Used Cooking Oil (Yellow Grease)	Grease Trap Waste (Brown Grease)	Shredded Paper	TOTAL
Transfer Station							
Recycling Center							
Incinerator							
Landfill							
Other (specify)							
Total Amount Disposed							

Amount Disposed in Tonnage as per Dumping Invoices (NO Estimates)

New York City							
New York State (other than NYC)							
New Jersey							
Other (specify)							
Total Amount Disposed							

LICENSEE NAME: _____
LICENSE NO.: _____

**AFFIRMATION
OFFICER**

**ANY MATERIAL FALSE STATEMENT OR OMISSION MADE IN CONNECTION WITH THESE FINANCIAL STATEMENTS
MAY SUBJECT THE PRINCIPAL AND/OR ENTITY MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.**

**The foregoing Audited Financial Statements must be verified by the oath of the president or other principal officer(s) of the company
in the case of a corporation, or the proprietor in the case of an individual, or a partner in the case of a partnership.**

I, _____ make this oath and say that I am _____ of
(Affiant's Name) (Affiant's Title)

(Exact Legal Title or Name of the Company)

**that I have personally examined the foregoing audited financial statements and to the best of my knowledge I
believe that all statements of fact contained in these financial statements are true and that these financial statement
are a correct and a complete statement of the business.**

(Affiant's Signature)

NOTARY PUBLIC

Subscribed and sworn to (or affirmed) before me,
on this _____ day of _____, 2010.

My Commission expires: _____

State of: _____
County of: _____

Notary Public Signature: _____