



EQUIPMENT / VEHICLE EMISSION COMPLIANCE FORM LOCAL LAW 40 OF 2005 (Solid Waste or Recyclable Material Contract)

Michael Gilseman
 Assistant Commissioner
 Environmental Compliance

YOU MUST FILL OUT THIS FORM COMPLETELY IN ORDER TO RECEIVE A LOCAL LAW 40 COMPLIANCE DECAL FROM DEP

Contract Information

1. Contract Name or Number :		1a. Date Contract Awarded: From: _____ To: _____		2. Site Location / Address:			FOR OFFICIAL USE ONLY DEP Issued Compliance Decal Number:
3. NYC Agency Name:	4. Agency Contact Person:	5. Contact Phone # :	6. Block / Lot	7. Borough or County:	8. State:	8a. Zip:	

Solid Waste or Recyclable Material Contractor Information

9. Company Name:			10. Address:			11. Phone # :	
12. Authorized Representative:		13. Title	14. City:		15. State:	16. Zip:	17. E-Mail:

Equipment / Vehicle Information (On-Road or Off-Road)

18. Equipment Type:		19. Manufacturer:		20. Year:	
21. Vin or ID # :		22. Model:		23. <input type="checkbox"/> OWN <input type="checkbox"/> RENTAL	

Engine Information (On-Road or Off-Road)

24. Manufacturer:		25. Model # :		26. EPA Engine Family # :		27. Off-Road Tier (Select one): <input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <i>If Tier IV is selected ³:</i> <input type="checkbox"/> INTERIM <input type="checkbox"/> FINAL	
28. Displacement in (L):		29. Serial # :		30. Year Manufactured:			

Diesel Emission Retrofit Information and Closed Crankcase Filtration System (CCFS)

32. Manufacturer:		33. Model:		34. Installation Date:	35. Closed Crankcase Filtration System (CCFS) Installed? <input type="checkbox"/> YES <input type="checkbox"/> NO		
35a. Retrofit Device Type: <input type="checkbox"/> DPF <input type="checkbox"/> FTF <input type="checkbox"/> DOC <input type="checkbox"/> Other:		36. Verification Number:		37. Verified By: <input type="checkbox"/> EPA <input type="checkbox"/> CARB		38. Verification ¹ Document Attached? <input type="checkbox"/> YES <input type="checkbox"/> NO	
39. Local Law 40 Classification Level (Select one): Level 4 <input type="checkbox"/> Level 3 <input type="checkbox"/> Level 2 <input type="checkbox"/> Level 1 <input type="checkbox"/>		40. DEP Waiver ² Required? <input type="checkbox"/> YES <input type="checkbox"/> NO					

I certify that the information provided is accurate as per the requirements of Local Law 40 of 2005 (LL 40). The installed retrofit device shall be a Verified System meeting EPA / CARB standards and satisfies all of the terms and conditions as set forth in the verification documents. Note: DEP will audit sites to ensure forms and documents are accurate, and that equipment is in full compliance with LL 40 requirements. Fines for non-compliance, or false claims will be subject to enforcement and penalties associated with failure to comply with LL 40.

41. Contractor's Signature

42. Date

43. Print Contractor Name

44. Title

¹ Attach Verification Letter or Executive Order / Supporting Documents For EPA or CARB Verified System.

² Attach copy of DEP Waiver if BAT requirement is waived for any reason during all or part of the contract period

³ Provide Engine Certificate